

Inelastic x-ray scattering, IXS

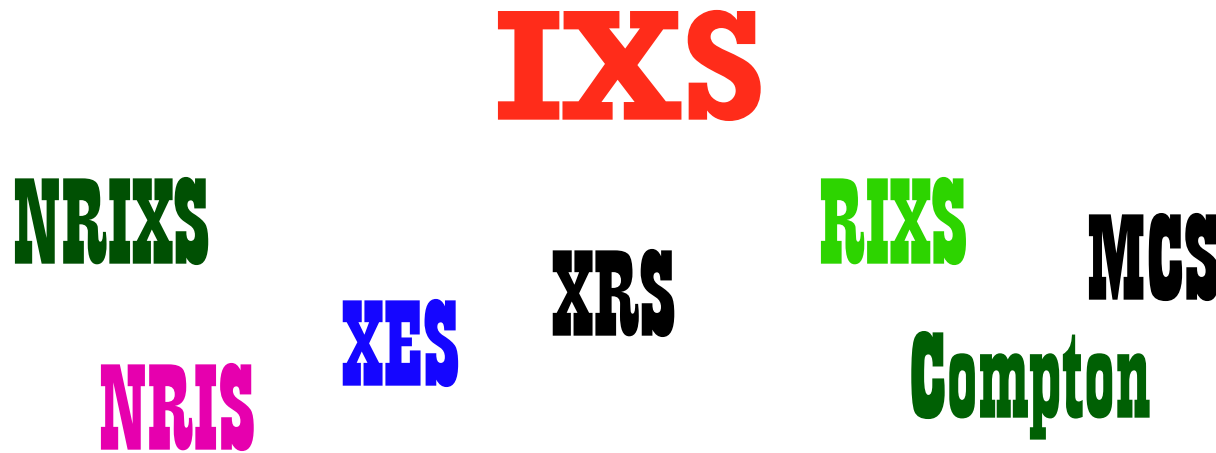
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Neutron and X-Ray Summer School
August 10-24, 2013
Argonne and Oak Ridge National Laboratory

Inelastic X-Ray Scattering & Spectroscopy @ APS



- Nuclear Resonant Inelastic X-Ray scattering, NFS, NRIXS: **Sectors 3, 16, (30)**
- Momentum Resolved High Energy Resolution IXS (HERIX) **Sectors 3, 30**
- X-Ray Raman Scattering, XRS (LERIX): **Sectors 13, 16, 20**
- X-Ray Emission Spectroscopy, XES (MINIX): **Sectors 13, 16, 17**
- Resonant Inelastic X-Ray Scattering, RIXS (MERIX): **Sectors 9, 30 --> 27**

IXS: Inelastic X-Ray Scattering

A set of **vastly different** techniques based on measuring exact:

- i) **energy**, and
- ii) **momentum** transfer in a scattering experiment.

It provides **thermodynamic, elastic, electronic and chemical** information about the scattering system.

Since X-ray energies extend from a few eV to a few hundred keV, we need to measure energy loss or gain with a resolution changing from

nano-eV
meV,
eV, and
keV.

IXS: Inelastic X-Ray Scattering

IXS can measure

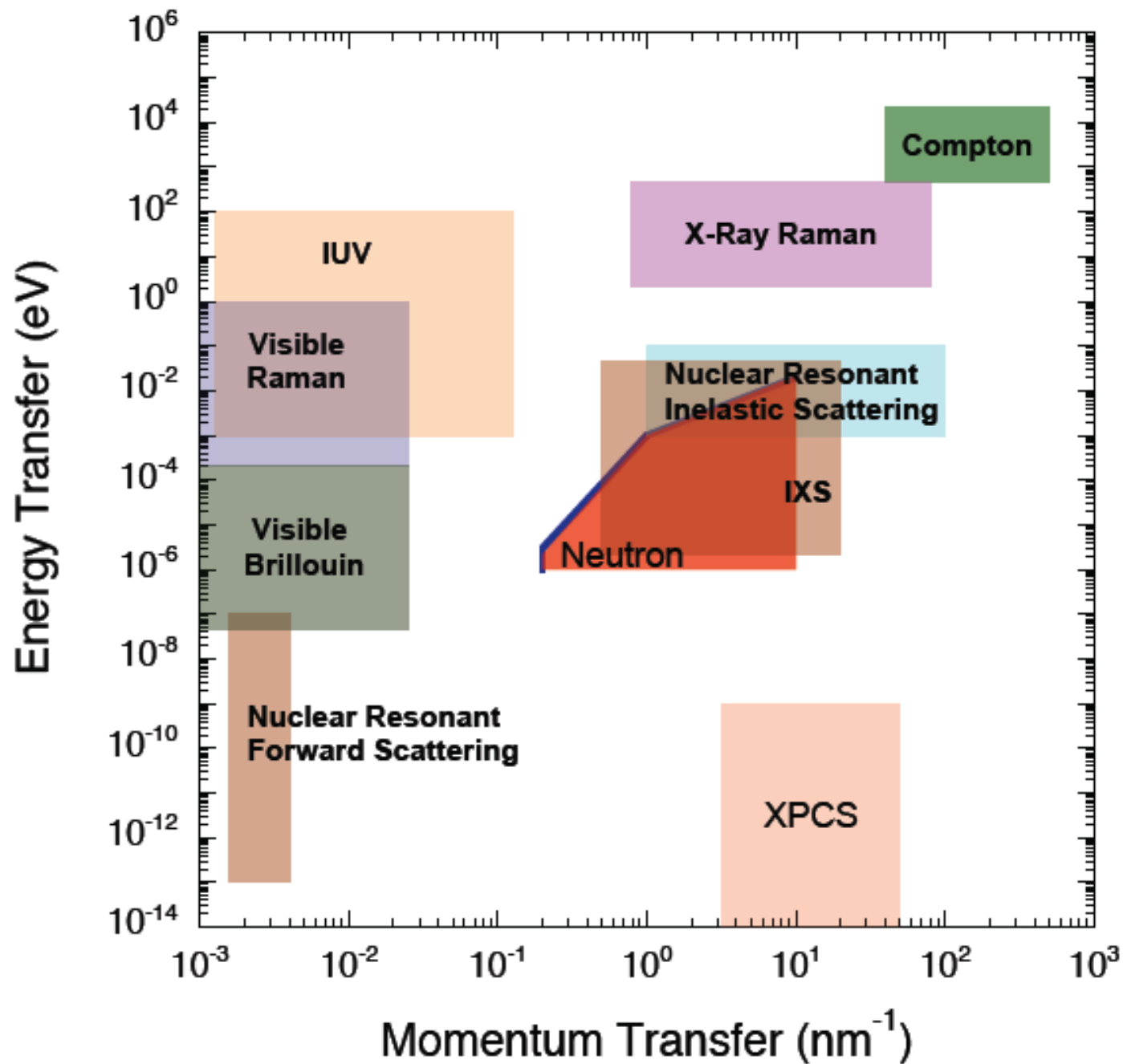
- nuclear hyperfine interactions (neV),
- collective excitations of atoms such as phonons (meV),
- electronic excitations like plasmons or magnons (eV),
- core-valence electron boundary to reconstruct the Fermi surface (keV)
- determine orbital occupancies (keV)

