

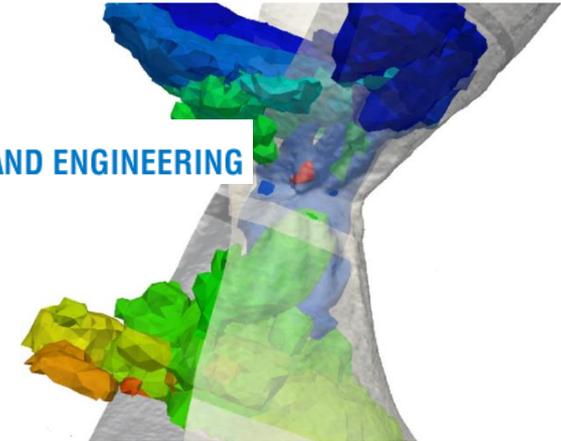
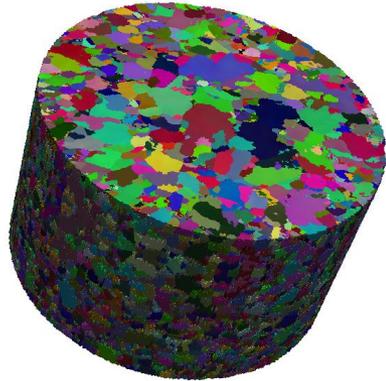
# High Energy Diffraction Microscopy at Sector 1: An Inside View of Materials' Responses

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Carnegie  
Mellon  
University

Physics

DEPARTMENT OF  
MATERIALS SCIENCE AND ENGINEERING



Thanks to:

CMU graduate students

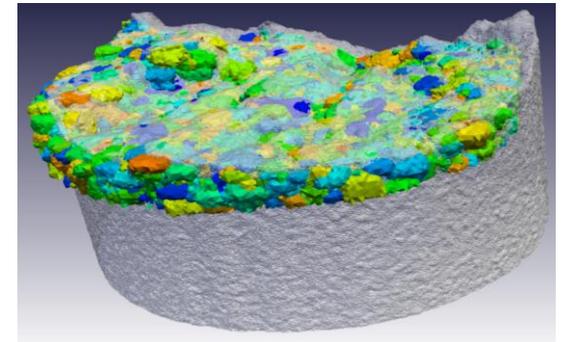
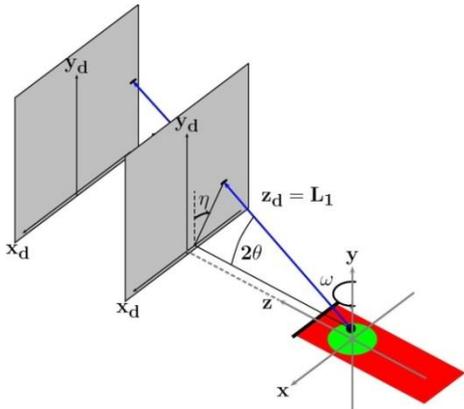
SF Li, J Lind, CM Hefferan

R Pokharel, S Maddali,

X Tan, D Menasche

Sector 1 staff

[U Lienert], J Almer, P. Kenesei



2014 Neutron & X-ray School

# Underlying Motivation

Materials Genome Initiative  
for Global Competitiveness

June 2011



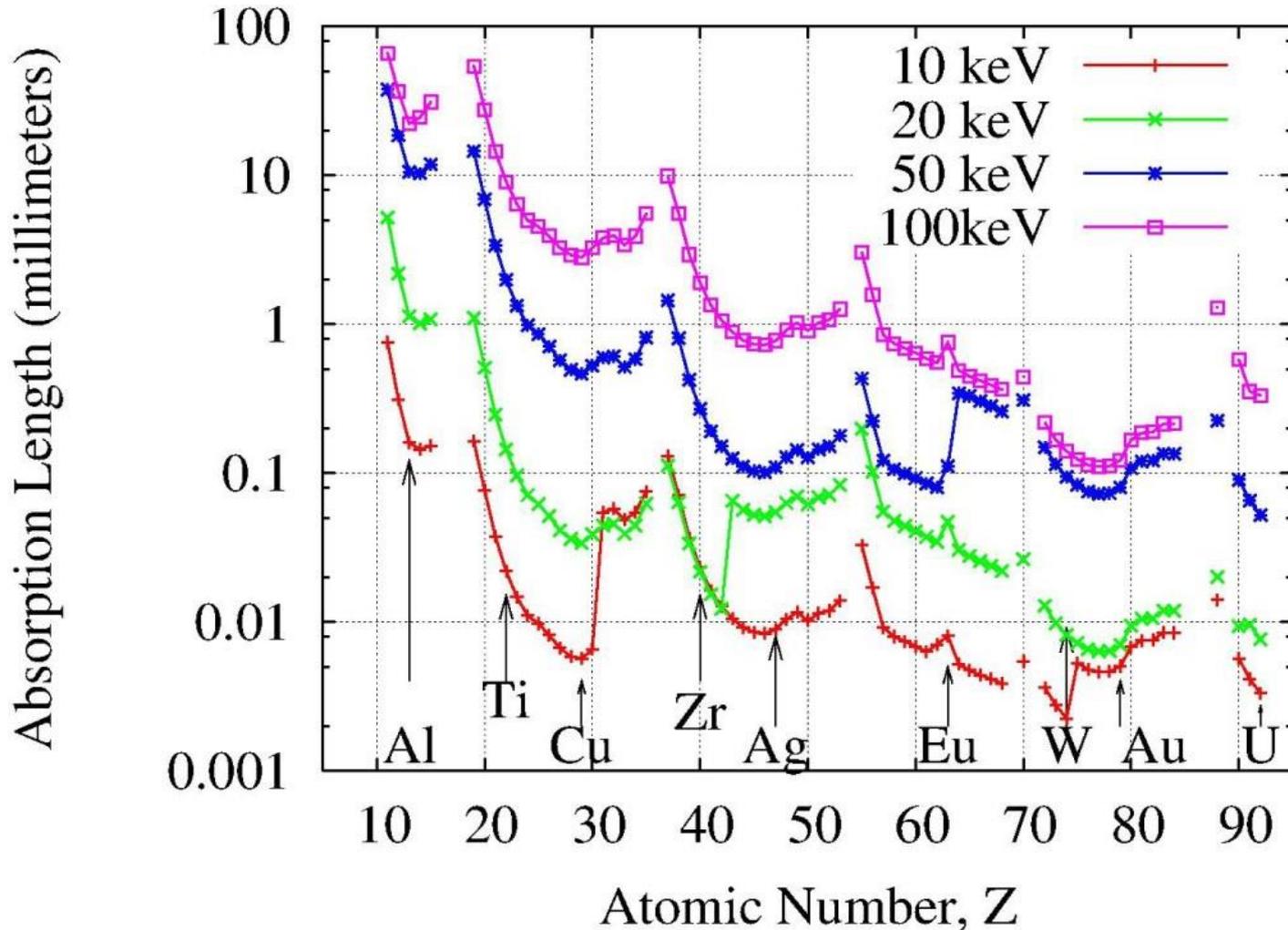
“At present, the time frame for incorporating new classes of materials into applications is remarkably long... 10 to 20 years...”

“...experiments could potentially be performed virtually with powerful and accurate computational tools, but that level of accuracy in such simulations does not yet exist.”

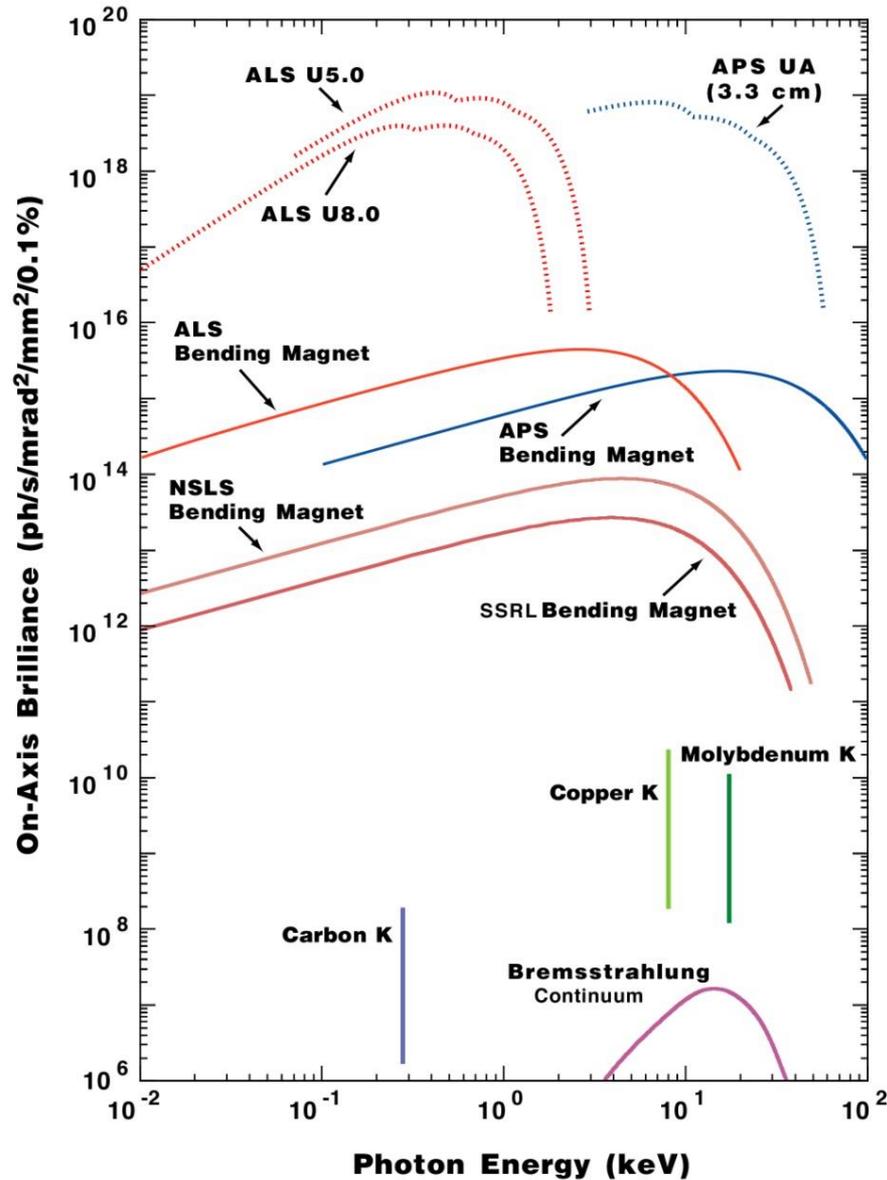
**Mesoscale experimental data that track responses in 3D under realistic conditions is urgently needed. (RMS)**

# High Energy X-rays: > 50 keV

- Penetrate millimeter dimensions across much of the Periodic Table

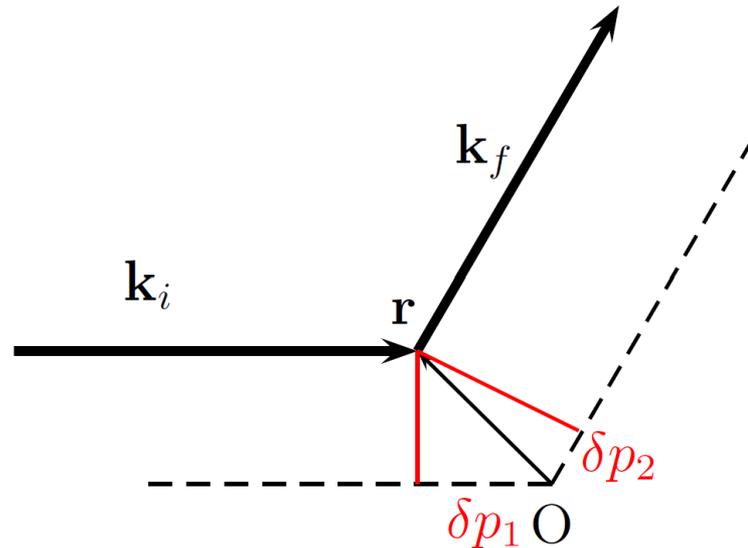


# Advanced Photon Source: Spectral Range to 100 keV



# Elastic scattering of waves: kinematics

$$|\mathbf{k}_i| = |\mathbf{k}_f| = 2\pi/\lambda$$



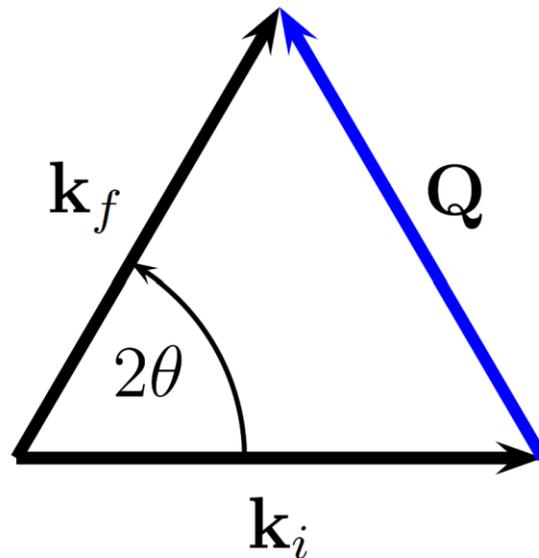
$$\Delta p = |\delta p_1| + |\delta p_2| = -\hat{k}_i \cdot \mathbf{r} + \hat{k}_f \cdot \mathbf{r} = (\hat{k}_f - \hat{k}_i) \cdot \mathbf{r}$$

$$\Delta\phi = 2\pi \frac{\Delta p}{\lambda} = (\mathbf{k}_f - \mathbf{k}_i) \cdot \mathbf{r} = \mathbf{Q} \cdot \mathbf{r}$$

# Elastic scattering of waves: kinematics

$$\mathbf{Q} = \mathbf{k}_f - \mathbf{k}_i$$

Wavevector transfer or  
Momentum transfer



$$|\mathbf{Q}| = 2k \sin \theta$$

# Born Approximation

$$A(\mathbf{Q}) = \int_{V_c} d^3\mathbf{r} \rho(\mathbf{r}) e^{i\mathbf{Q}\cdot\mathbf{r}}$$

**3D Fourier transform  
of scattering density**

# Bragg scattering: wavefront development

Thanks to Joel Bernier (LLNL)

[External movie file]

**Crystal/Bragg scattering:**

$$n \lambda = 2 d \sin \theta$$

or

$$Q = G_{hkl}$$

# Born Approximation: effect of defect content on intensities

$$A(\mathbf{Q}) = \int_{V_c} d^3\mathbf{r} \rho(\mathbf{r}) e^{i\mathbf{Q}\cdot\mathbf{r}}$$

**3D Fourier transform  
of scattering density**

$$A(\mathbf{Q}) = \int_{L_{\parallel}} dr_{\parallel} \int_{a_{\perp}} d^2r_{\perp} \rho(\mathbf{r}) e^{iQr_{\parallel}}$$
$$= a_{\perp} \int_{L_{\parallel}} dr_{\parallel} \bar{\rho}(r_{\parallel}) e^{iQr_{\parallel}},$$

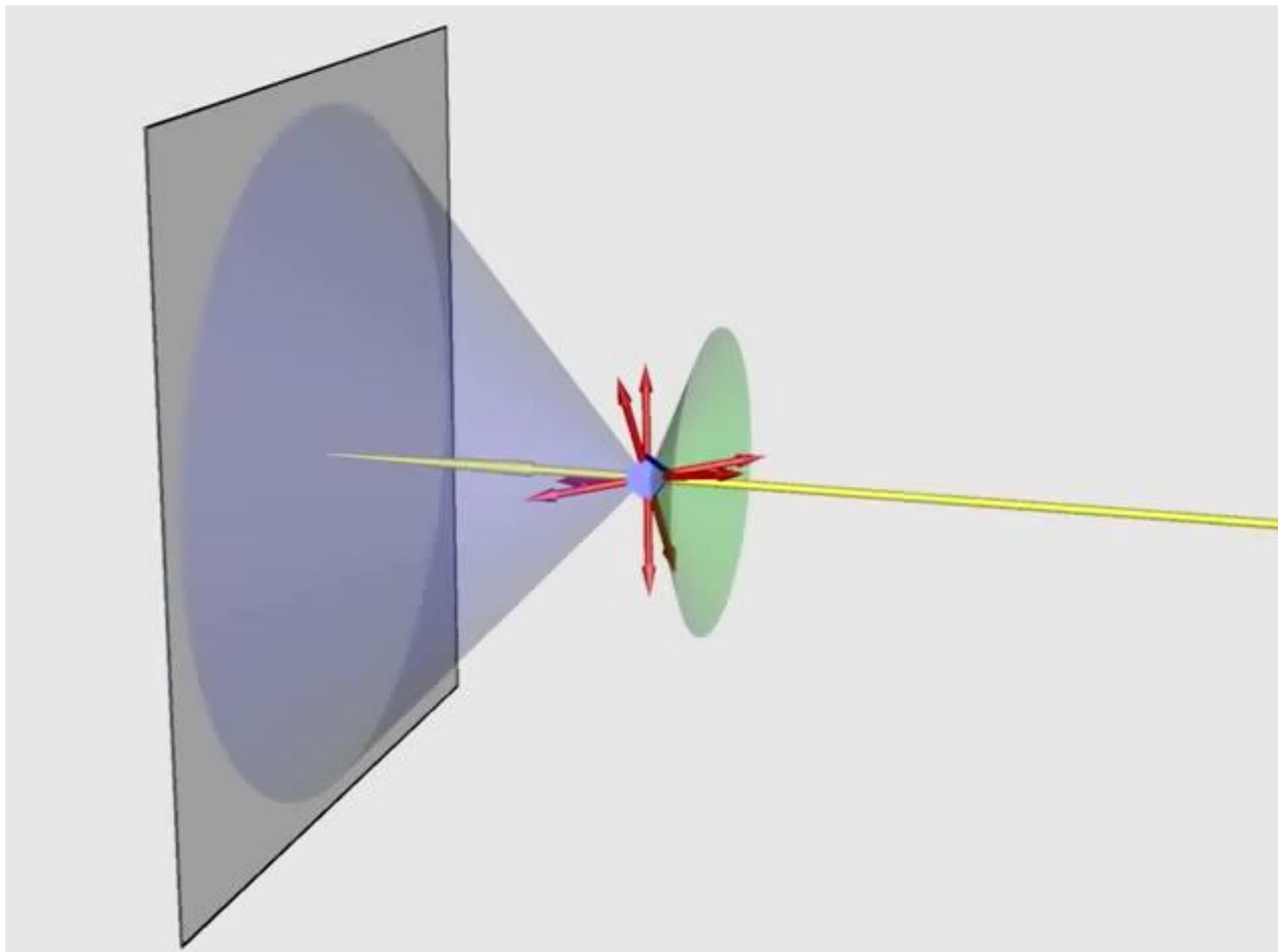
**1D Fourier transform  
of laterally averaged  
scattering density**