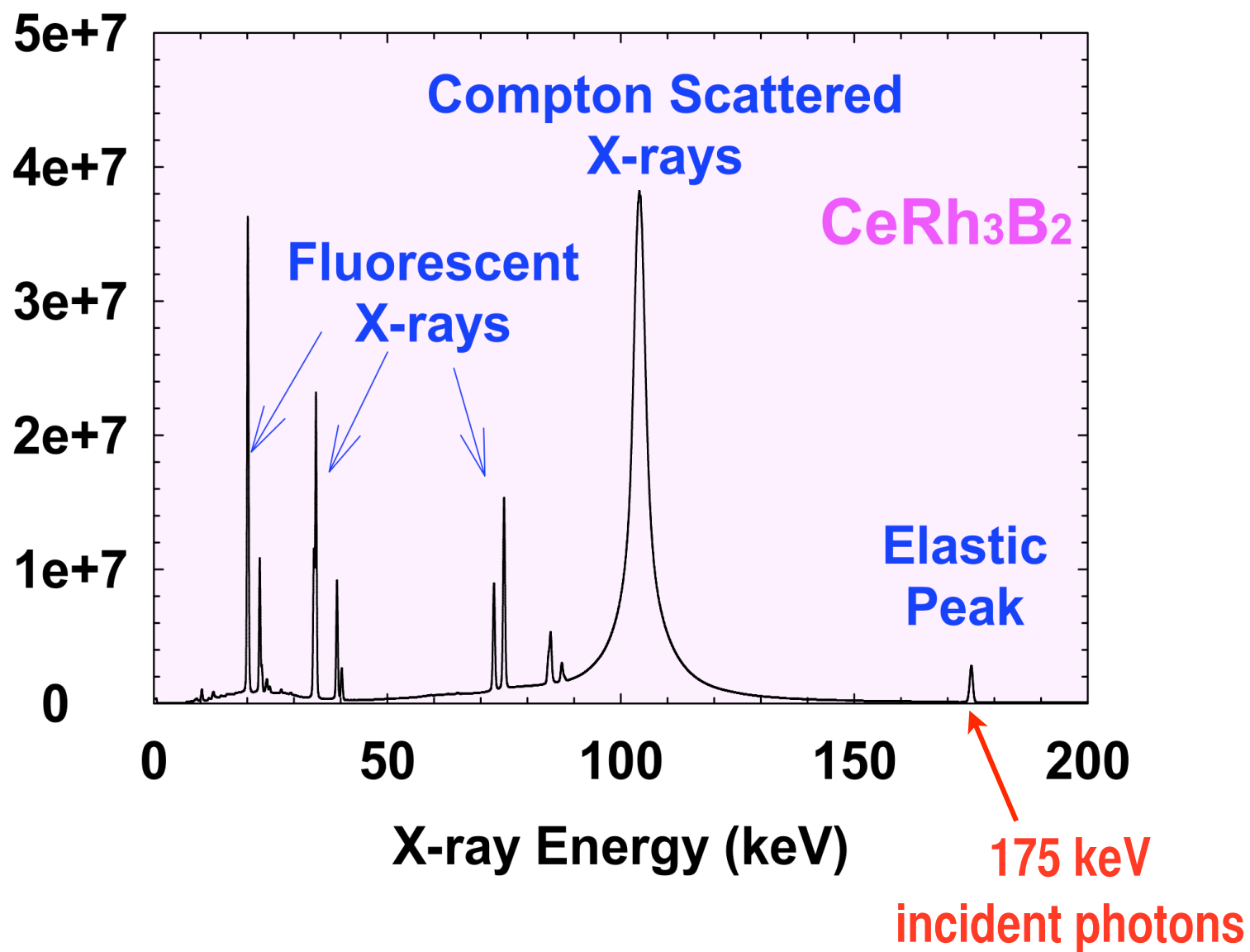
1920-1930 : P. Debye, A. Compton and J. DuMond :

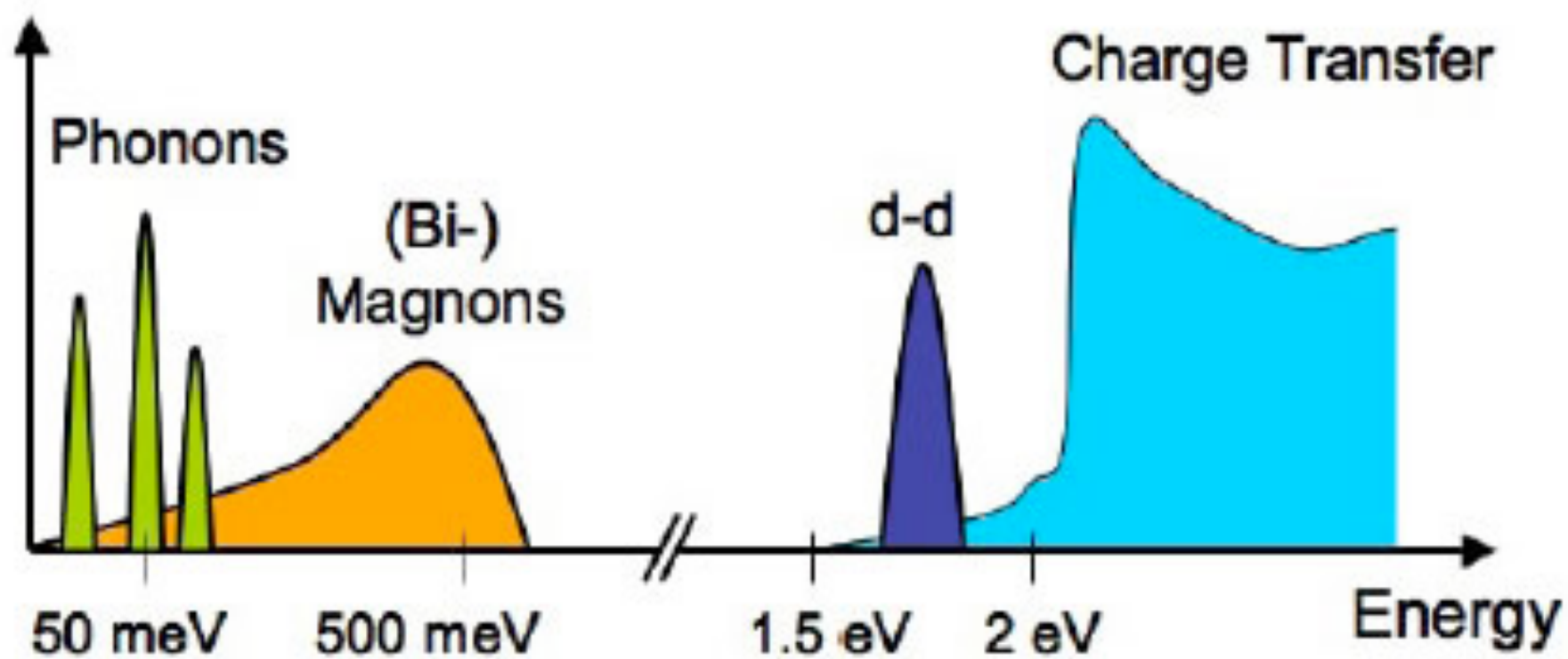
1960-1990: Development of

- i) pure silicon and germanium with $\Delta d/d \sim 10^{-9}$,
- ii) sophisticated high resolution monochromators, detectors
- iii) crystal analyzers and
- iv) the third generation synchrotrons

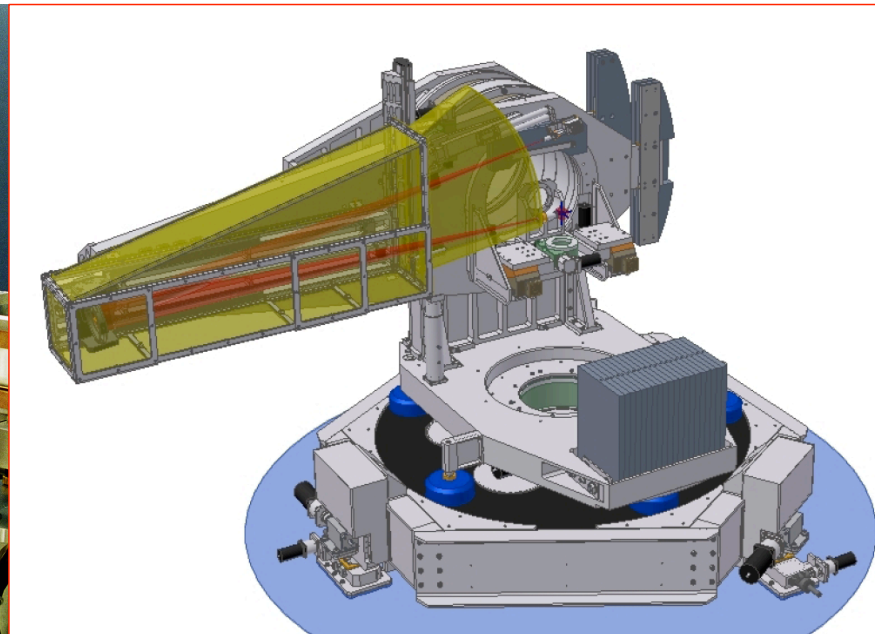
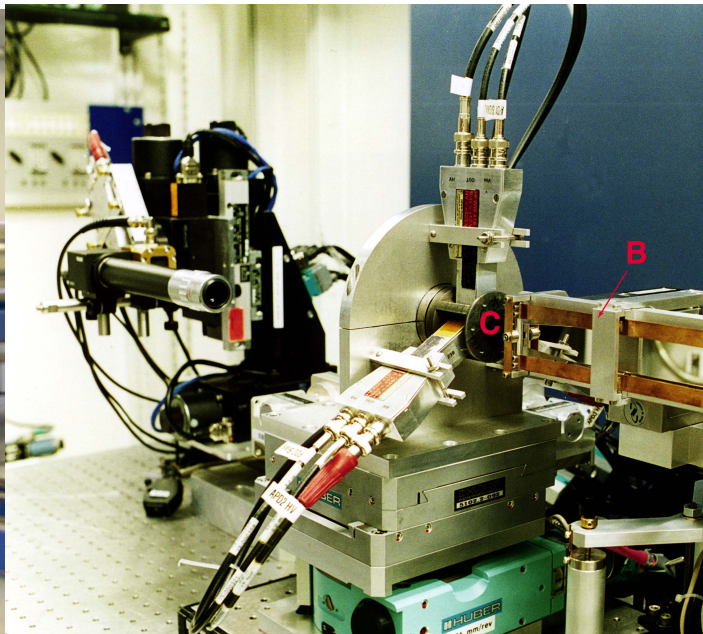
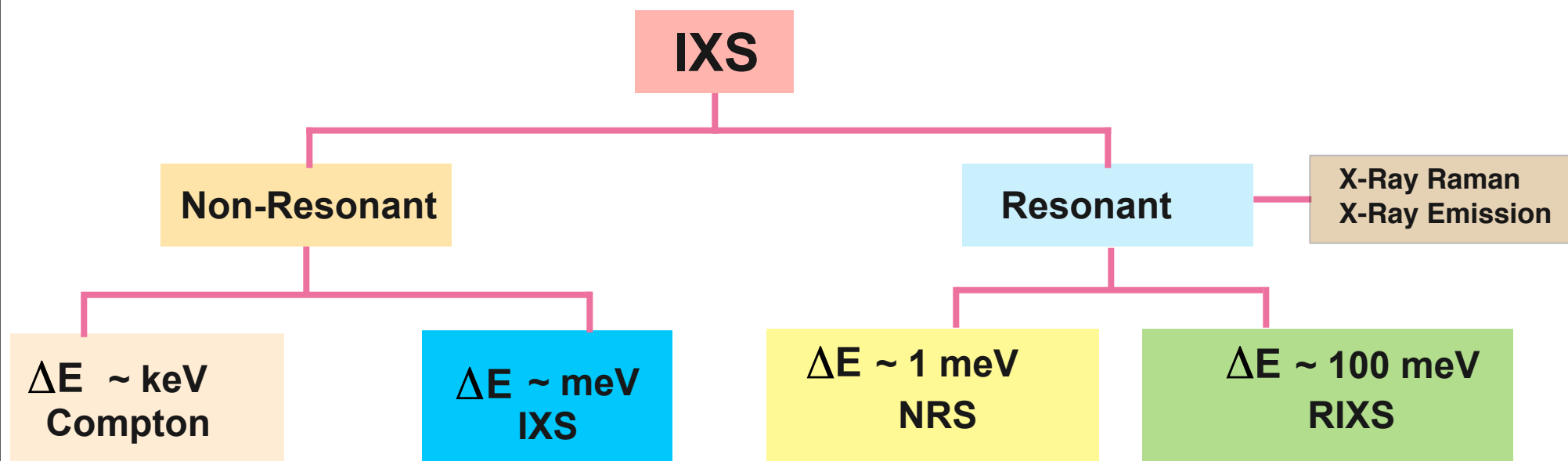
1990-present: More than a dozen new instruments around the world