**Defect content yields thermal-like smoothing of laterally averaged density: anisotropic intensity reduction**

**Can this be spatially resolved?**

# Bragg scattering: Rotating Crystal & Area Detector

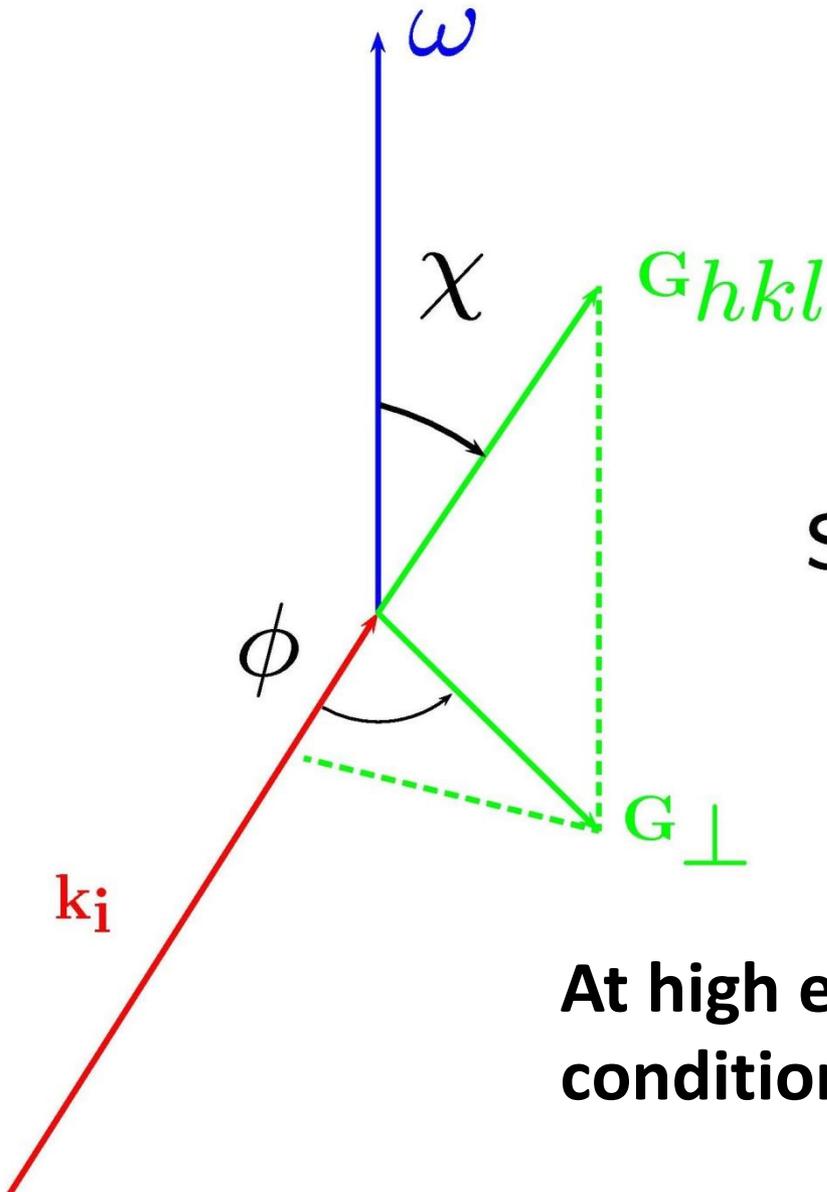
Thanks to Joel Bernier (LLNL)



# Small beam limit of powder diffraction: polycrystals

- **Powder approximation: all orientations are in the beam**
  - All diffraction, all the time
  - Debye-Sherrer rings on area detector
  - Intensities proportional to  $\{hkl\}$  multiplicities
- **Polycrystal scattering**
  - Solid materials with crystalline 'grains'
  - All orientations but typically with 'texture'
    - Non-uniform orientation distributions
    - Due to processing: rolling, drawing, etc.
- **Small beams**
  - Illuminate small number of grains
  - Isolate scattering from individual grains
  - Single crystal probe but in complex environment

# Bragg Condition



$$G_{hkl} = 2k \sin \theta$$

$$\hat{k}_i \cdot \hat{G}_{hkl} = -\frac{|G_{hkl}|}{2k}$$

$$\sin \chi \cos \phi = -\sin \theta$$

$$\chi > \theta \text{ is visible}$$

At high energies,  $\theta$ 's are small and this condition is not restrictive

# Number of Bragg peaks over 180 degrees

$$Q_{max} = 2k \sin \theta_{max}$$

$$2k[\text{\AA}^{-1}] = \frac{4\pi}{\lambda[\text{\AA}]} \approx E[\text{keV}]$$

$$\text{Volume Probed} \approx \frac{4\pi}{3} Q_{max}^3 \quad 1.013$$

$$\text{Density of Bragg points} \sim \frac{V_{prim}}{(2\pi)^3}$$

$$\text{Number of peaks} = N_{peak} \sim \frac{4}{(2\pi)^3} Q_{max}^3 V_{prim}$$

# Number of Bragg peaks over 180 degrees

Example: Aluminum

Near-field:  $Q_z > 0$

$$2\theta_{max} \sim \tan^{-1} \left( \frac{3\text{mm}}{7\text{mm}} \right) = 25^\circ$$

$$Q_{max} \sim 50 \sin 12.5^\circ \approx 10\text{\AA}^{-1}$$

$$N_{peak}^{Al} \approx \frac{2}{(2\pi)^3} (10\text{\AA}^{-1})^3 16\text{\AA}^3 = 129$$

# Number of Bragg peaks over 180 degrees

Example: Aluminum

Far-field:

$$2\theta_{max} \sim \tan^{-1} \left( \frac{0.2\text{m}}{1\text{m}} \right) = 11^\circ$$

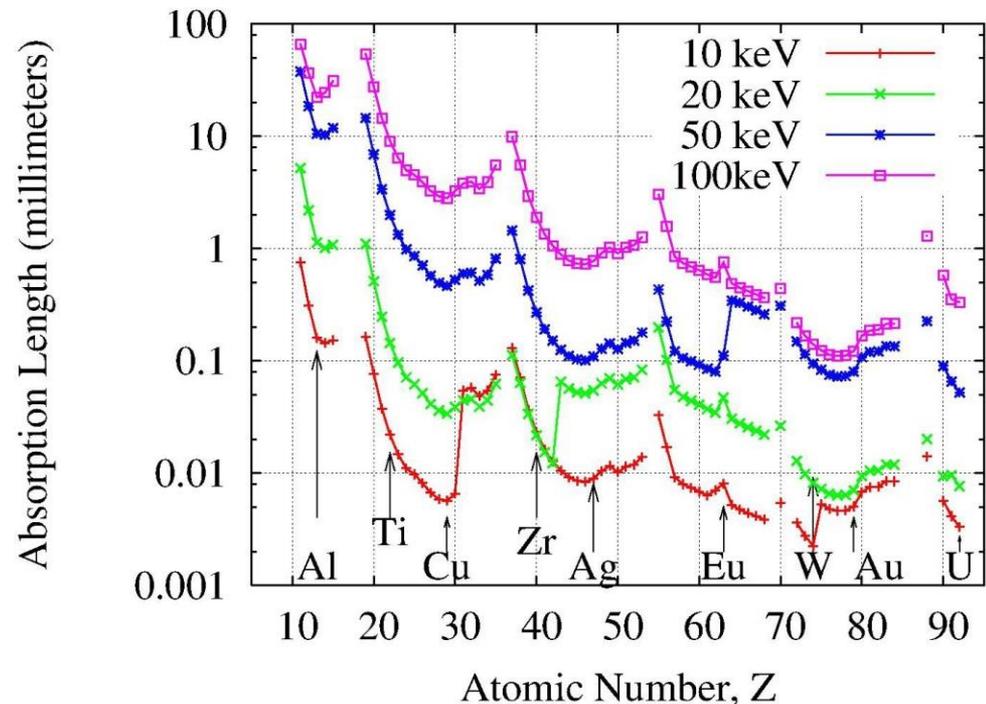
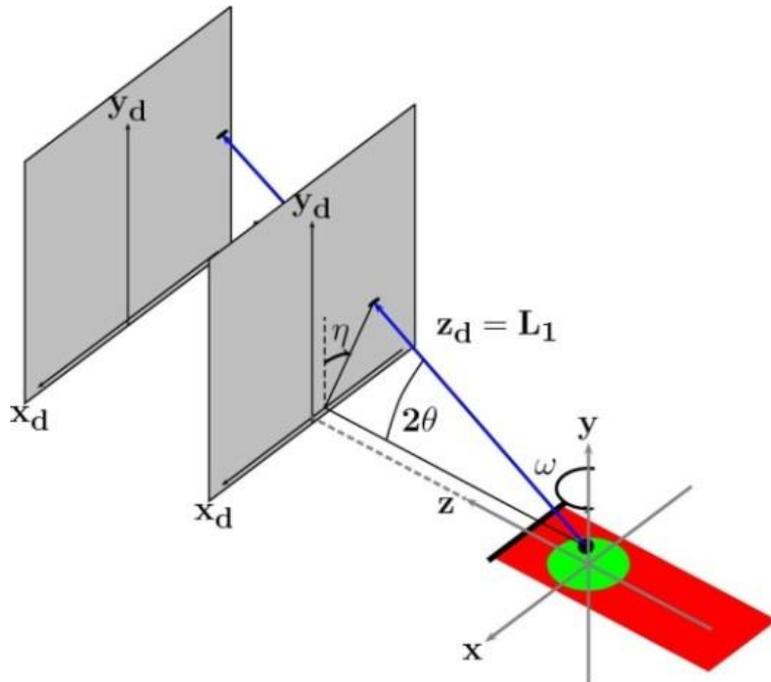
$$Q_{max} \sim 50 \sin 5.5^\circ \approx 5\text{\AA}^{-1}$$

$$N_{peak}^{Al} \approx \frac{4}{(2\pi)^3} (5\text{\AA}^{-1})^3 16\text{\AA}^3 = \textcircled{32}$$

{111}, {200}, {220}: 26 peaks,  $Q_{max} = 4.4\text{\AA}^{-1}$ .

# High Energy X-rays: > 50 keV

- Penetrate millimeter dimensions
- Bragg diffraction at small angles
- Large reciprocal space coverage with small area detector and one rotation

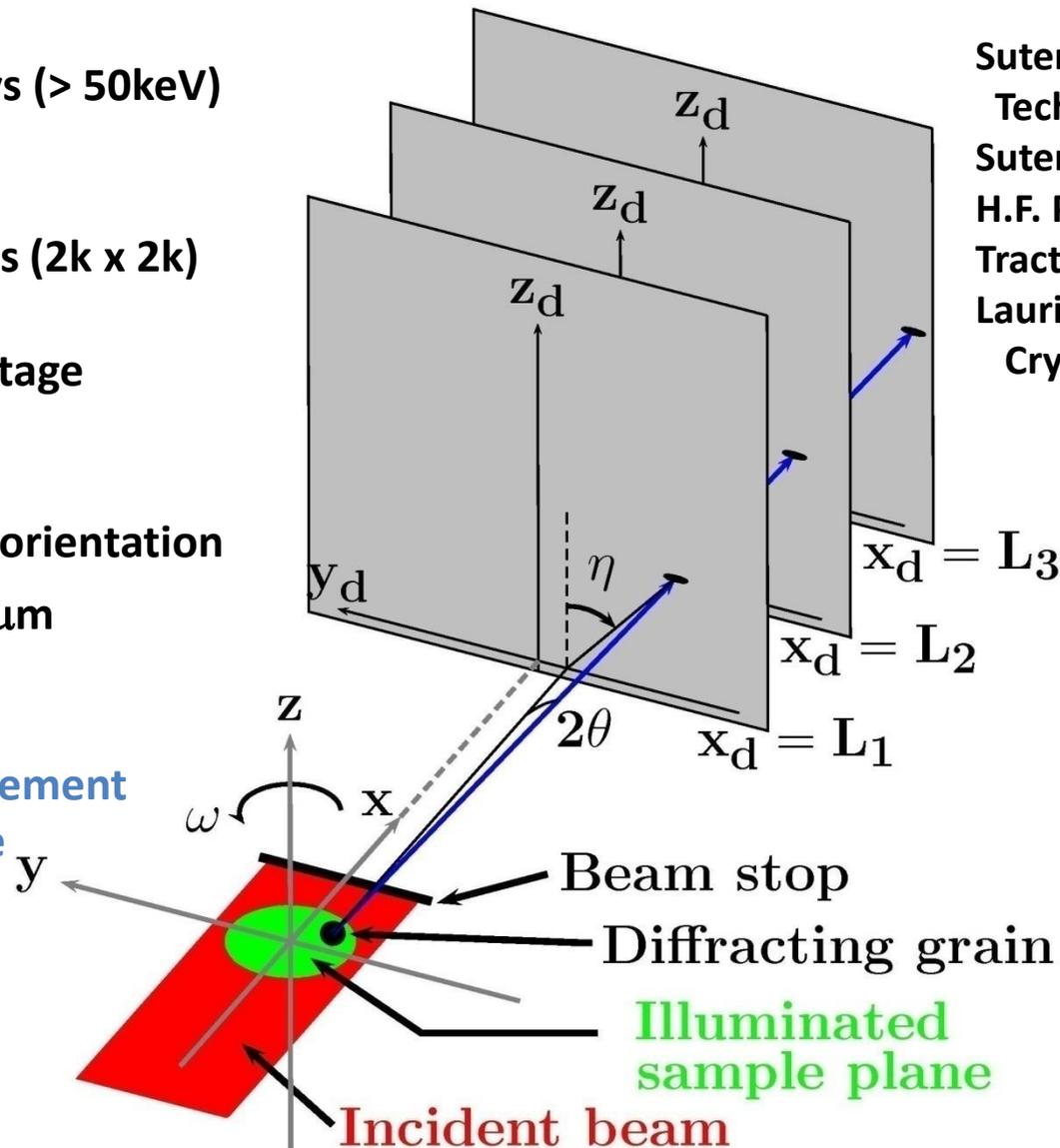


# Near-field HEDM: Crystal Orientation Field Measurement

## Image diffracted beams from planar grain cross-sections

### APS 1-ID

- Monochromatic x-rays ( $> 50\text{keV}$ )
- $1\ \mu\text{m}$  beam height
- 1 - 2 mm beam width
- $1.5\ \mu\text{m}$  detector pixels (2k x 2k)
- $L = 4 - 15\ \text{mm}$
- Air bearing rotation stage
- $0.05 < \delta\omega < 1$  degree
- $\Delta\omega = 180$  degrees
- $\sim 80 - 150$  Braggs per orientation
- Spatial resolution:  $\sim 2\ \mu\text{m}$
- Orientation resolution:  $< 0.1$  degree
- $\sim 4$  layer / hour measurement
- $\sim 100$  layers per volume



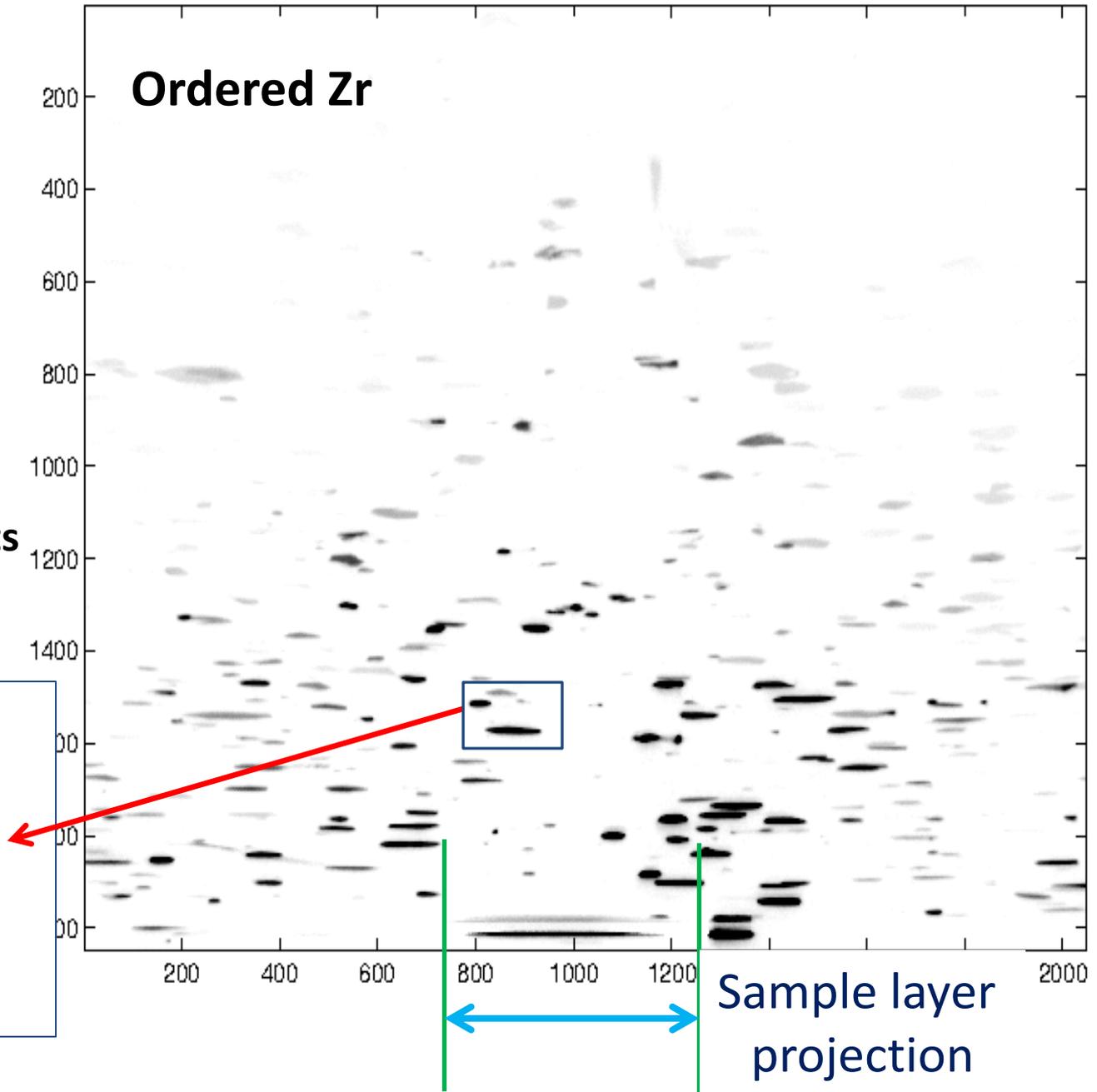
Suter et al, Eng Mat & Tech 2007

Suter et al, RSI 2006  
H.F. Poulsen, Springer Tracts, 2004

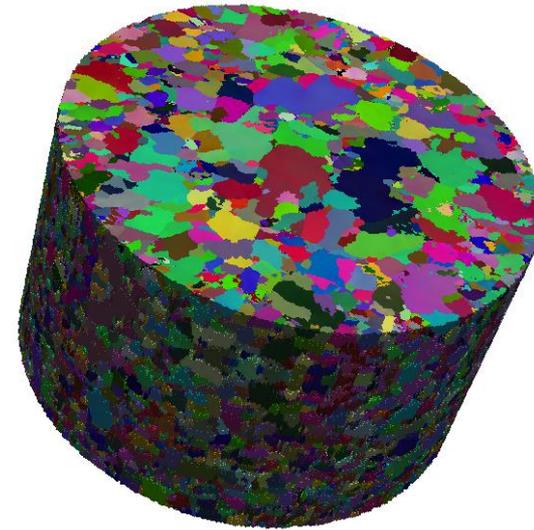
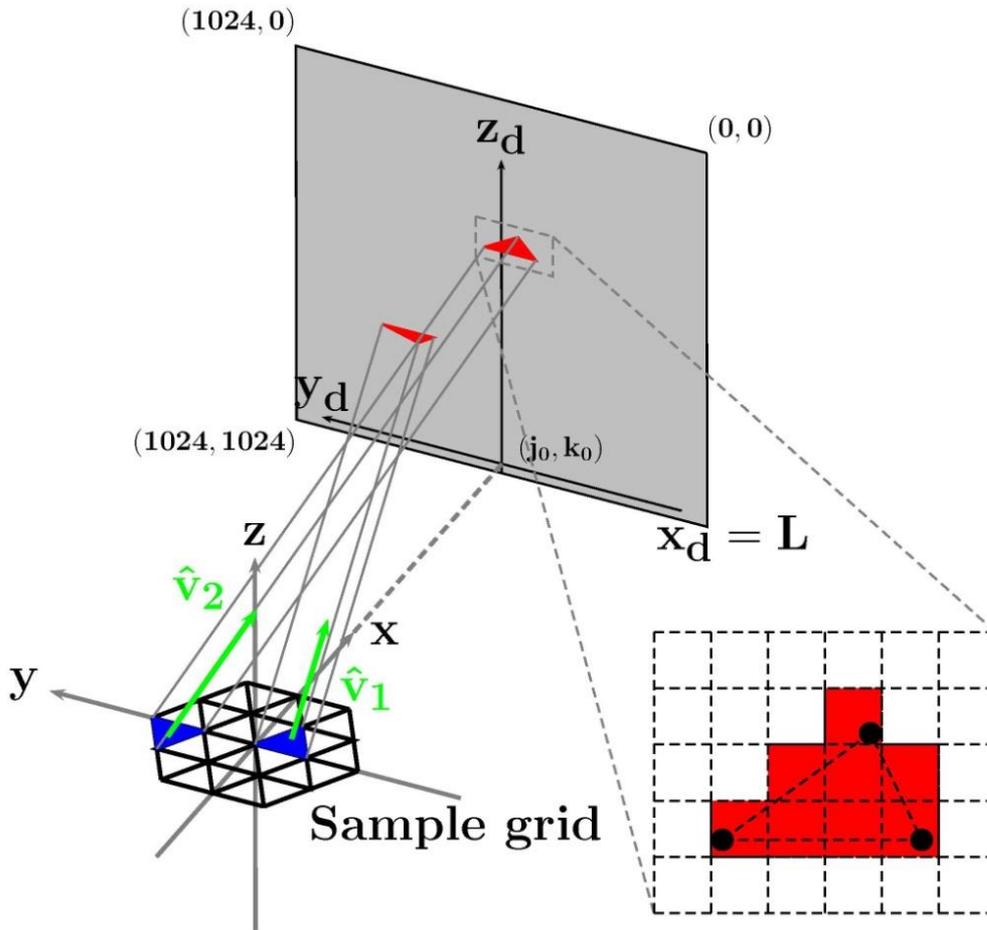
Lauridsen et al, Appl Cryst 2001

# Detector Image: Single Crystal Grains

- One of 180 in 180 deg
- One of 360 per layer
- One of ~ 36,000 per volume
- 288 GB per volume
- ~3.6M diffraction spots



# nf-HEDM: Forward Modeling Reconstruction



Copper:  
 $0.4 \text{ mm}^3$

- Computer simulation replicates experiment
  - $\sim 10^5$  voxels/layer
  - $> 10^7$  orientations resolved per voxel
  - $\sim 100$  layers
- Highly parallel processing:  
CMU, APS clusters, NSF/XSEDE
- Shortcuts:
  - Hierarchical search
  - “Growth” of found orientations
  - Input from far-field measurements

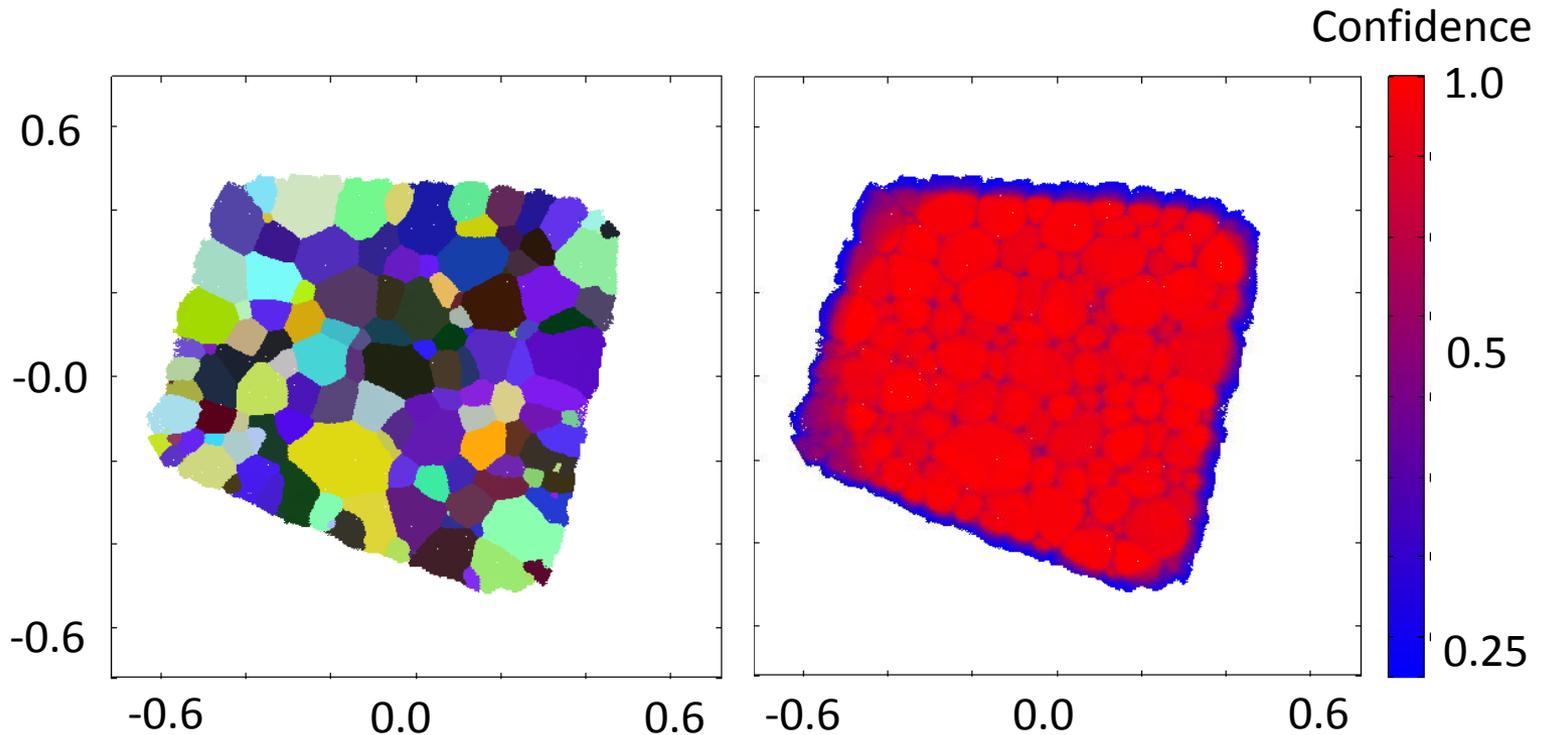
Li & Suter, J. Appl. Cryst. 2013  
Suter et al, RSI 2006



## Confidence metric

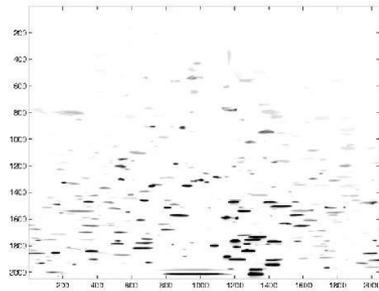
$C = \text{fraction of simulated peaks overlapping experimental peaks}$

- Relative measure, not an absolute metric
- Reduction at grain boundaries/edges: extrapolating voxel scattering to edges of reduced experimental diffraction spots
- Reduction in deformed materials: loss of high Q scattering



# HEDM Microscope

# Work flow

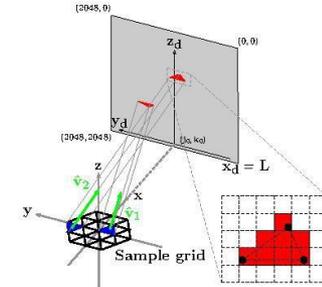


Diffraction Image  
Collection  
[12 - 18 hours/vol]  
[8 MB/image]

GridFTP  
to Orthos cluster  
[real-time]  
[ $\sim 300$  GB/vol]



Background subtraction  
[15 min/layer, post-sweep]  
[ $\sim 60$  GB/vol]



Monte Carlo  
Parameter Optimization/  
Test reconstructions  
[2 - 4 hours]

Reduced data to  
 $\sim 10^3$  core HPC



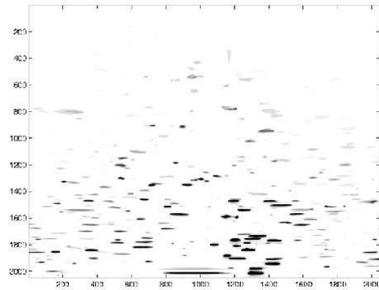
$N$  layer  
Reconstructions  
*TACC Ranger*  
[ $\sim 10$  GB/vol output]

Raw Output  
.mic Files  
[ $\sim 10^7$  voxels]



# HEDM Microscope

# Work flow

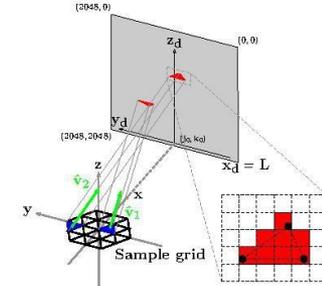


Diffraction Image  
Collection  
[12 - 18 hours/vol]  
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Background subtraction  
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Monte Carlo  
Parameter Optimization/  
Test reconstructions  
[2 - 4 hours]

Reduced data to  
 $\sim 10^3$  core HPC



$N$  layer  
Reconstructions  
*TACC Ranger*  
[ $\sim 10$  GB/vol output]

## 2D Visualization

RF, Confidence Maps  
Boundary lines  
IGM, KAM

## 2D/3D Statistics

< Grain orientation >  
IGM, KAM  
Pole figures

## 3D Tet-Mesh Analysis

CGAL Library  
Grain size, extraction, etc  
GBCD

## Raw Output

.mic Files  
[ $\sim 10^7$  voxels]

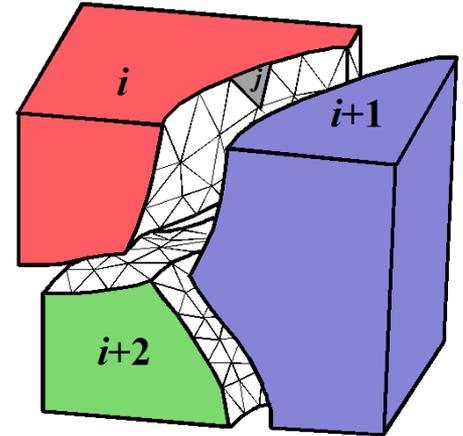
## Feature Tracking

### Between States

Boundary motion  
Twin evolution  
Grain rotation  
Sub-grain structure

# What “Materials’ Responses”?

- **Mechanical: Elasticity**
  - Reversible
  - Pretty well understood
  - Polymers
  - Crystals (anisotropic)
- **Mechanical: Stretching a polycrystal – plastic response**
  - Complex set of anisotropic constraints / interactions
  - Fatigue and failure
- **Thermal: tending toward equilibrium / changing states**
  - Surmounting energy barriers: cooking eggs
  - Melting ice / making steam
- **Heating a polycrystal**
  - Victory for the large and the orderly

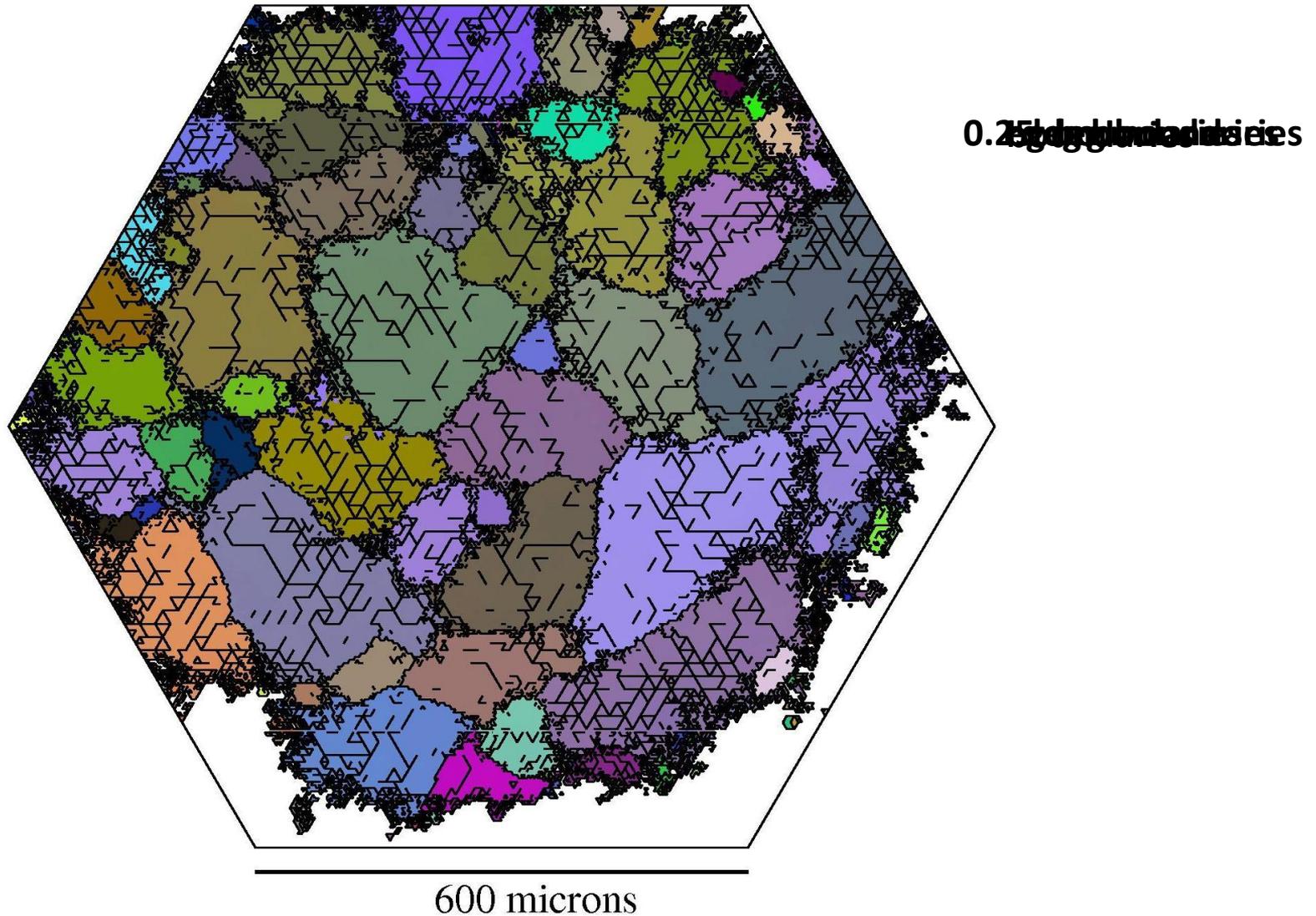


# Outline

1. **nf-HEDM: data collection & orientation field reconstruction**
  - **Computational Forward Modeling Method**
2. **Example 1: Recrystallization in HP Aluminum**
  - **Reconstructions in heterogeneously damaged material**
  - **Recrystallization out of disordered regions**
3. **Example 2: Fatigue fracture surface in a Ni superalloy**
  - **Combined nf-HEDM & Tomography**
  - **Registration and interface region characterization**
4. **Combined near-field and far-field measurements**
  - **AFRL PUP: Ti-7Al orientation & strain tensor map**
5. **Summary and outlook**

# Intra-granular structure: pulled HP Al wire

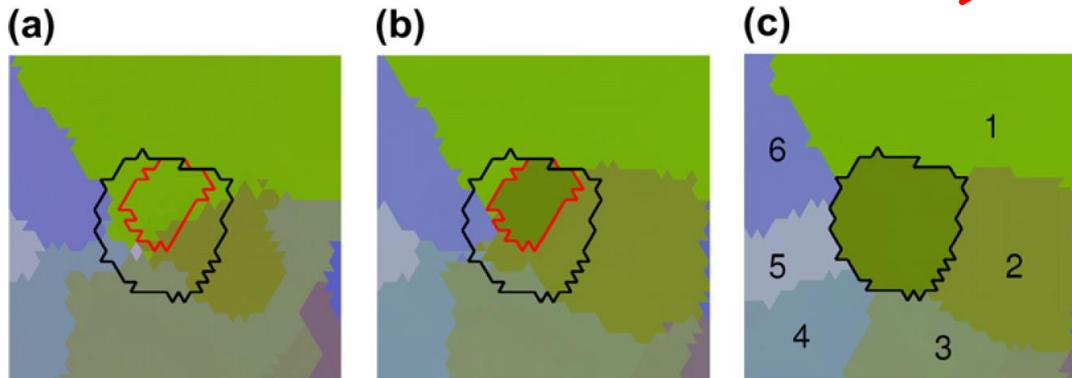
## What's resolvable?