Inelastic X-Ray Scattering: A plethora of different techniques



Inelastic X-Ray Scattering: A plethora of different techniques

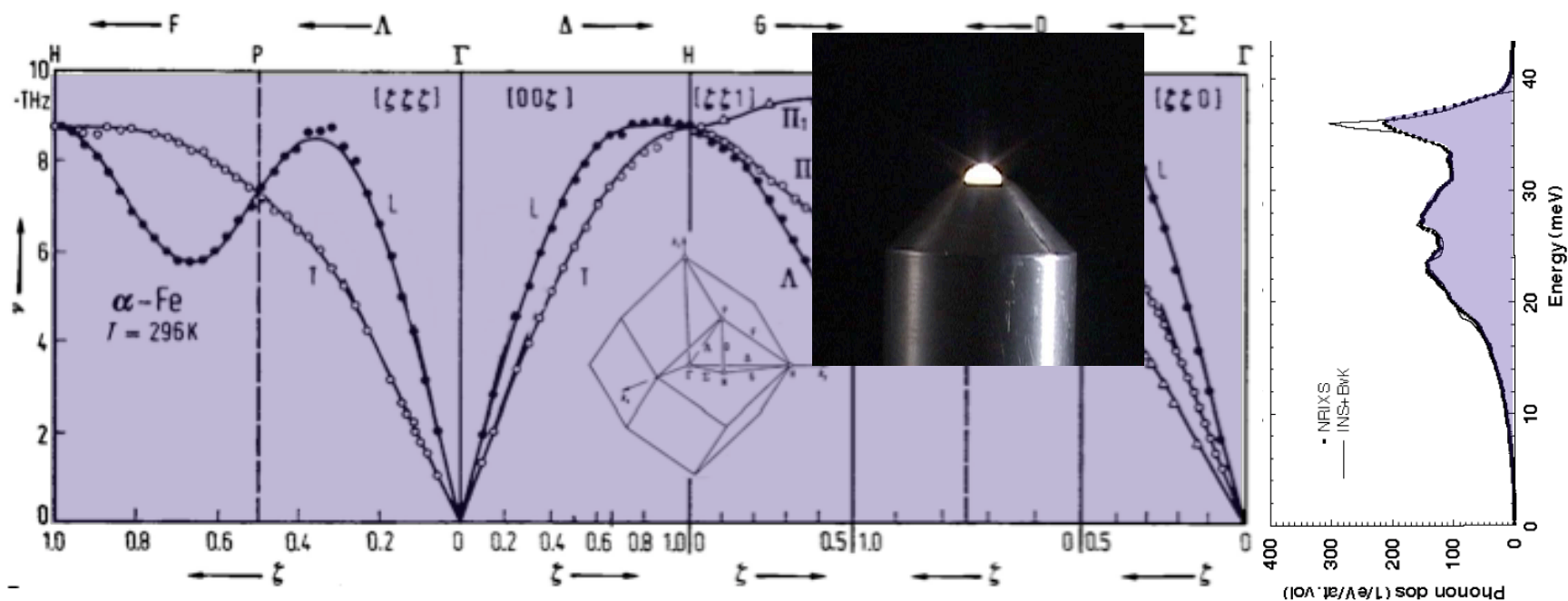
IXS

Non-Resonant

Resonant

$\Delta E \sim \text{meV}$
IXS

$\Delta E \sim 1 \text{ meV}$
Nuclear resonant

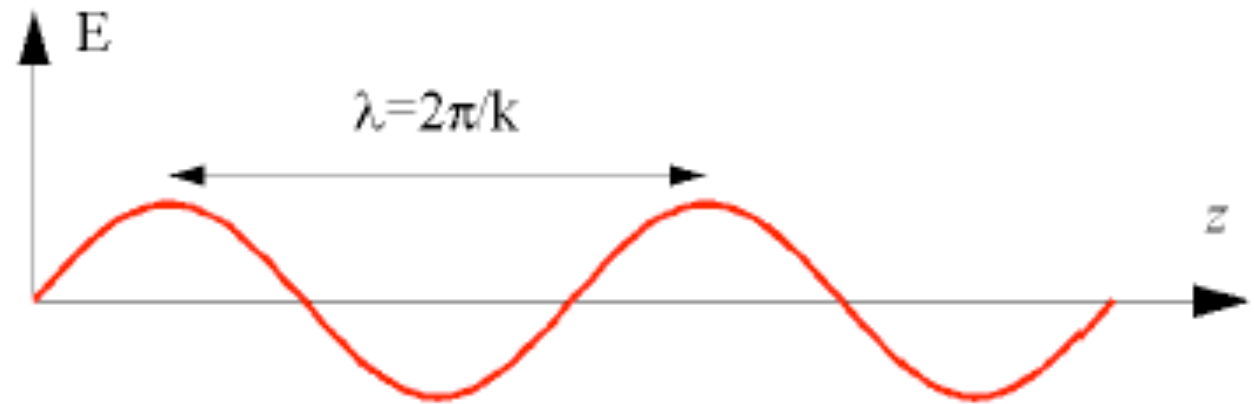


Electromagnetic waves

Spatial variation

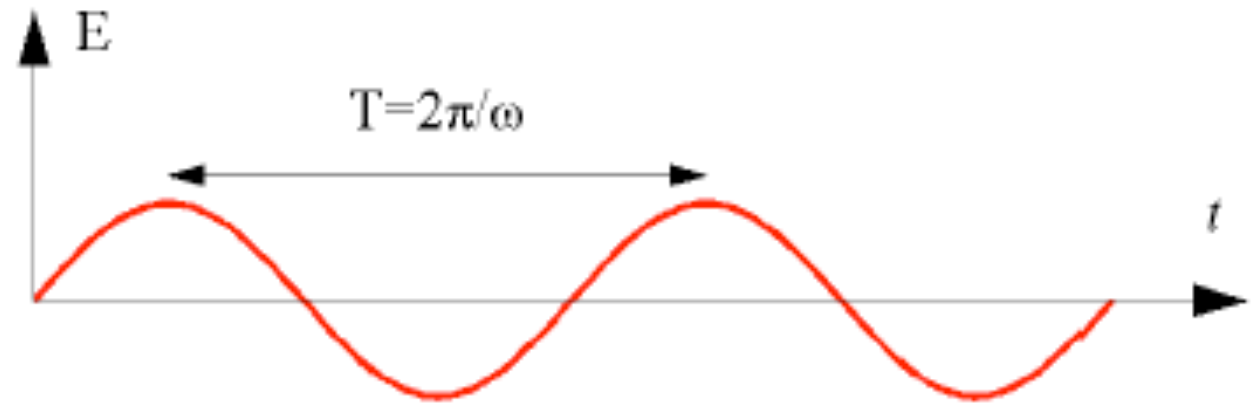
k = wave number

λ = wavelength

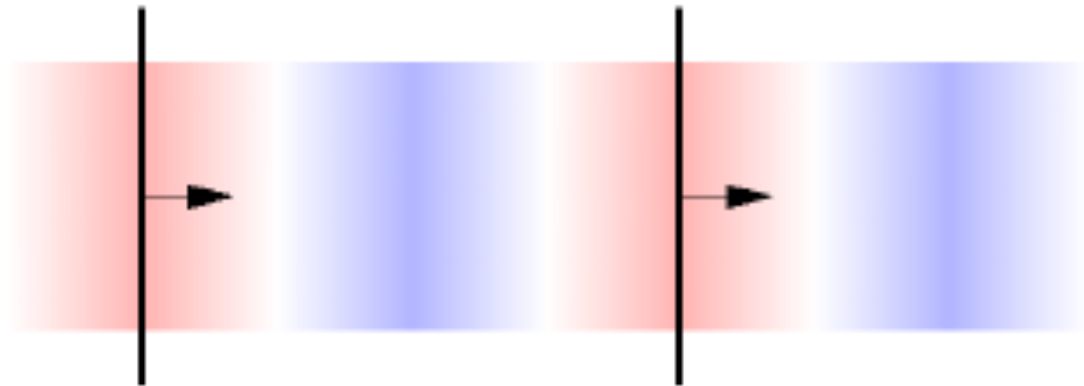


Temporal variation

ω = angular frequency



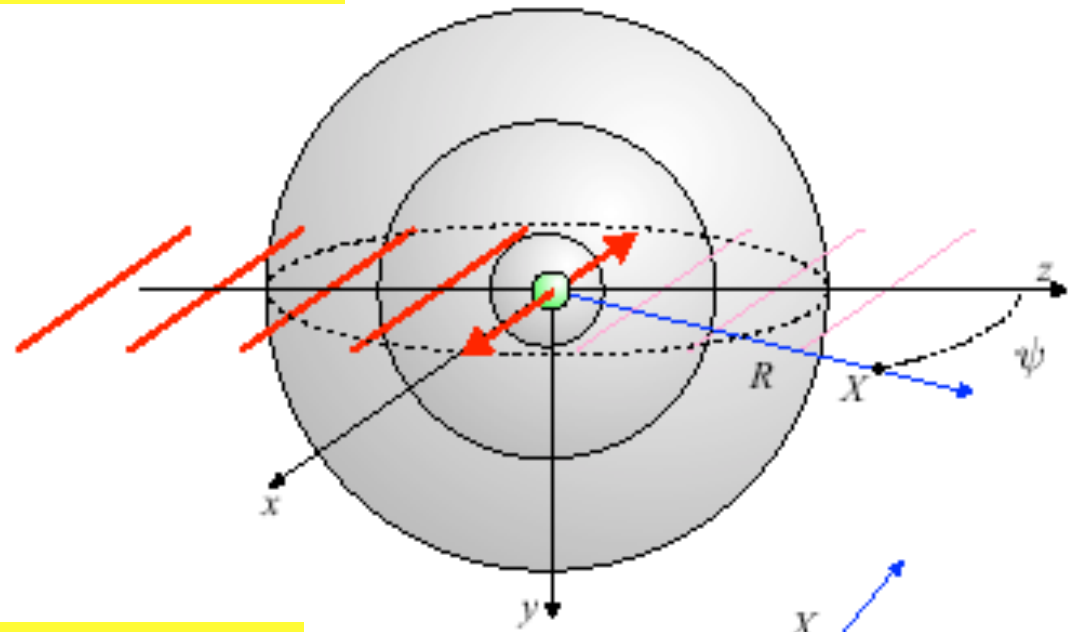
Top view showing high and low field amplitudes



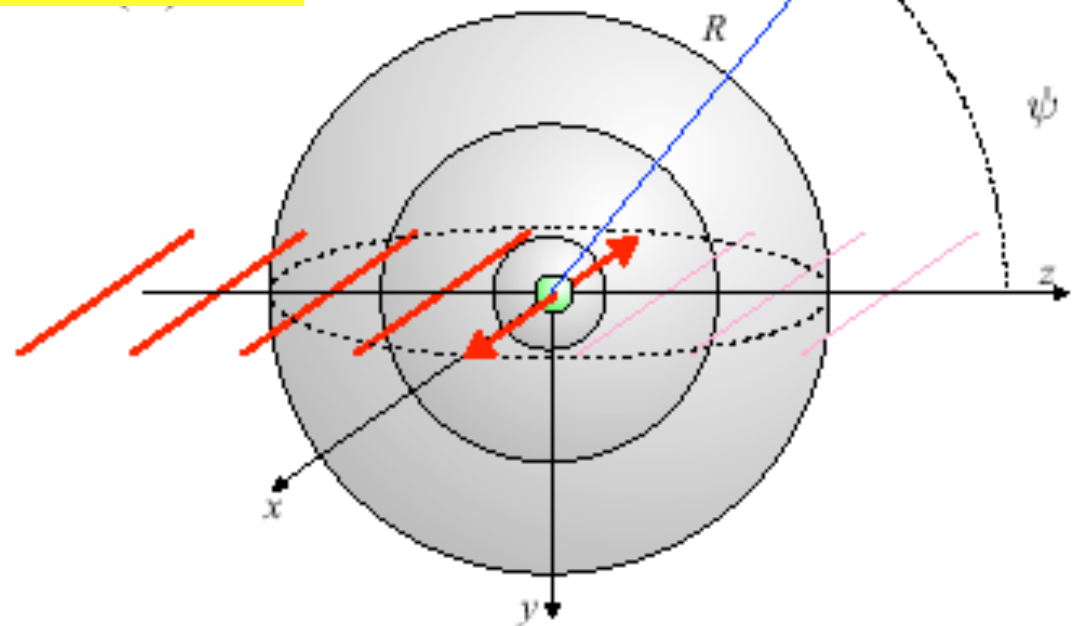
Classical description of scattering of radiation by a charged particle

The incident plane wave incident upon an electron sets the electron in oscillation. The oscillating electron then radiates, experiencing a phase shift of π .