# Recrystallization in pure Al

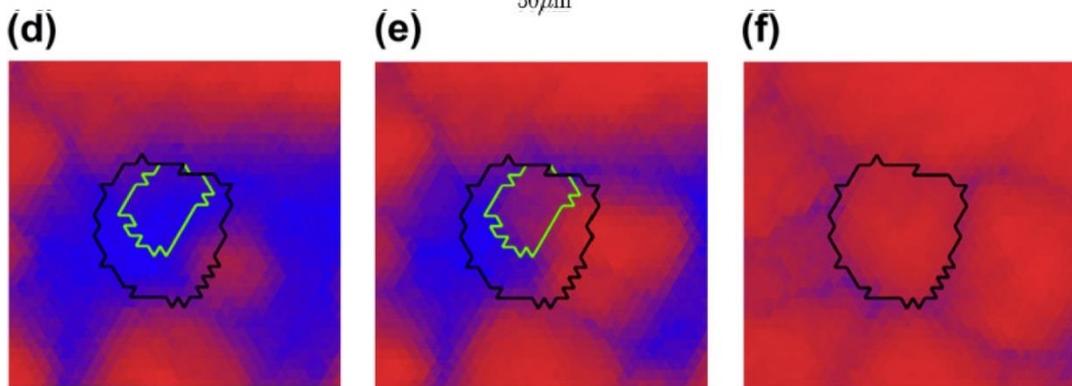
annealing

Voxel-based reconstruction shows new grain and nature of prior neighborhood

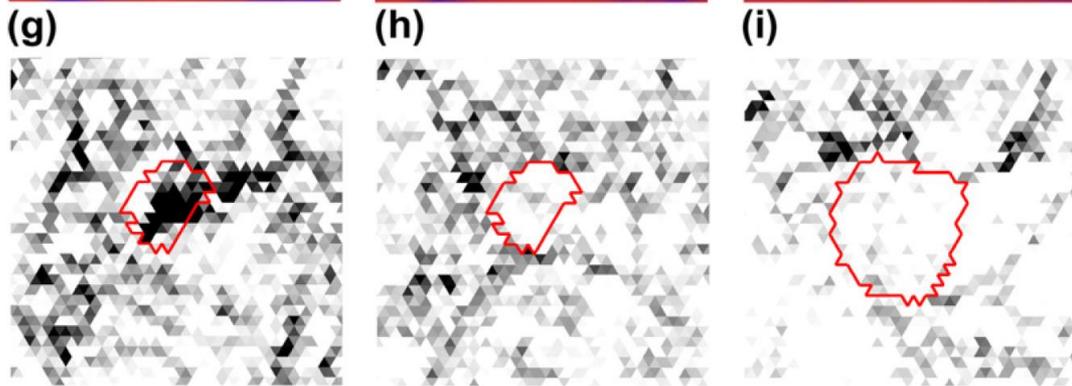


new grain and nature of prior neighborhood

Lattice orientations



Confidence metric



KAM map: 0.5 deg scale

# Outline

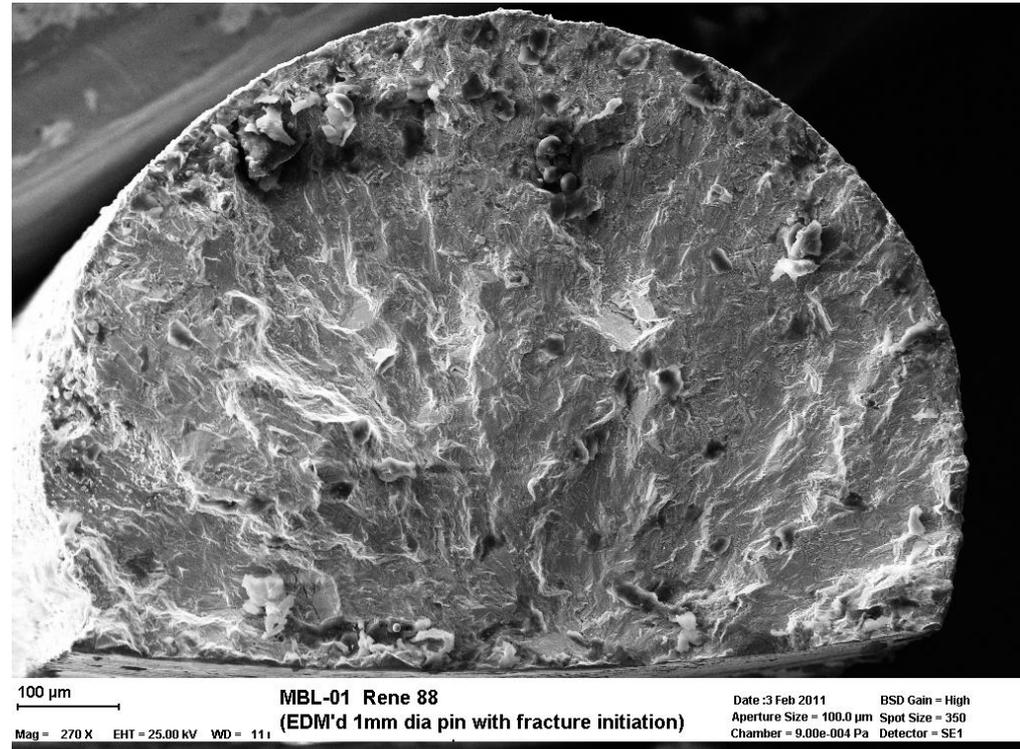
1. nf-HEDM: data collection & orientation field reconstruction
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# Fatigue and Fracture in a Nickel Superalloy

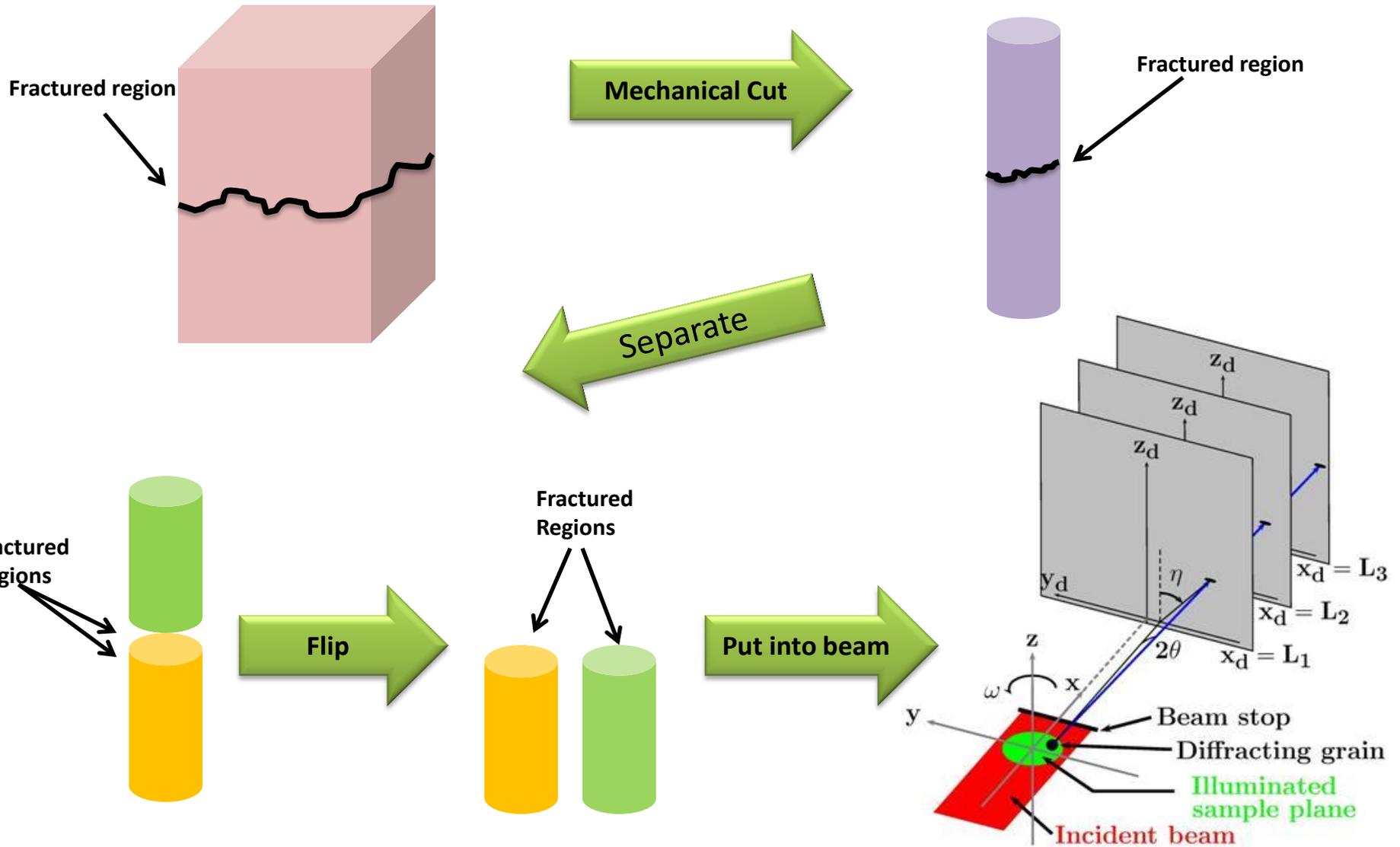
- **A strong, hard metallic alloy used in high temperature, high stress and corrosive environments**
  - **Aircraft and power generation turbines**
  - **Drill bits in wells**
- **Critical questions:**
  - **Where do cracks nucleate?**
  - **What determines the path of the fracture surface?**
  - **What are structural components required for reliable modeling?**
- **Microstructural characteristics**
  - **Crystal orientations relative to load**
  - **Grain boundary orientations relative to load**
  - **Grain boundary type distribution**

# Fatigue and Fracture in a Nickel Superalloy

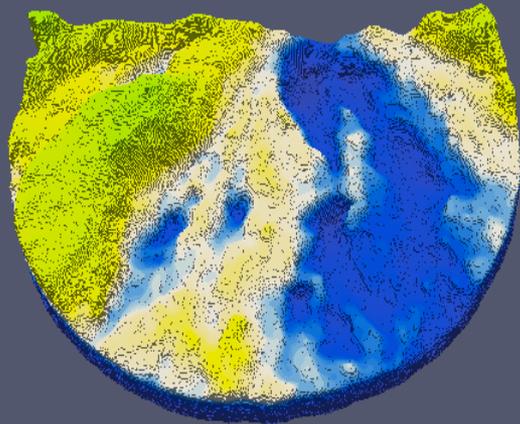
- Questions for HEDM measurements
  - Can fatigued / deformed microstructures be mapped?
  - Registration of distinct modalities?
- Good news:
  - Tomo & HEDM can be done with same detector & setup
  - No sample handling



# Experiment Schematic



# Fracture Surfaces: High Energy X-ray Tomography

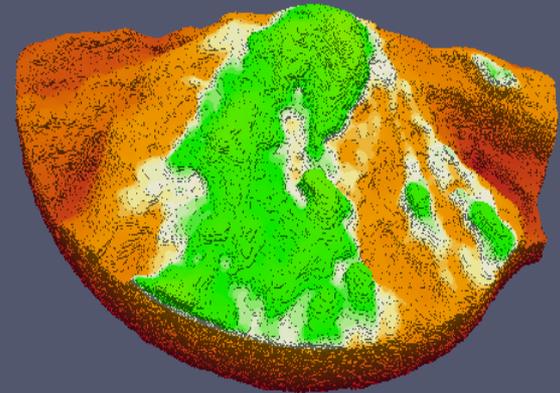


First Piece

Colored by height

Low = Blue

High = Green



Second Piece

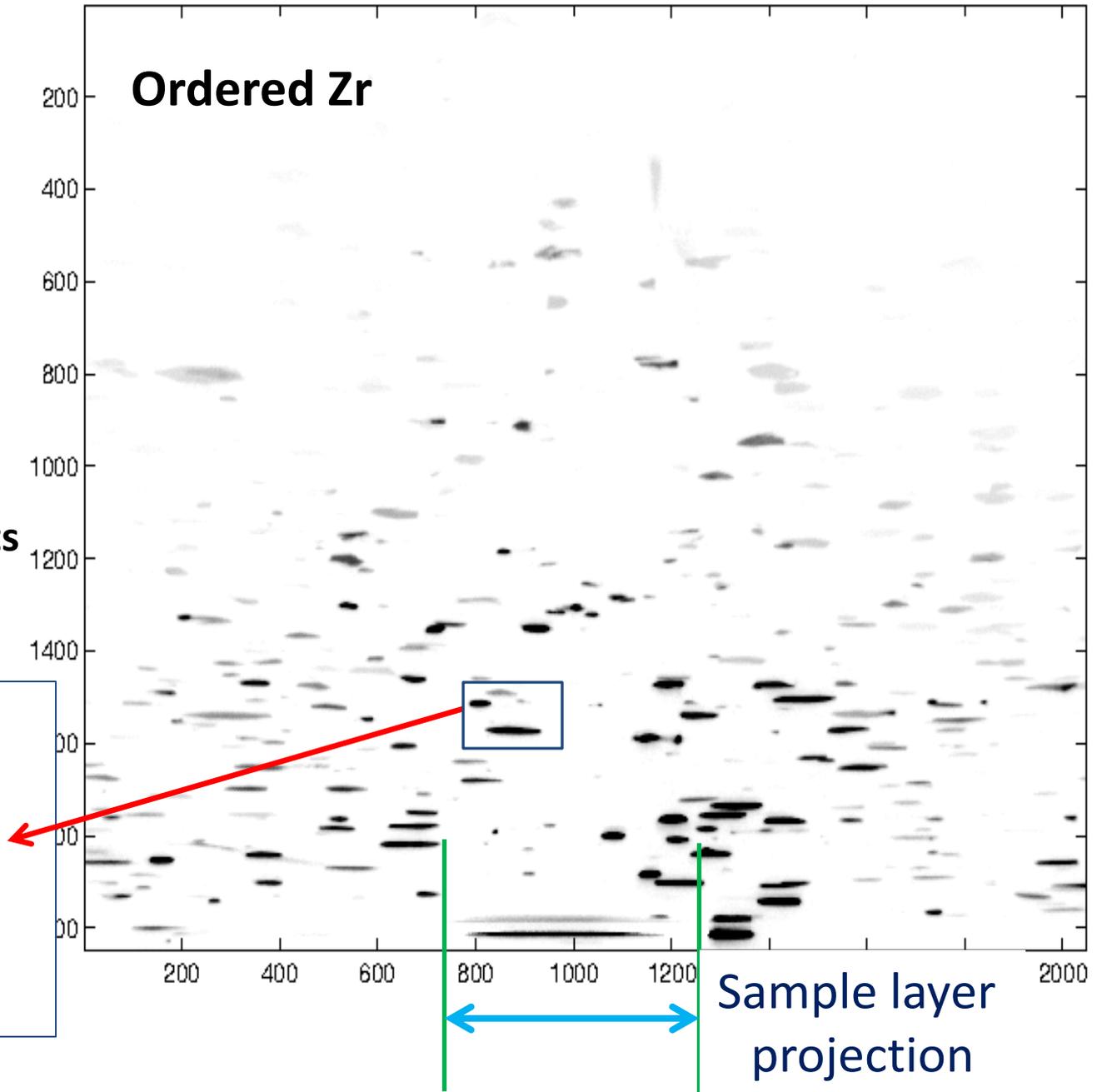
Colored by height

Low = Red

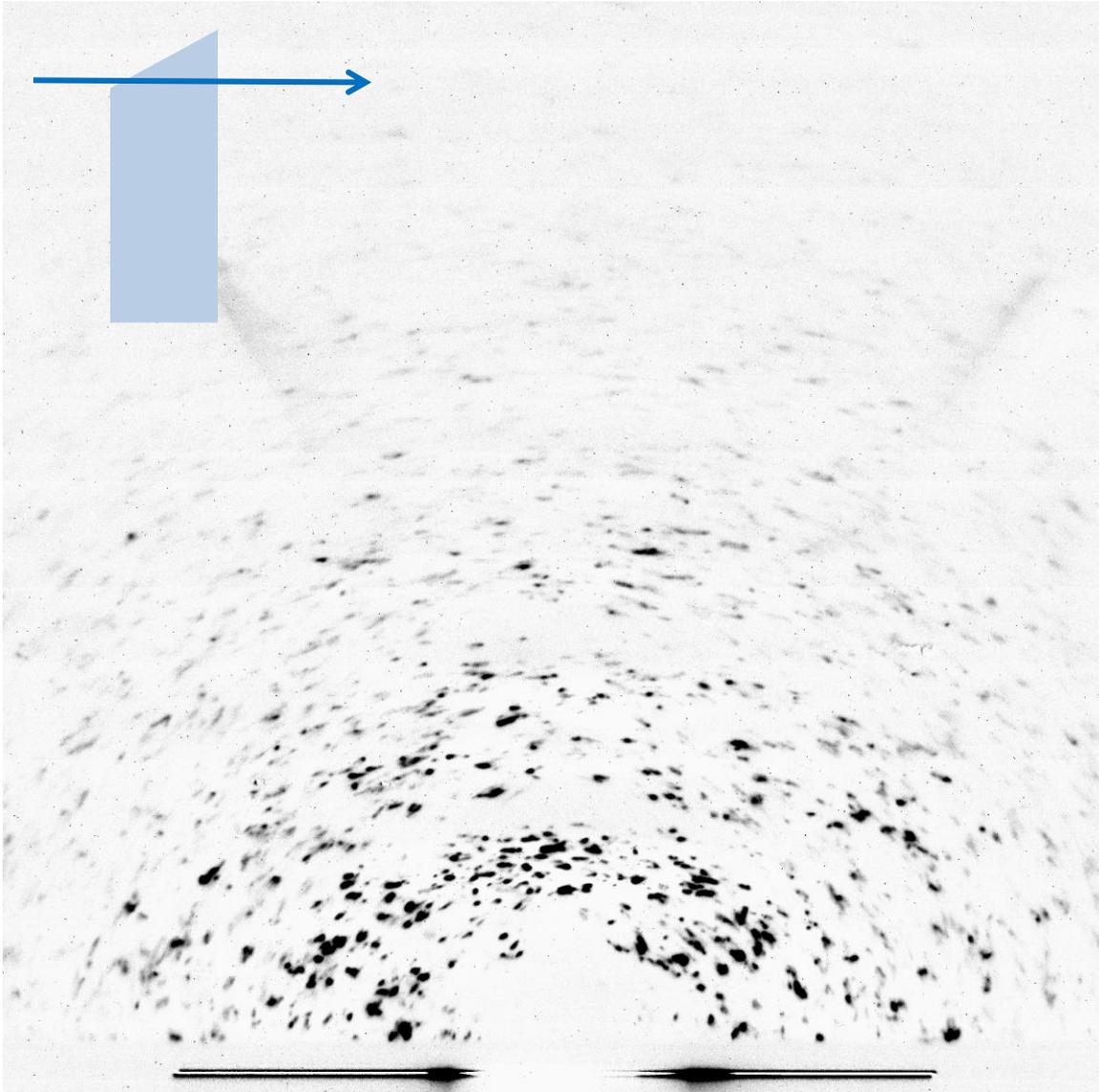
High = Green

# Detector Image: Single Crystal Grains

- One of 180 in 180 deg
- One of 360 per layer
- One of ~ 36,000 per volume
- 288 GB per volume
- ~3.6M diffraction spots