In-plane



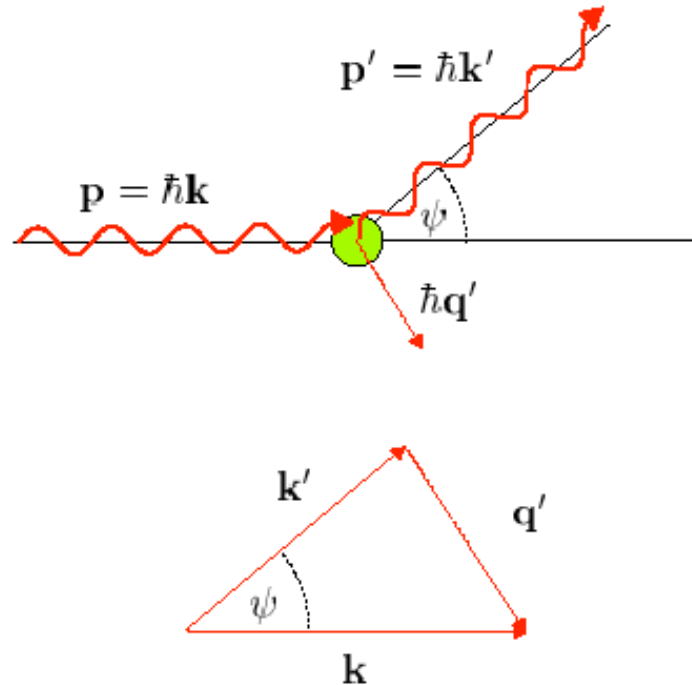
Out-of-plane



First order Born approximation

For weakly scattering media, it is possible to obtain solution to the integral equation by a perturbation approach, provided that the scattering medium is weakly interaction with the probe of x-rays.

The first order Born approximation states that amplitude of the scattered wave far away from the scatterer depends entirely on **one and only one Fourier component of the scattering potential**, namely the one that corresponds to the transferred momentum $K = k(s - s_0)$.



Conservation of momentum has a correspondence between classical and quantum mechanical treatment:

$$p = \hbar k$$

$$\Delta p = p - p' = \hbar k'$$

If a plane wave is incident on the scatterer in the direction of s , the Fourier component of the scattering potential can be determined.

And if one has the ability to vary the amount of momentum transfer at will, then, the scattering potential can be reconstructed.

This is the essence of x-ray scattering experiments.

What is being measured ?

$$\frac{d^2\sigma}{d\Omega d\omega} = r_0^2 \frac{\omega_f}{\omega_i} |\mathbf{e}_i \cdot \mathbf{e}_f| N \sum_{i,f} \left| \langle i | \sum e^{i\mathbf{Q}\cdot\mathbf{r}_j} | f \rangle \right|^2 \delta(E_f - E_i - \hbar\omega)$$

Thomson cross section

Dynamical structure factor $S(\mathbf{Q},\omega)$

$$S(\mathbf{Q},\omega) = \frac{1}{2\pi} \int dt e^{-i\omega t} \left\langle \phi_i \left| \sum_{ll'} f_l(\mathbf{Q}) e^{-i\mathbf{Q}\cdot\mathbf{r}_l(t)} f_{l'}(\mathbf{Q}) e^{i\mathbf{Q}\cdot\mathbf{r}_{l'}(0)} \right| \phi_i \right\rangle$$

Density-density correlations

$$f(\mathbf{Q}) = f_{ion}(\mathbf{Q}) + f_{valence}(\mathbf{Q}) \quad \text{Atomic form factor}$$

Dynamic structure factor

Sum over phonon branch j at reduced momentum transfer, q

Sum over different atoms in the unit cell

Atomic form factor for each atom

scaling with square root of mass

Debye-Waller factor to account for bond strength

phonon occupation probability

$$S(Q, \omega) = \sum_{q,j} \left| \sum_s f_s(Q) \frac{\hbar}{\sqrt{2m_s}} e^{-W_s} e^{i\vec{Q} \cdot \vec{R}_s} [\vec{Q} \cdot \vec{e}(q, s, j)] \right|^2 \frac{\left(\frac{1}{e^{\hbar\omega/kT} - 1} + \frac{1}{2} \pm \frac{1}{2} \right)}{\omega_{q,j}} \delta(\omega \pm \omega_{q,j})$$

Phase of the scattering amplitude

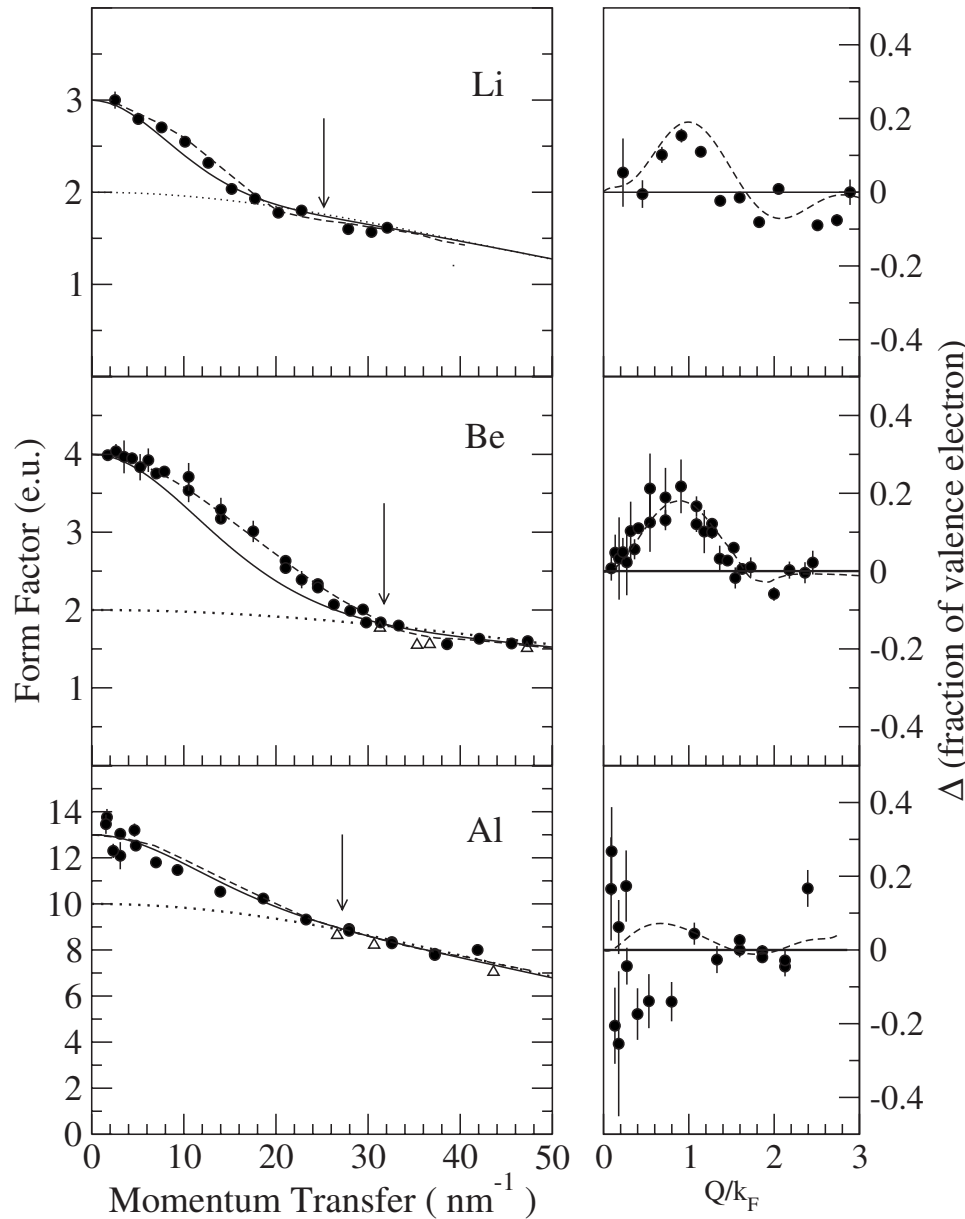
Polarization factor between momentum transfer and photon's electric field

phonon frequency

delta-function in ω

Atomic form-factor measurements in the low-momentum transfer region for Li, Be, and Al by inelastic x-ray scattering

A. Alatas,^{1,*} A. H. Said,^{1,2} H. Sinn,¹ G. Bortel,^{1,†} M. Y. Hu,^{1,‡} J. Zhao,¹ C. A. Burns,² E. Burkel,³ and E. E. Alp¹



$$f(Q) = f_{ion}(Q) + f_{valence}(Q)$$

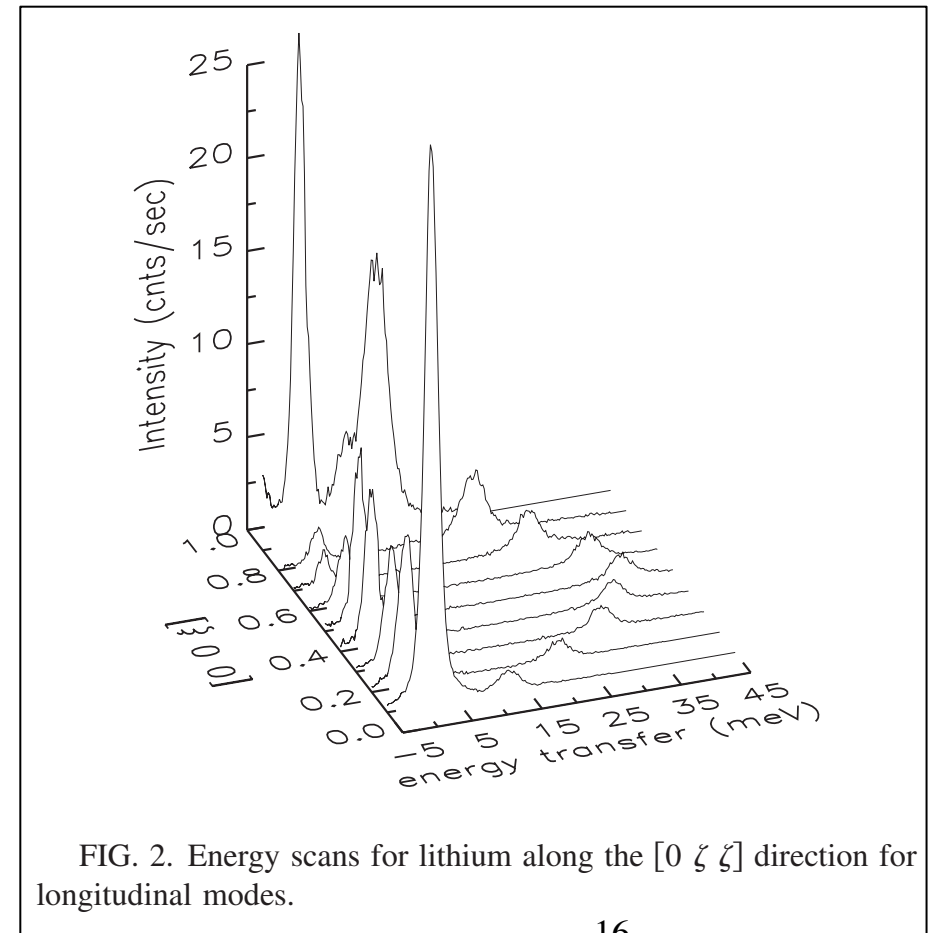


FIG. 2. Energy scans for lithium along the $[0 \zeta \zeta]$ direction for longitudinal modes.

Scattering geometry and physics

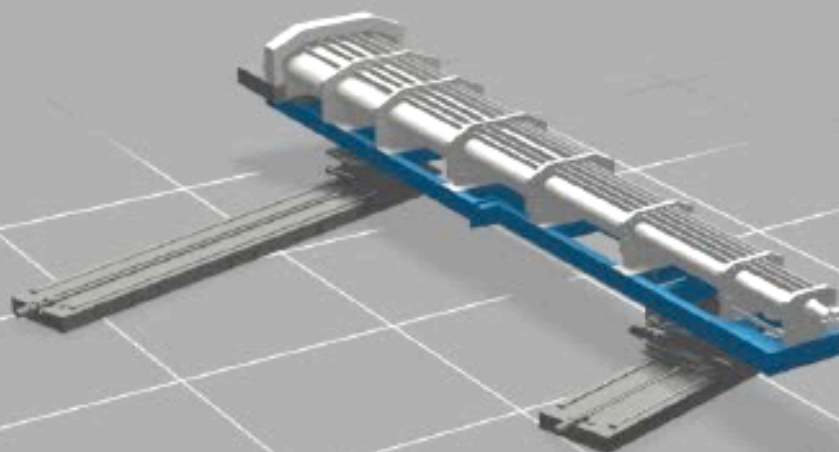
The physical origin of the correlations depend on how $1/q$ compares with the characteristic length, l_c , of the system, which is related to spatial inhomogeneity (due to thermal or concentrations fluctuations)