# Fatigued/Fractured Superalloy Diffraction Image



**Layer 40 ( $z = 0.048 \text{ } \mu\text{m}$ )  
As collected  
diffraction image**

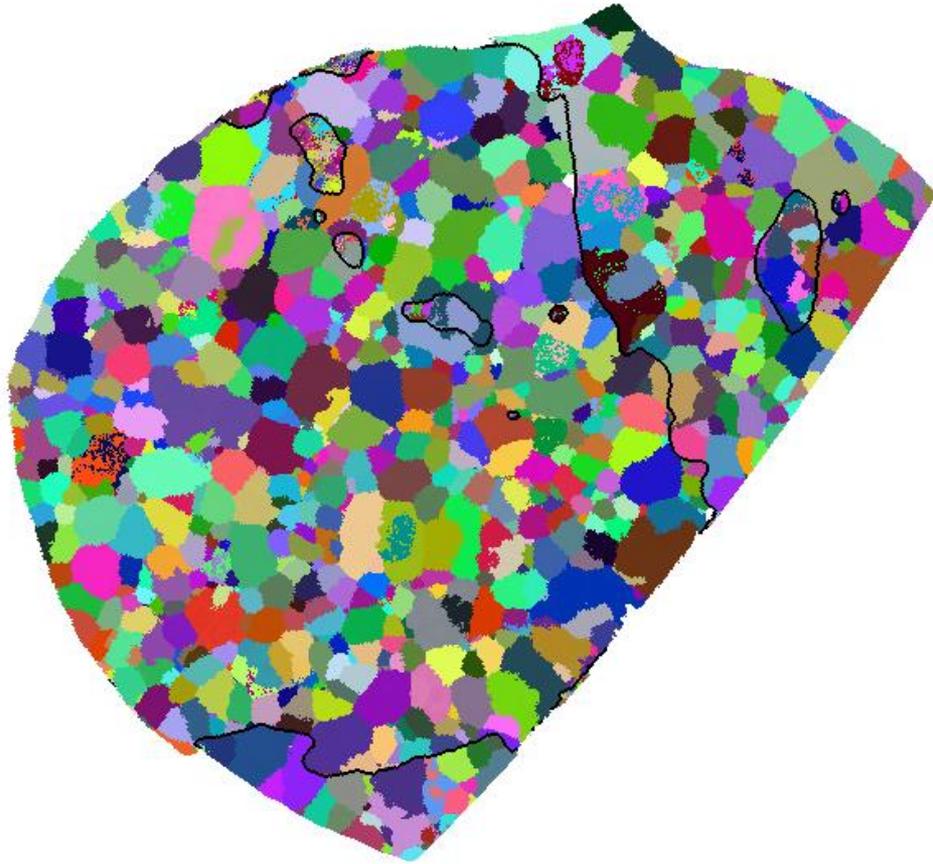
**Deep in bulk:**

- Full cross-section contributes
- Least damaged layer

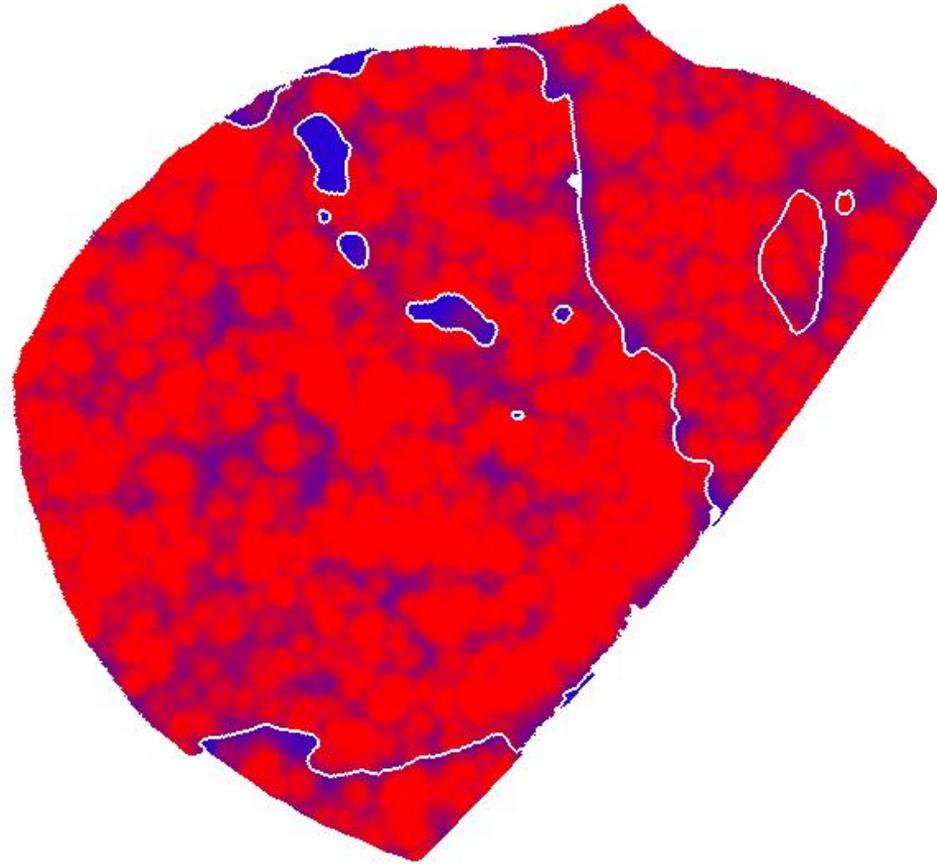
**Arc-like patterns:  
orientation gradients  
within grains -- scattering is  
broad in ( $\eta$ ,  $\omega$ )**

**Dense spot pattern:  
Large number of small grains**

# Orientation and Confidence Maps: Two pieces reconstructed and rejoined

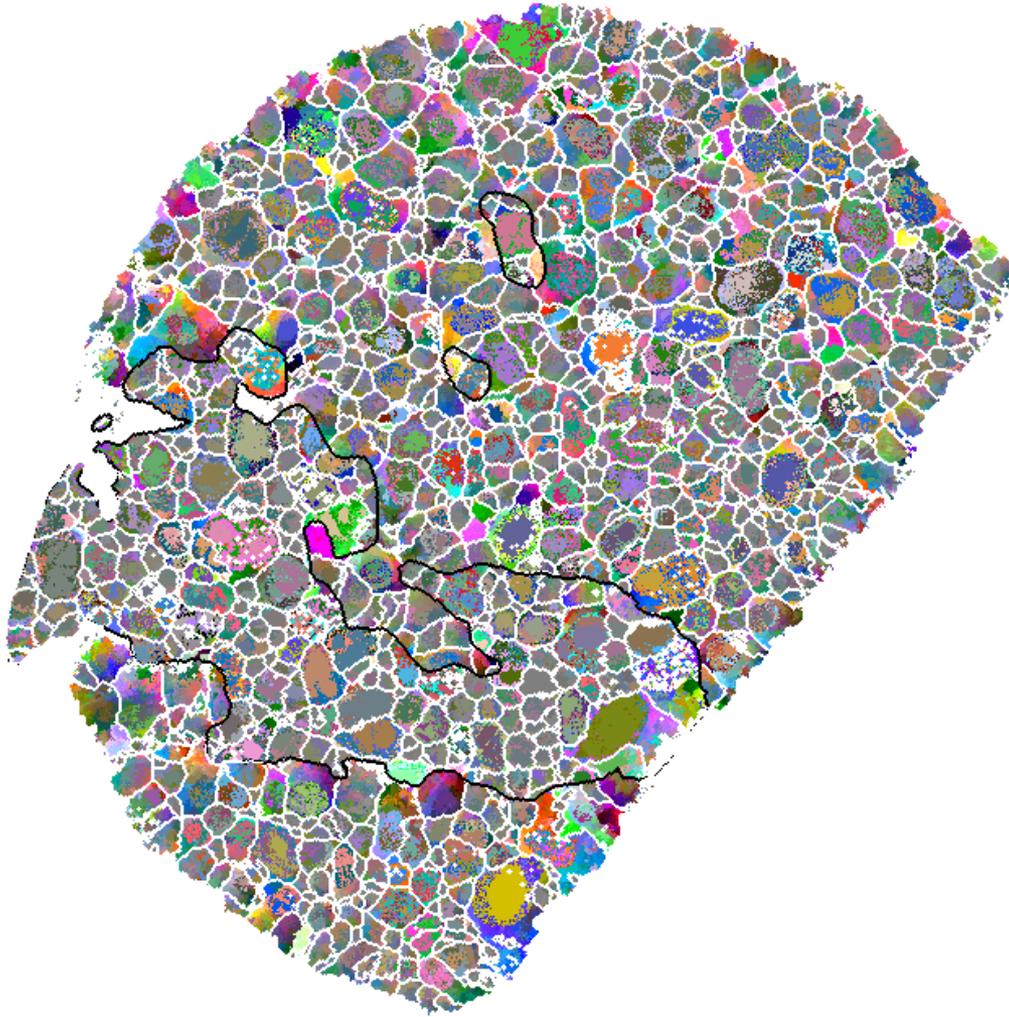


**Orientations**



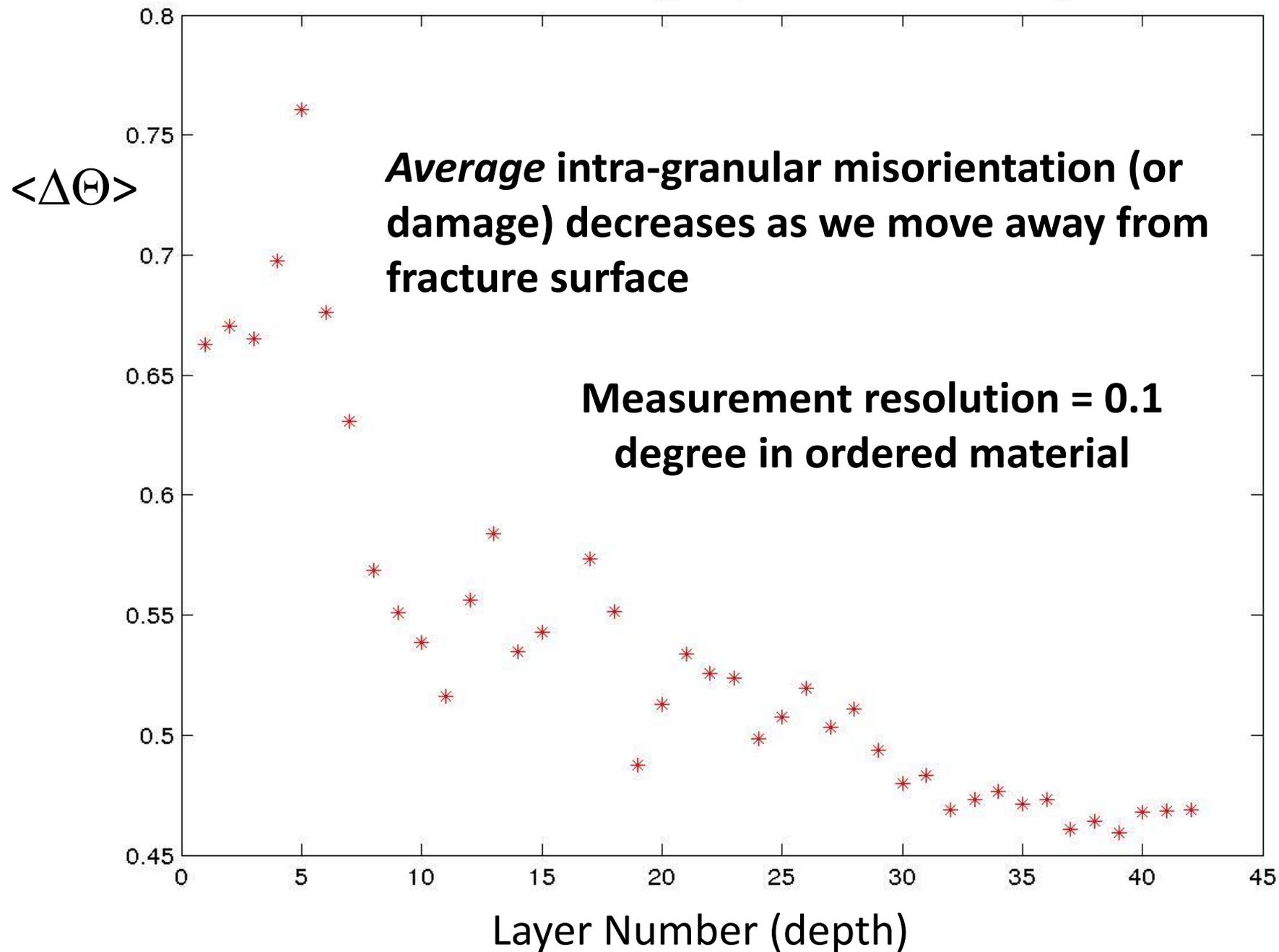
**Confidence**

# Substantial Intra-granular Orientation Variation

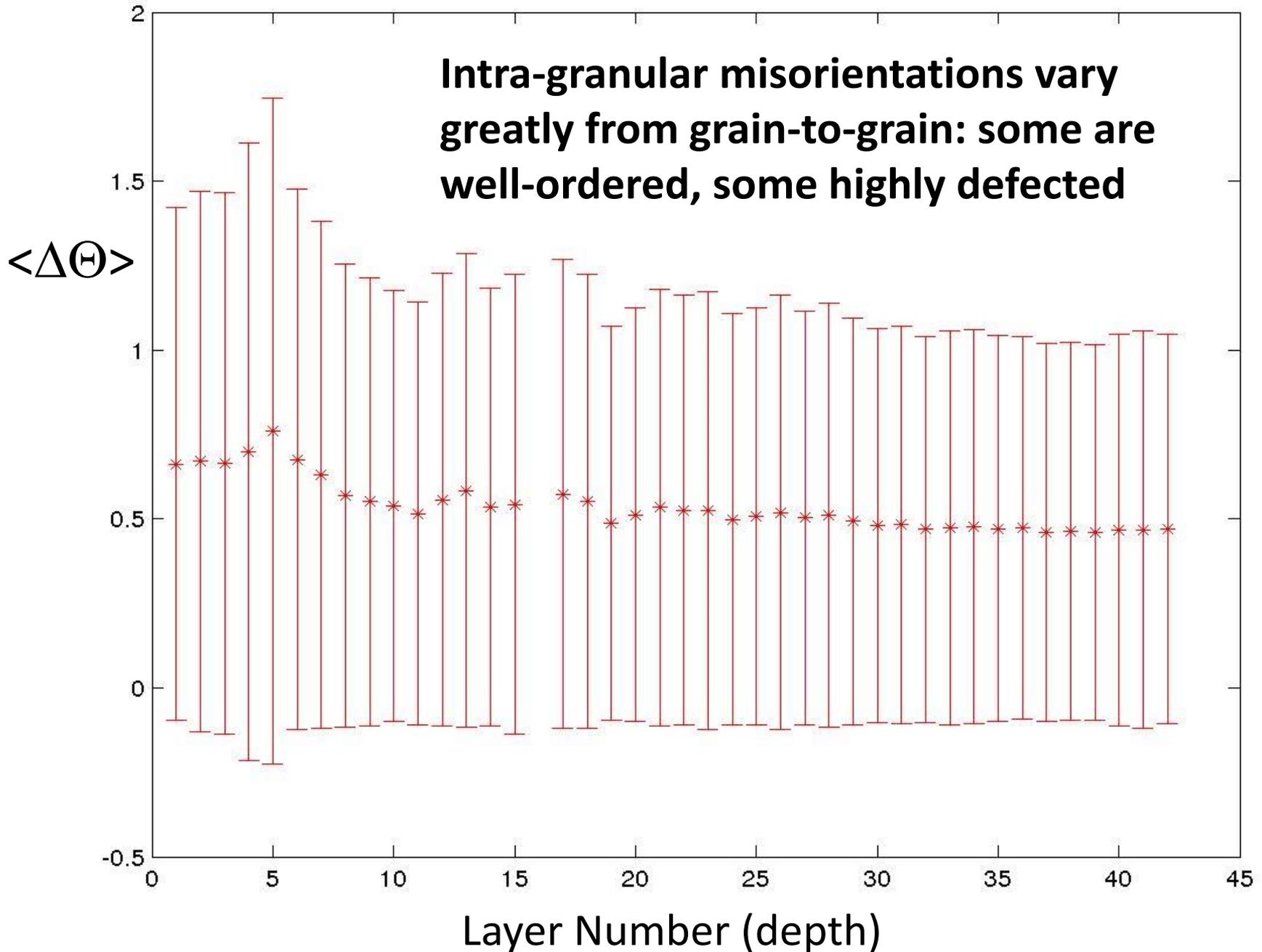


- **White lines: boundaries with  $> 2$  degree orientation discontinuity**
- **Colors: misorientation between voxel and grain averaged orientation**
- **Black lines: fracture surface intersection**

Mean misorientation for single layer as a function of layer index

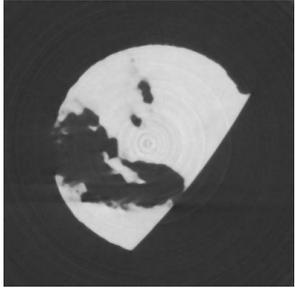


Misorientation statistics for single layer as a function of layer index



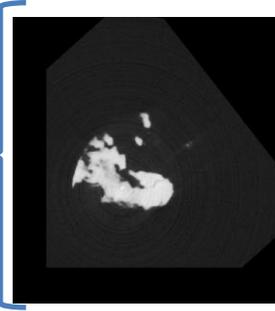
# Alignment Procedures

First Piece Tomo

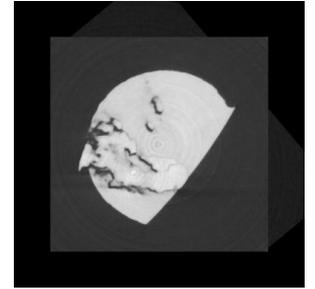


Second Piece Tomo

$$T_t(x, y, z, \theta, \chi, \phi)$$



Combined Tomo

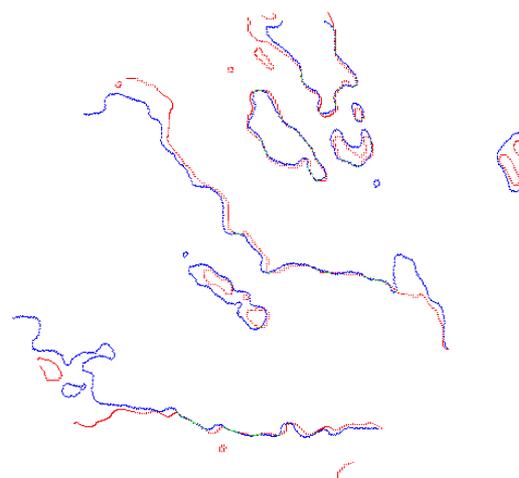
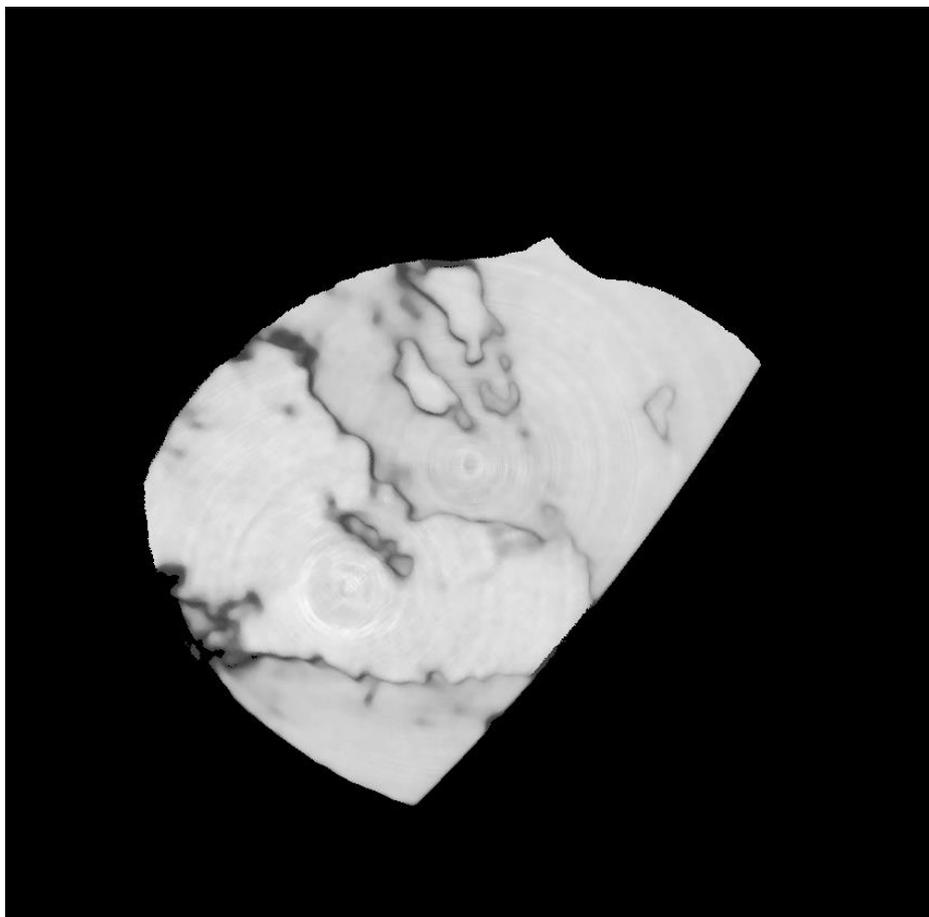


# 3D Aligned tomographic images

Density

L80

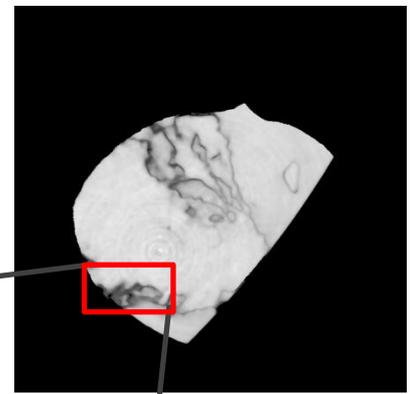
Surface Contours



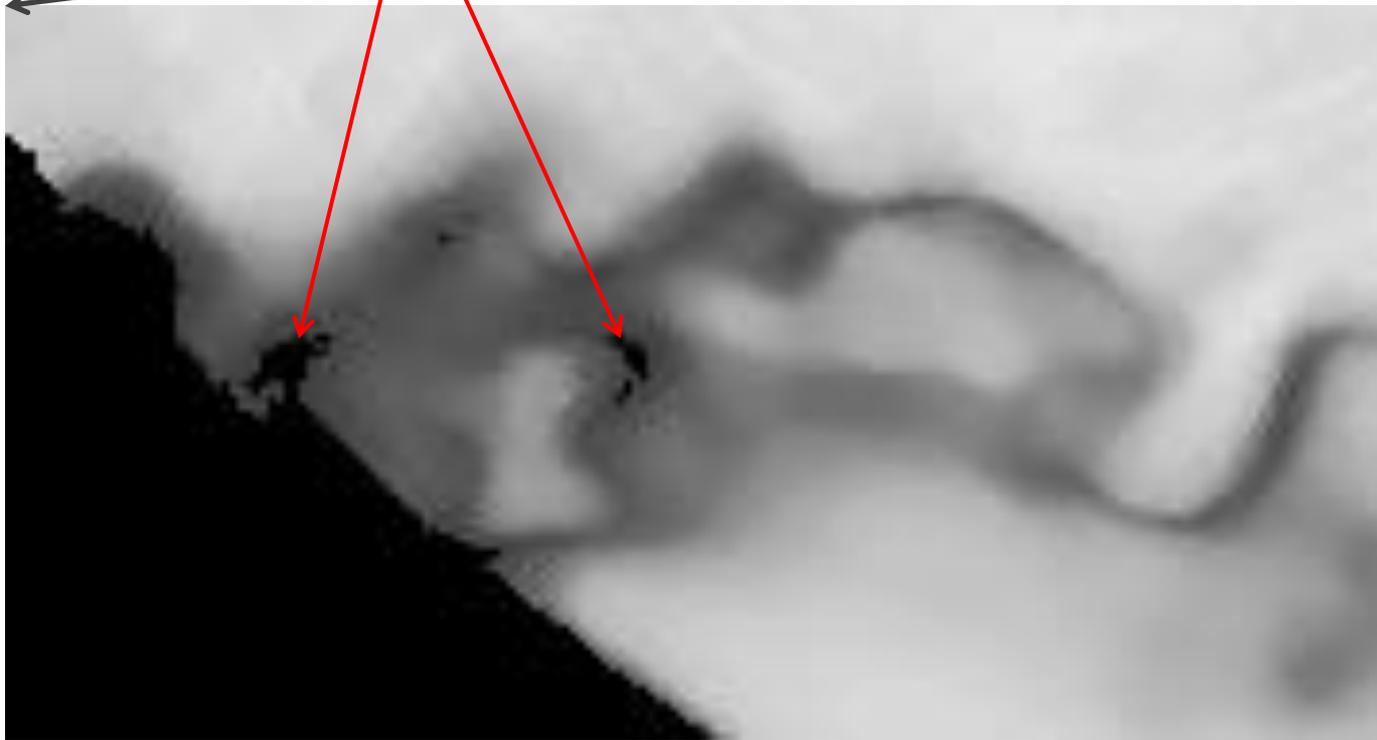
1 mm

# 3D Aligned tomographic images

Small amount of missing material



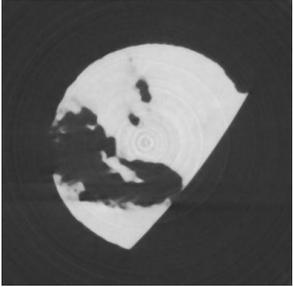
L75



0.3 mm

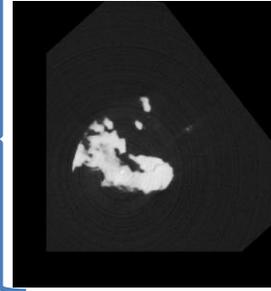
# Alignment Procedures

First Piece Tomo

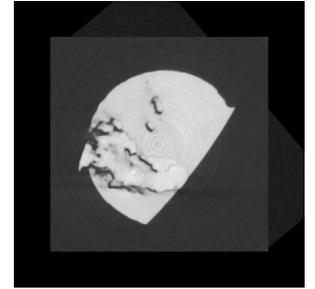


Second Piece Tomo

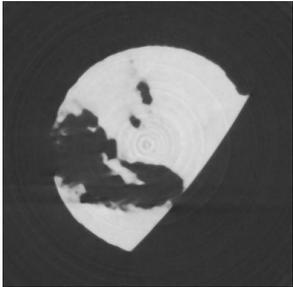
$$T_t(x, y, z, \theta, \chi, \phi)$$



Combined Tomo

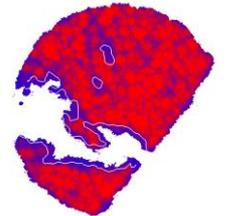
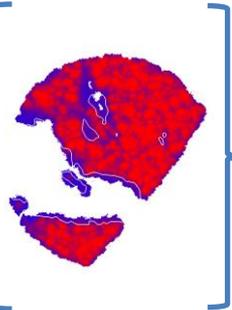


Piece<sub>i</sub> Tomo



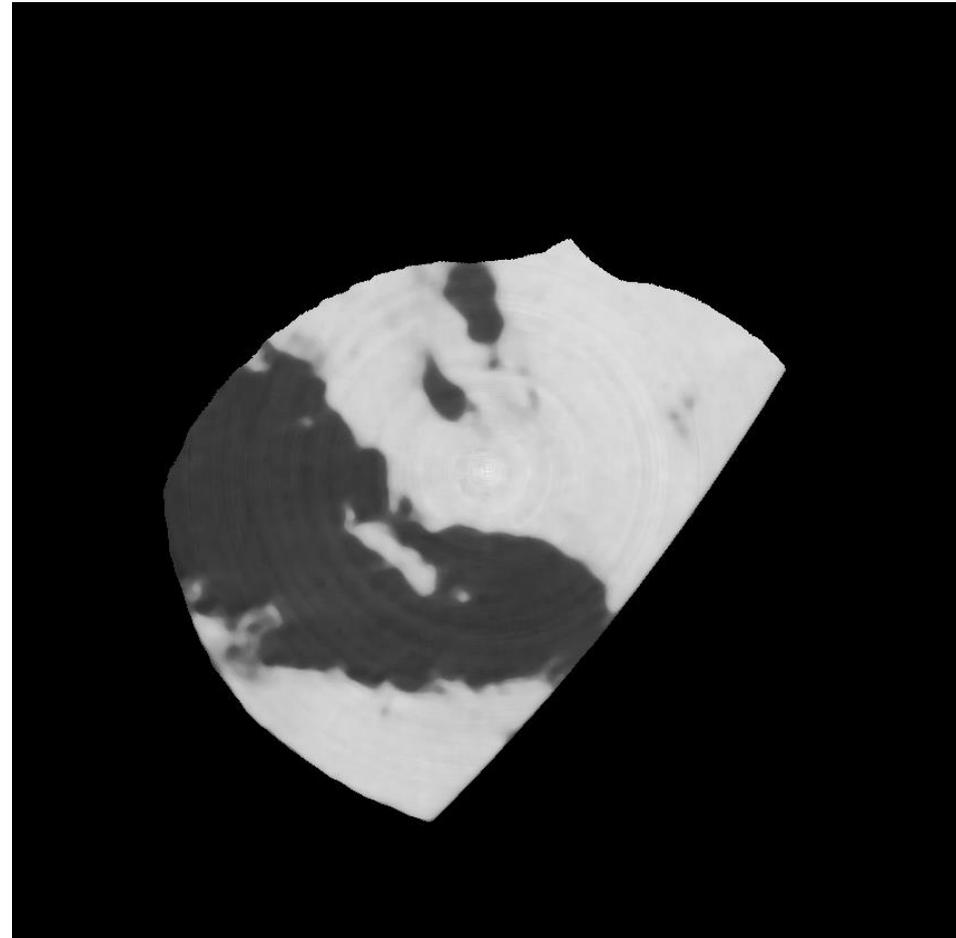
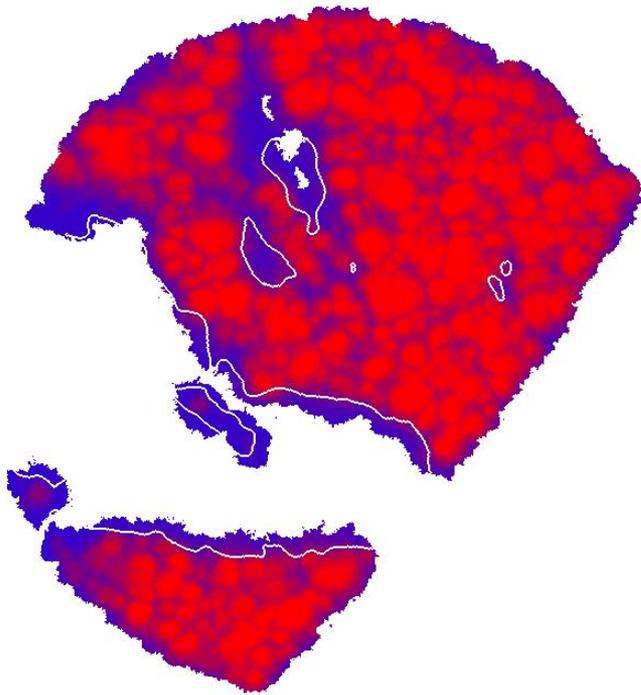
Piece<sub>i</sub> HEDM

$$T_{t-H}(z)$$



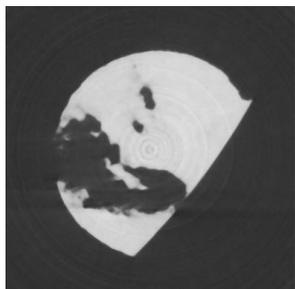
# HEDM – Tomography Alignment

- Confidence metric estimates surface for each piece
- Optimize HEDM and Tomo surfaces
- z-translation constrained to less than HEDM layer spacing



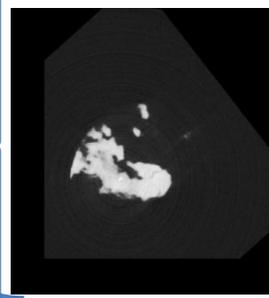
# Alignment Procedures

First Piece Tomo

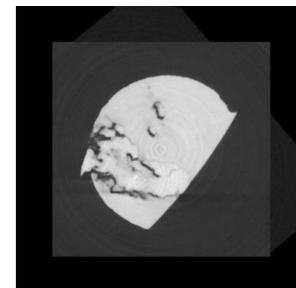


Second Piece Tomo

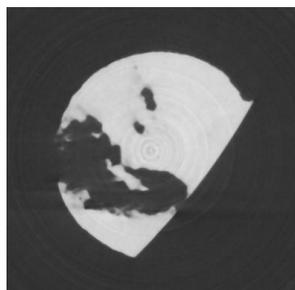
$$T_t(x, y, z, \theta, \chi, \phi)$$



Combined Tomo

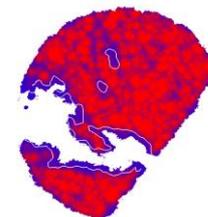
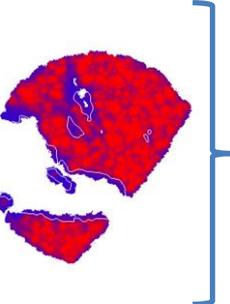


Piece<sub>i</sub> Tomo



Piece<sub>i</sub> HEDM

$$T_{t-H}(z)$$

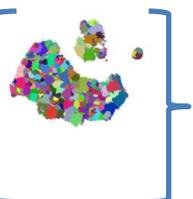


First Piece



Second Piece

$$T_t(x, y, z, \theta, \chi, \phi) T_{t-H}(z)$$

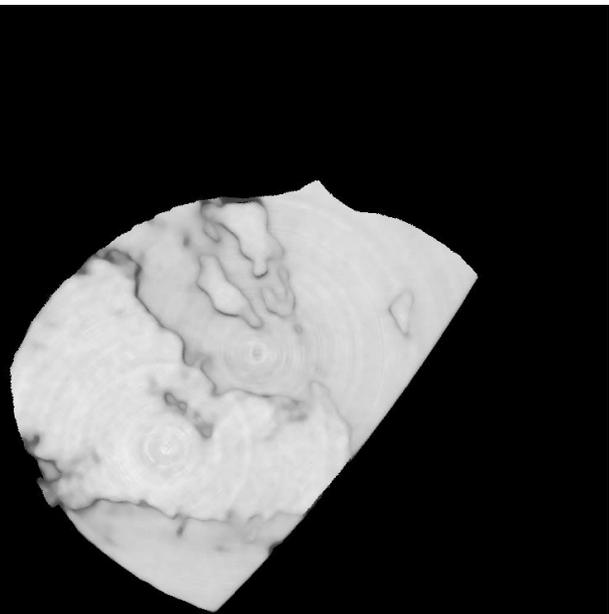
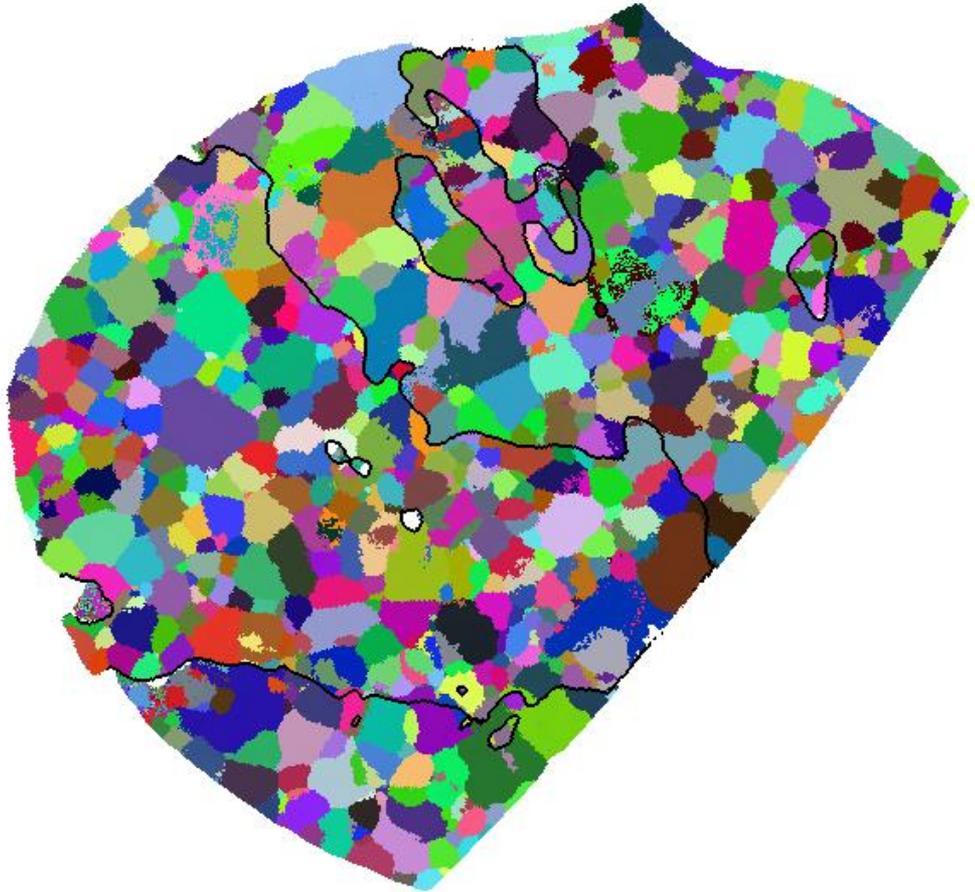
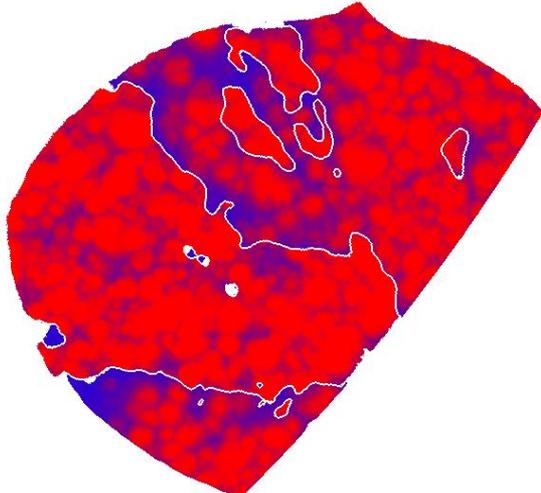


Combined



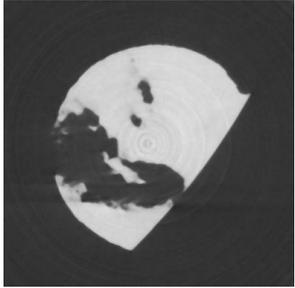
# Merged Data: 3D Orientations, Fracture Surface, Uncertainty

L21\_7



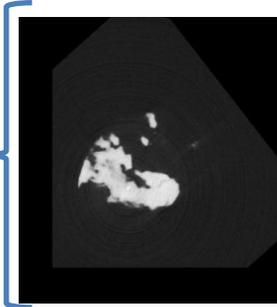
# Alignment Procedures

First Piece Tomo

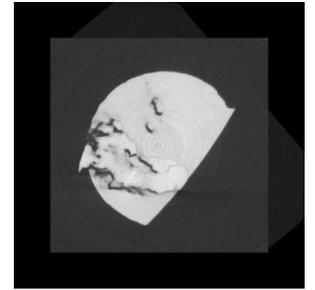


Second Piece Tomo

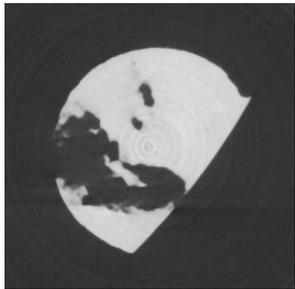
$$T_t(x, y, z, \theta, \chi, \phi)$$



Combined Tomo

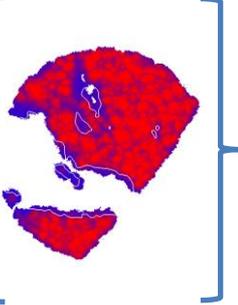


Piece<sub>i</sub> Tomo



Piece<sub>i</sub> HEDM

$$T_{t-H}(z)$$

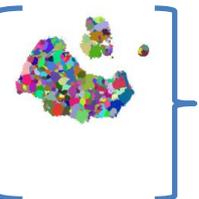


First Piece



Second Piece

$$T_t(x, y, z, \theta, \chi, \phi) T_{t-H}(z)$$

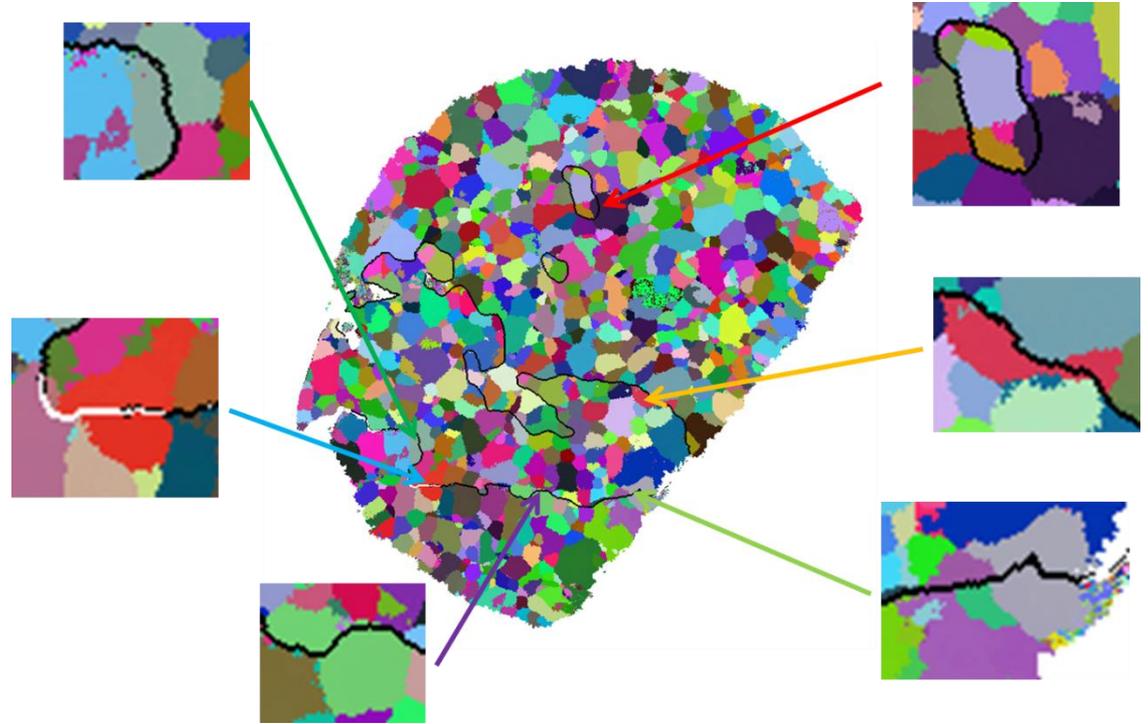
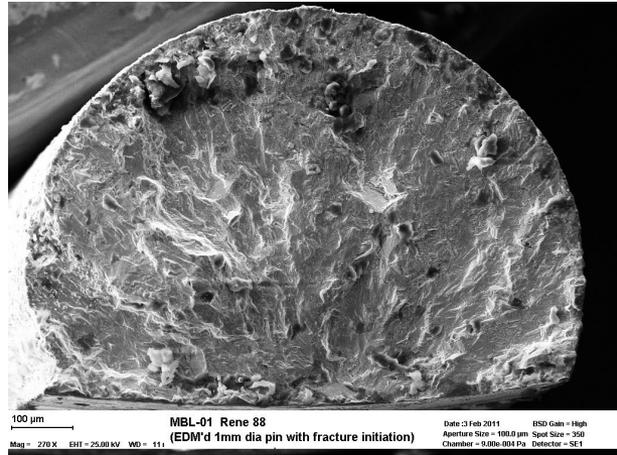


Combined

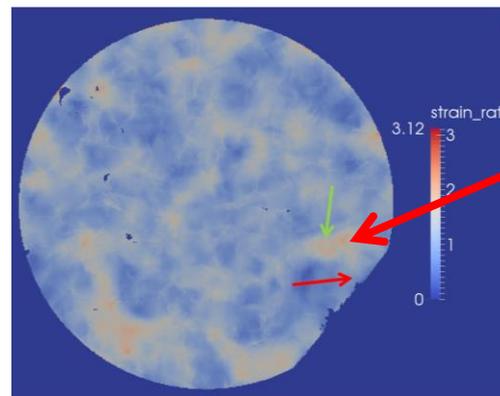
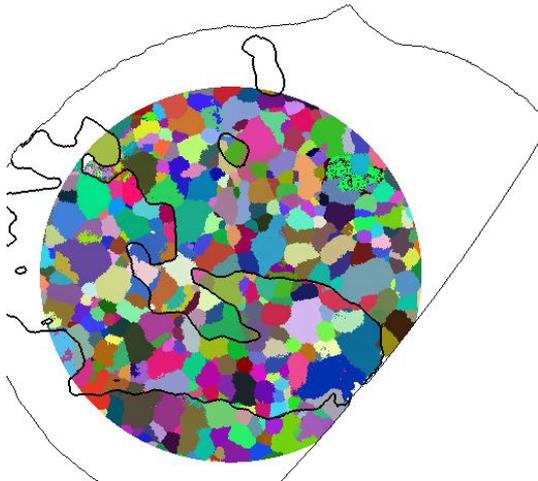


# Observed Mesoscale Responses

## Fatigue fracture in aerospace Ni superalloy



Tan, et al, in preparation



Computed stress hot spot near observed fracture initiation

# Fracture Surface Statistics: Current Work

- **Compute local surface normals from tomography**
- **Determine crystal axes along normals**
- **Determine inter-granular grain boundary fracture**
- **Determine intra-granular fracture orientation**
- **Comparison to plasticity model computations using orientation reconstruction as starting point**
- **Next: In-situ / pre-fracture evolution of microstructure with strain sensitivity added**

# Current Developments at APS Sector 1: Multi-modal Measurement and Analysis

- AFRL led Partner User Program (PUP) allocation
  - Technique/hardware development
    - Combined nf- and ff-HEDM and tomography and...
    - Tension/compression/cycling at elevated T
  - Analysis code development
  - Collaboration: [AFRL](#), [APS](#), [LLNL](#), [CMU](#), [CHESS/Cornell](#)
- APS Upgrade: 10 – 50 X brilliance, stability, new fixed E beam line
- Near-field HEDM: orientation mapping and tracking
- Far-field HEDM: grain/cross-section averaged strain tensors
- HE-tomography: sample shape, inclusions, cracks, void tracking

**Currently at 1-ID taking data!**

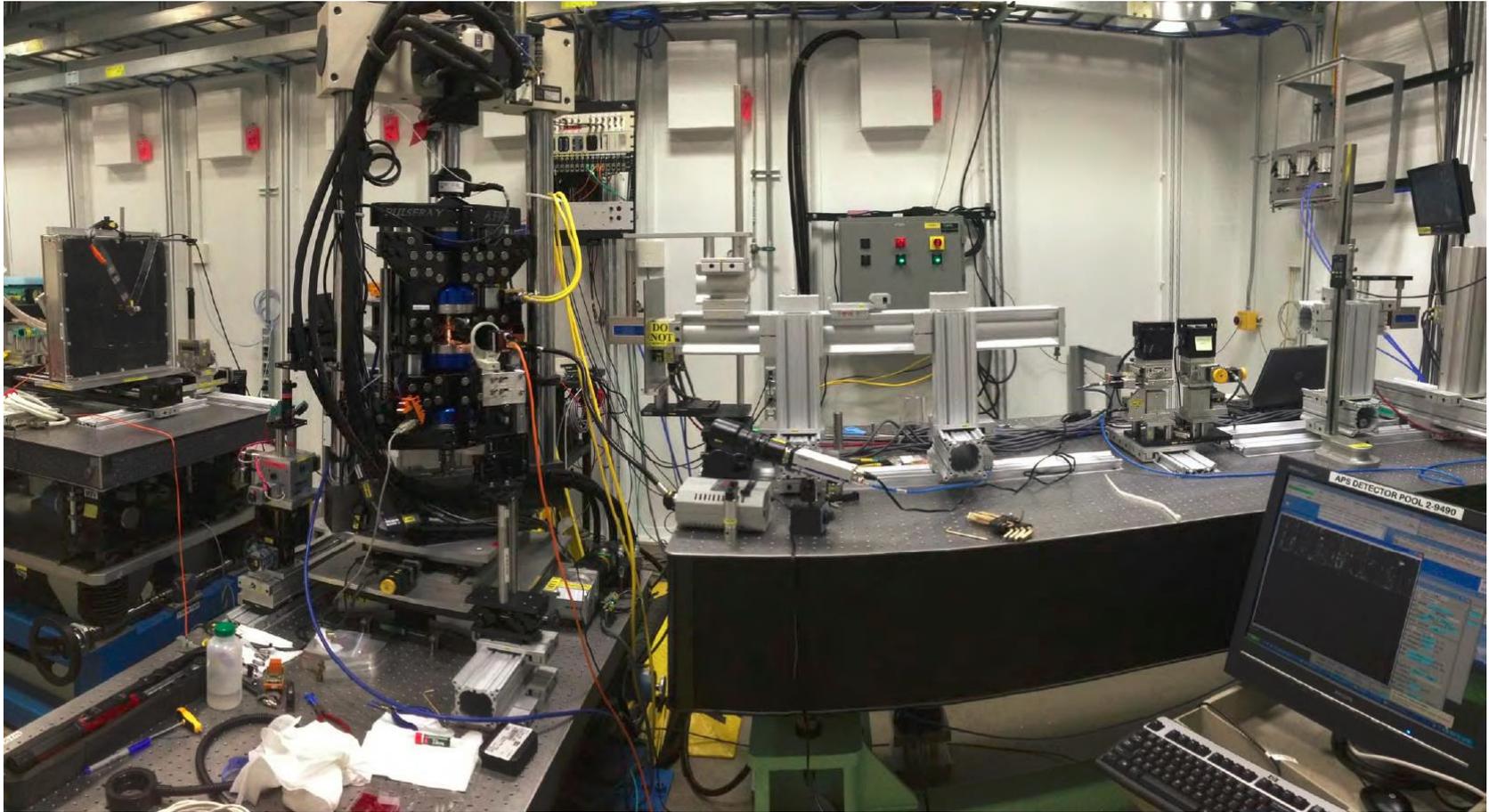
# Far-field Measurement

- **Position on detector:  $G_{hkl}$  in lab  $\rightarrow G_{hkl}$  in sample frame**
  - **Crystallographically consistent  $\{G_{hkl}\}$ : orientation determination (fast)**
  - **Centers of mass of  $\{G_{hkl}\}$ 's: grain centers of mass**
- **Radial motions: strain sensitivity**
  - **$\{(\Delta d/d)_{hkl}\} \rightarrow \varepsilon_{ij}$**

## Mutual benefits of nf- & ff- combination

- **ff into nf: accelerated orientation search**
- **nf into ff: complete knowledge of microstructural neighborhood along with strain state of grains**

# Combining nf- and ff-HEDM: AFRL-PUP APS 1-IDE hutch

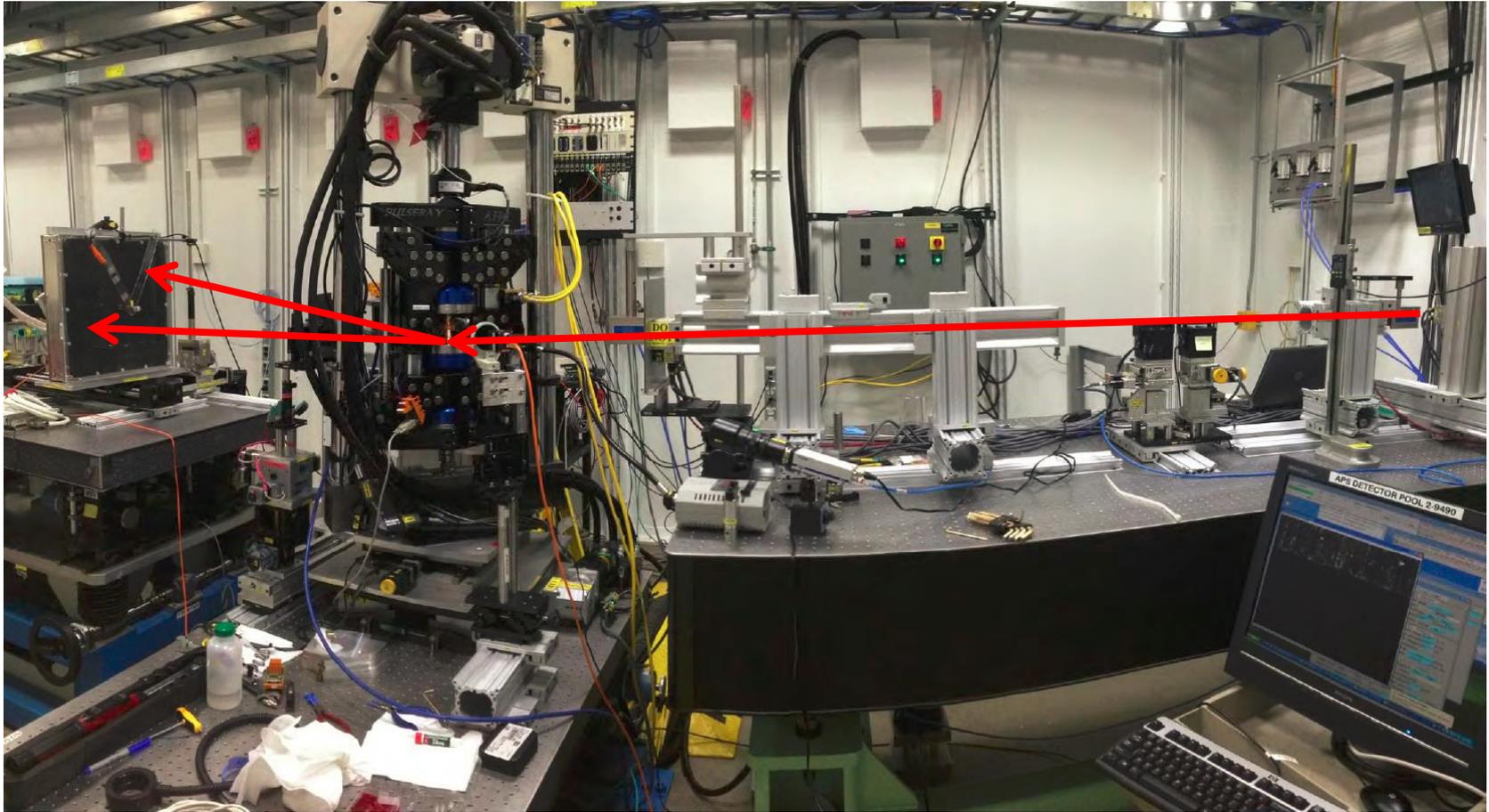


**J. Schuren, P. Shade, T.J. Turner (AFRL)**

**J. Park, P. Kenesei, J. Almer, A. Mashayekhi, K. Goetze, E. Benda (APS)**

**S.F. Li, J. Lind, J. Bernier (LLNL), D. Menasche, R.M. Suter (CMU), B. Blank (PulseRay)**

# Combining nf- and ff-HEDM: AFRL-PUP APS 1-IDE hutch



**J. Schuren, P. Shade, T.J. Turner (AFRL)**

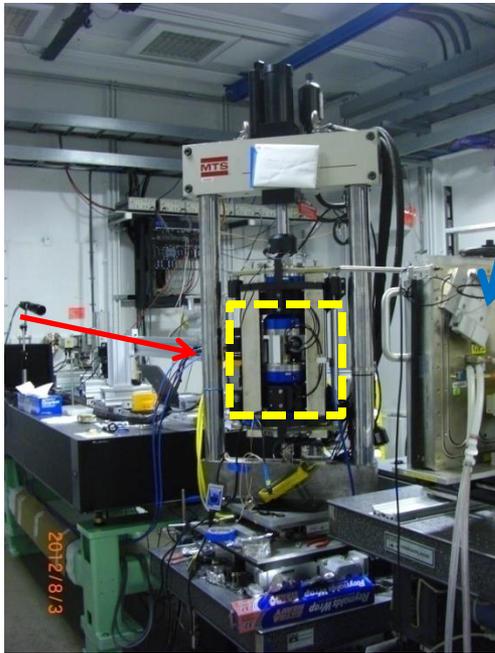
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# AFRL in-situ loading apparatus

## Full rotation under load

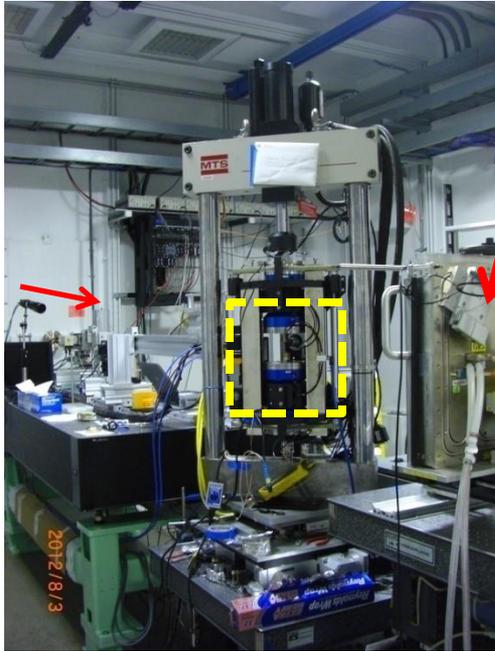
Far Field Detector



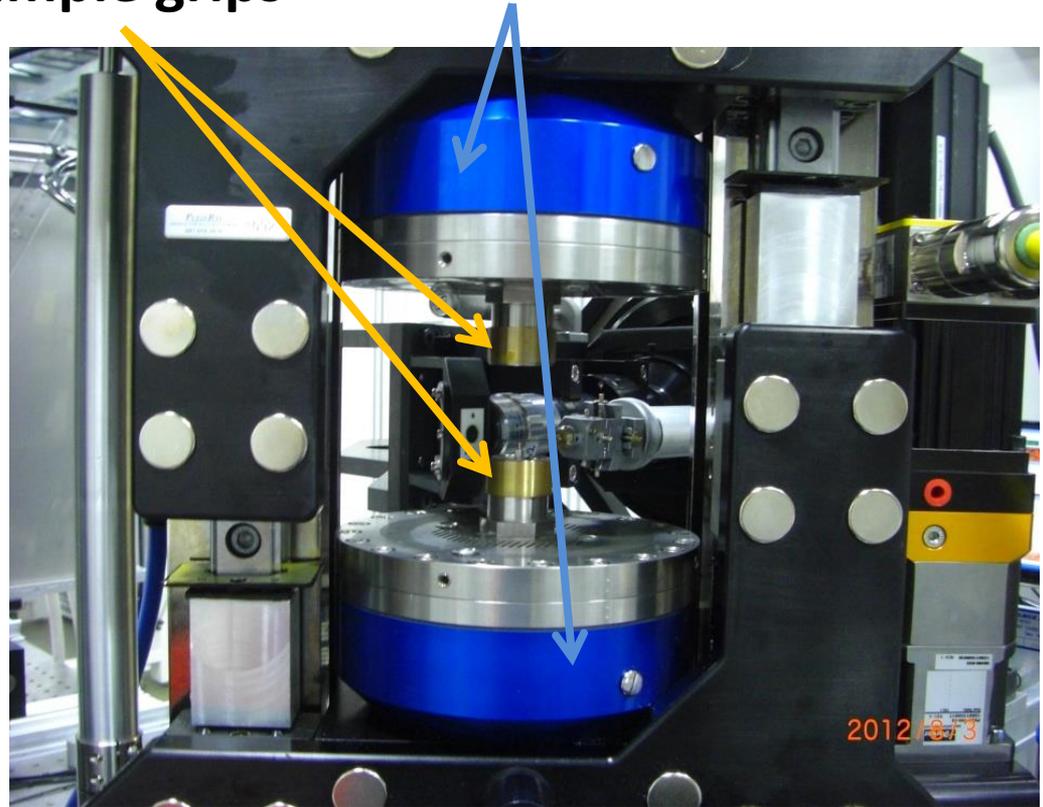
# AFRL in-situ loading apparatus

## Full rotation under load

Far Field Detector



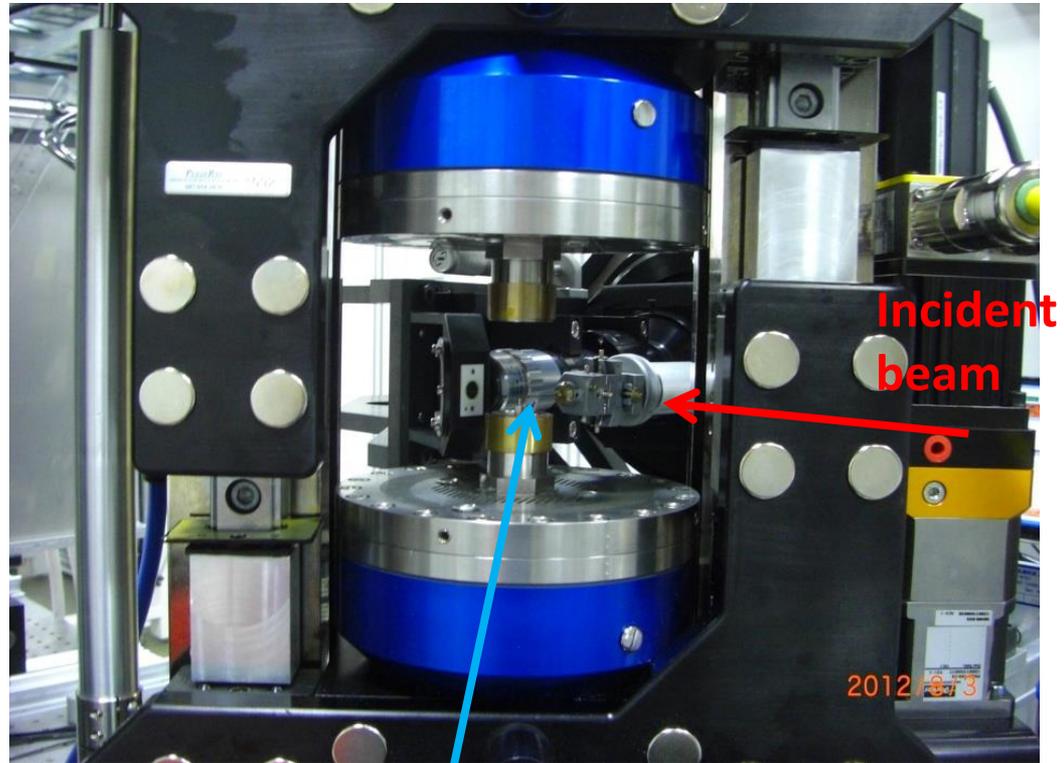
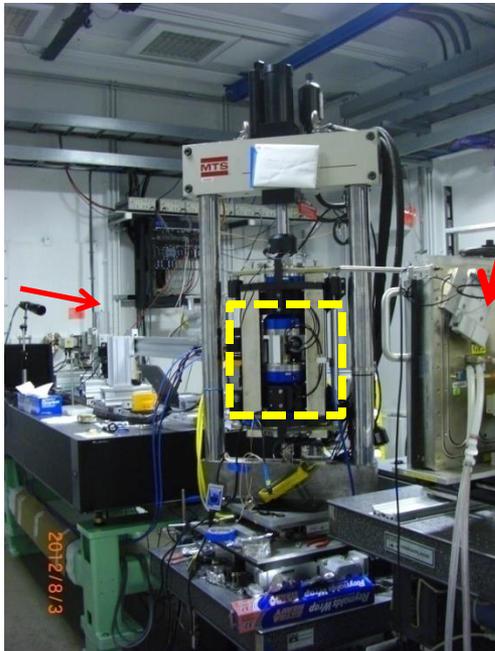
Sample grips      Air bearings



# AFRL in-situ loading apparatus

## Full rotation under load

Far Field Detector

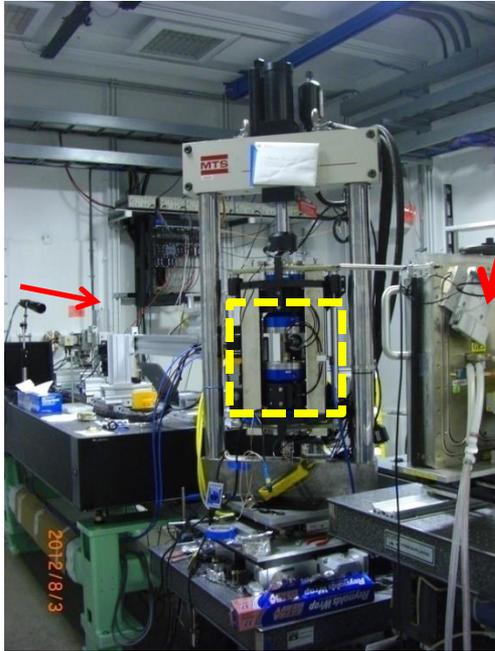


Beam block

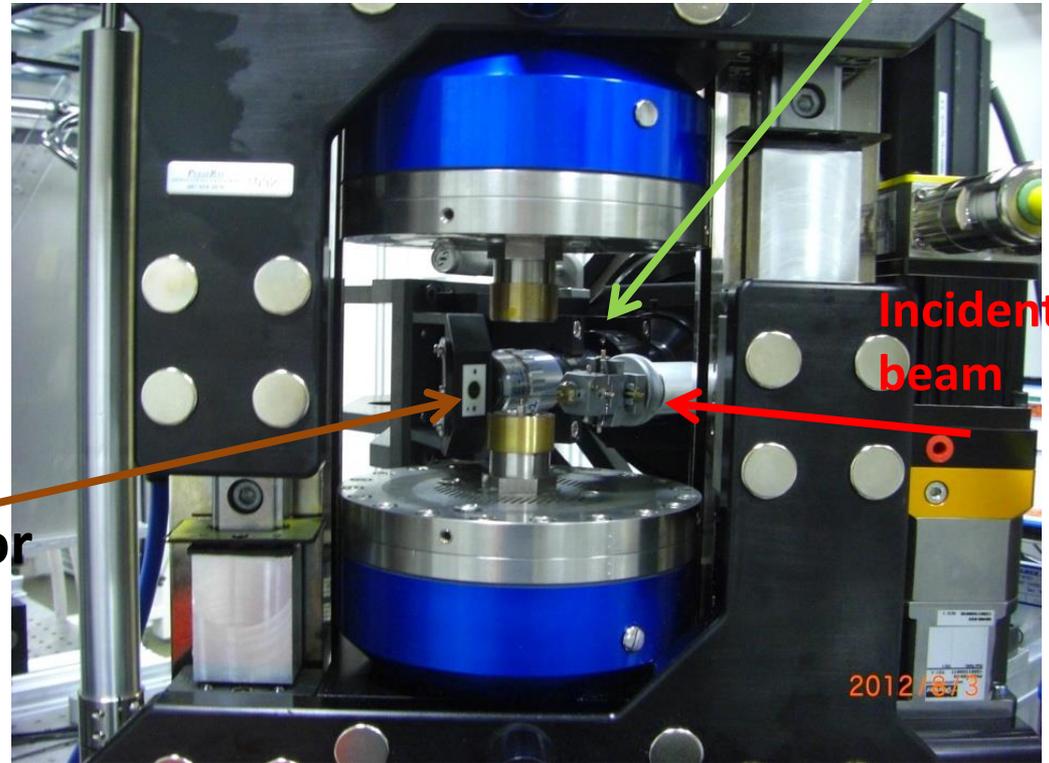
# AFRL in-situ loading apparatus

## Full rotation under load

Far-field Detector



Near-field Camera



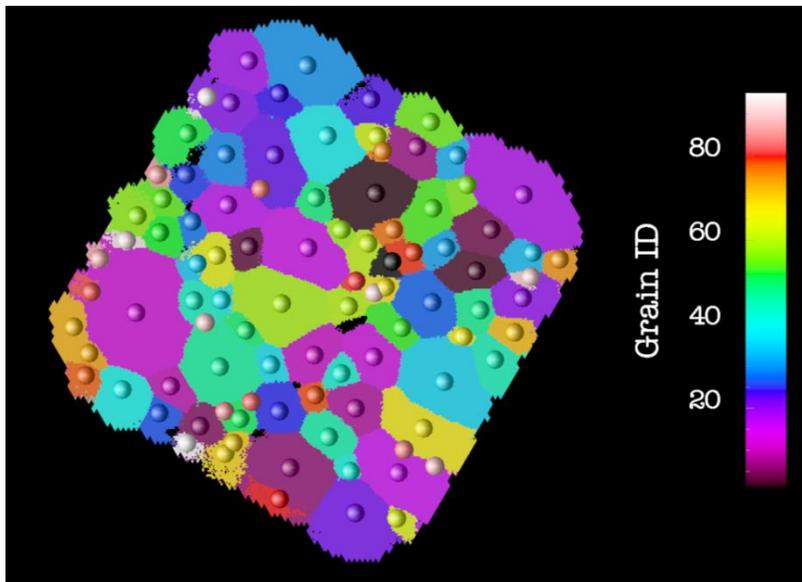
Scintillator

Incident beam

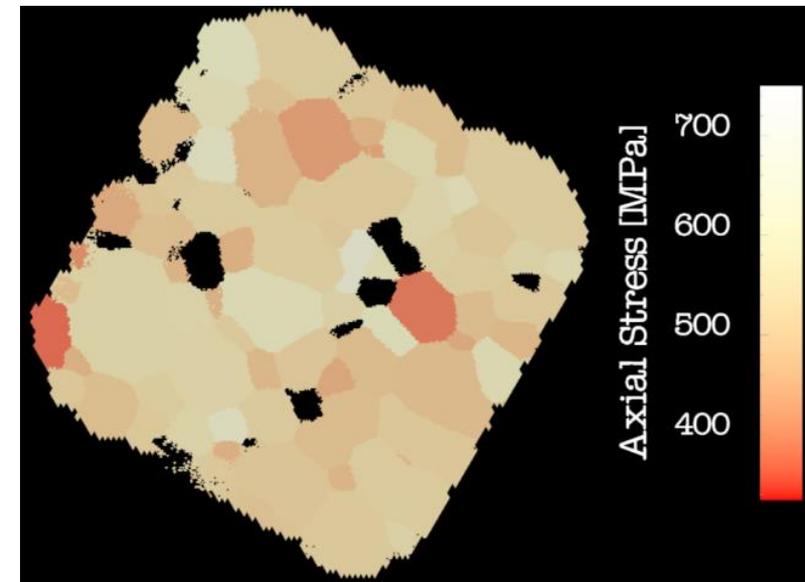
# Observed Mesoscale Responses

## Stress evolution under creep

AFRL/PUP team: in-situ combined nf- grain map & ff- strain tensors  
(in preparation)



Ti-7Al



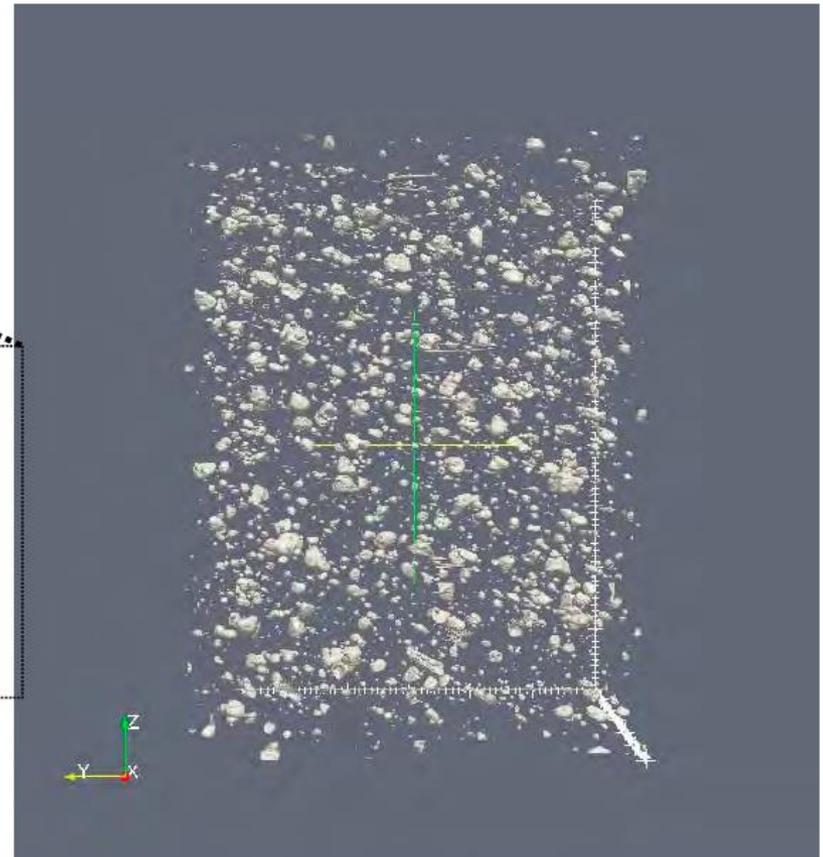
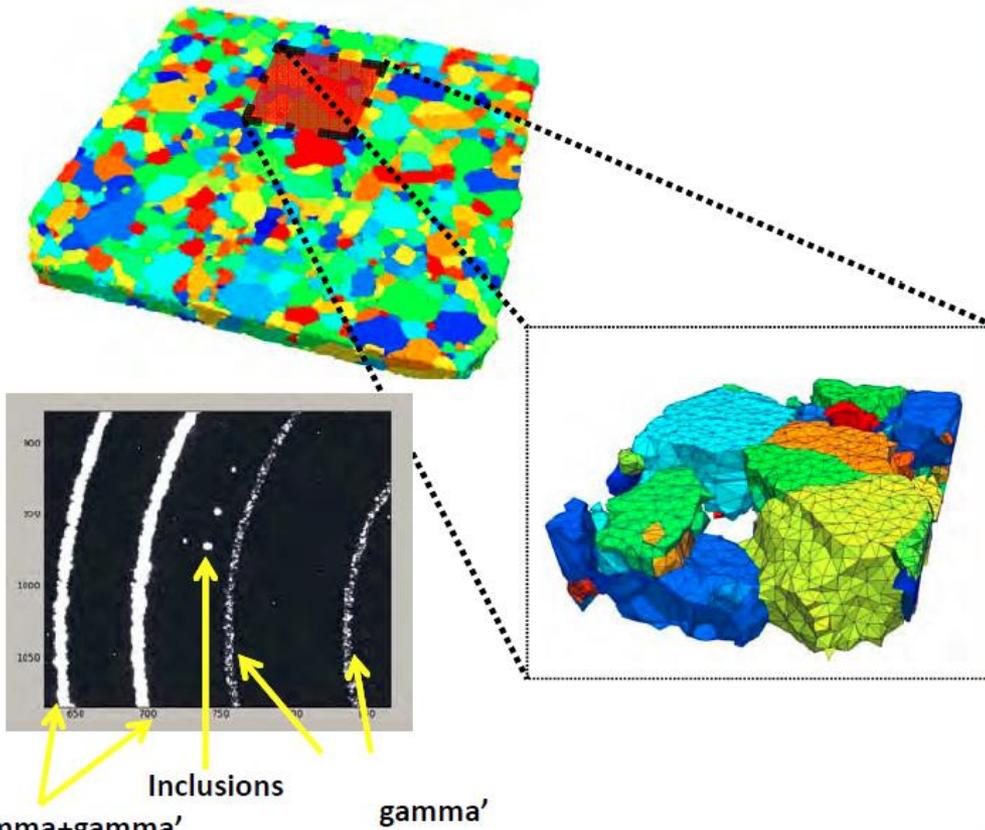
540 MPa  
Post-creep

S. F. Li and J. Bernier computations

# nf-, ff- & Tomography Combined

## •Thermally induced porosity

- Overview: TIP is thought to occur at grain boundary triple lines – using the full 3D dataset investigate coalescence statistics and the dependence on the local microstructure



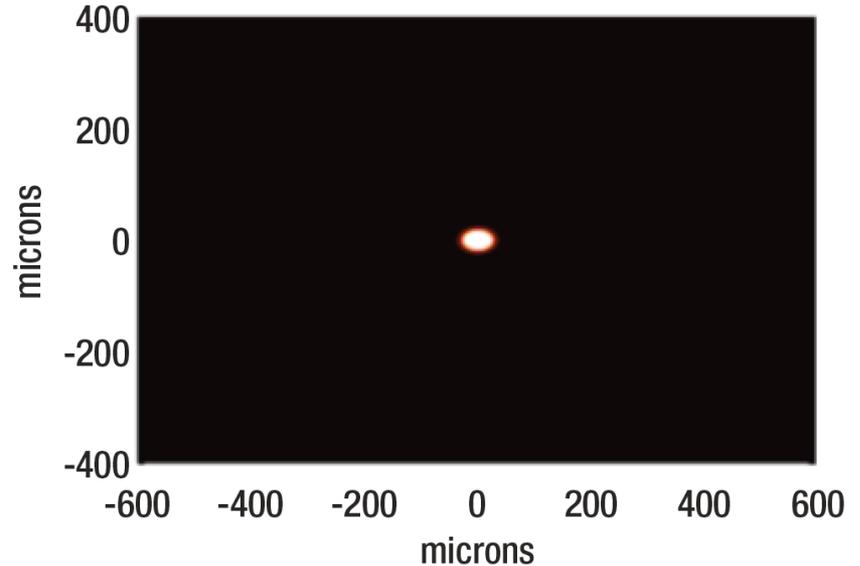
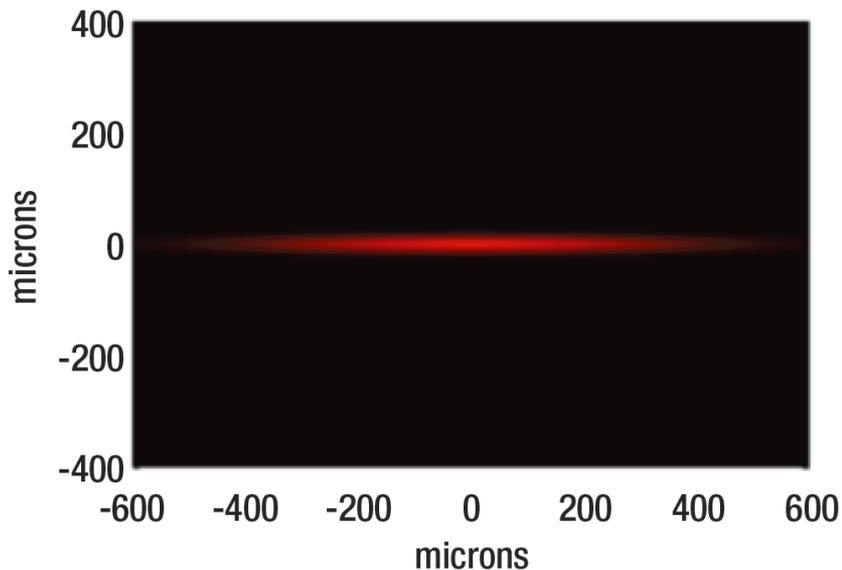
AFRL PUP team

# Summary of Status

- **Multi-modal HE X-rays probing polycrystal responses**
  - In bulk, in 3D
  - Non-destructive
  - Thermal, fatigue, tensile, shock, irradiation,...
- **Given nf- measurement, adding ff- is fast**
  - Algorithms for coupled analysis
- **Continued institutional investment**
  - APS-U will make new modes practical and current ones fast/routine/better
  - AFRL PUP team: hardware, software, demonstrations
- **Meso-scale characterizations tightly coupled to models:**
  - X-rays provide unique tools for MGI, ICME concept

# APS Upgrade

## Towards a diffraction limited source



Computed beam profiles

### Current APS

- Vertical: close to diffraction limit
- Horizontal:  $\sim 25X$  diffraction limit

### MBA lattice at APS

- Approximately symmetric
- Micron beam stability
- Superconducting undulator at Sector 1