when $q \cdot l_c \ll 1 \Rightarrow$ Collective excitations

when $q \cdot l_c \gg 1 \Rightarrow$ Single particle excitations

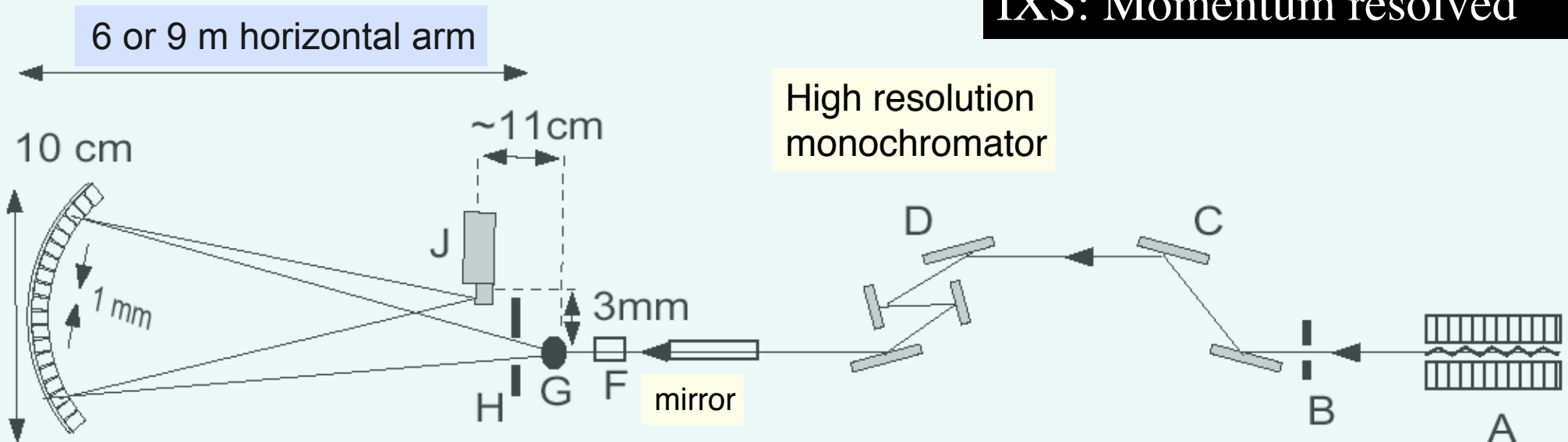
when $\frac{1}{q} \approx d$ and $\omega \approx$ phonon frequency \Rightarrow Collective ion excitations (PHONON)

when $\frac{1}{q} \approx r_c$ and $\omega \approx$ plasma frequency \Rightarrow Valence electron excitations



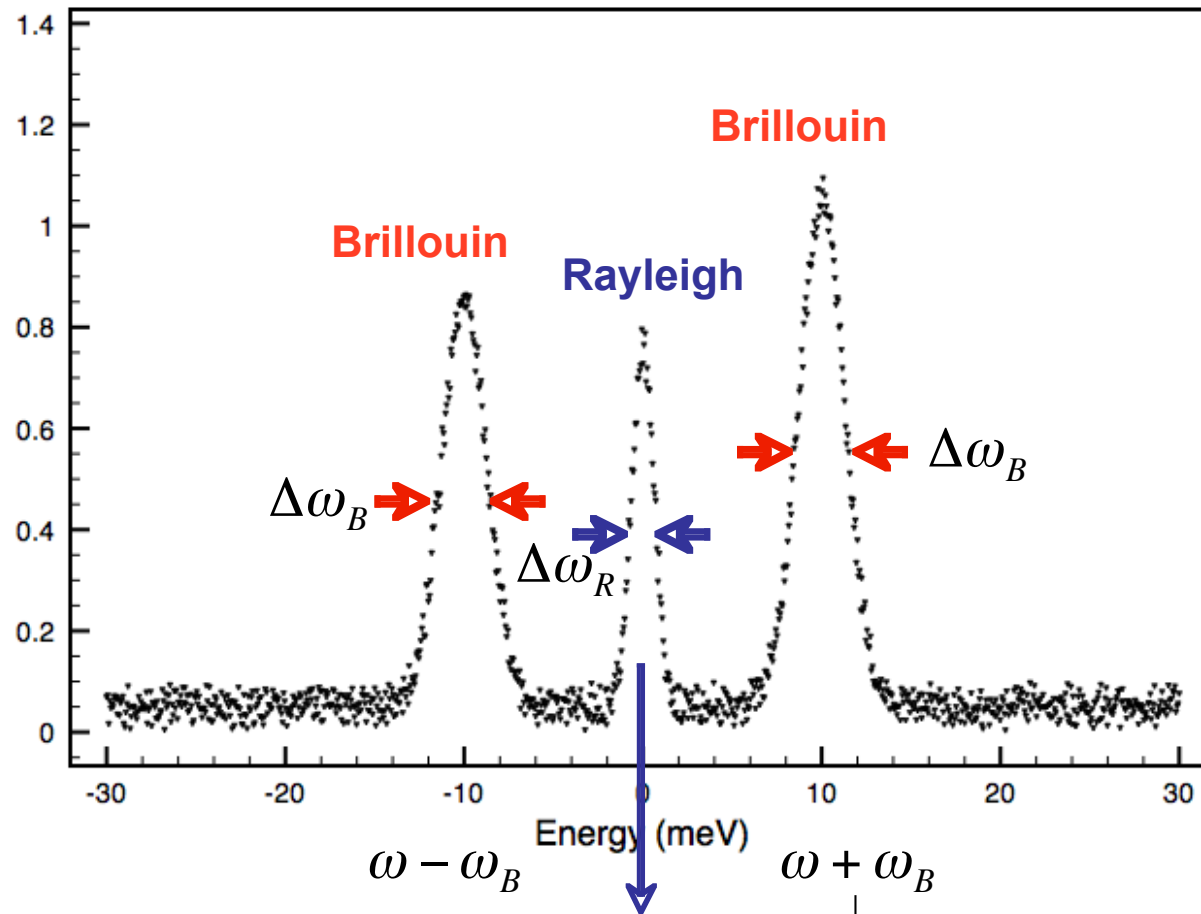
High-resolution inelastic x-ray scattering (IXS) at Sector 3 and Sector 30

IXS: Momentum resolved



30-ID-C: HERIX Spectrometer





Entropy fluctuations,

Concentration fluctuations

$$\Delta\omega_R \sim \alpha q^2$$

$$\Delta\omega_R \sim Dq^2$$

Pressure fluctuations

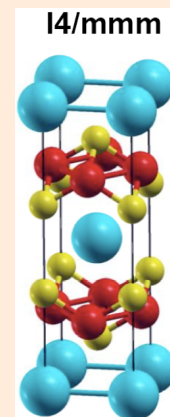
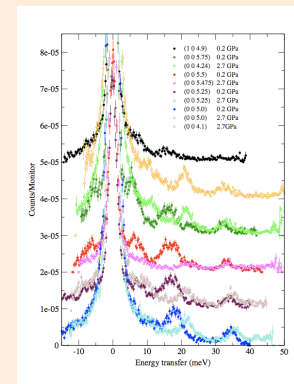
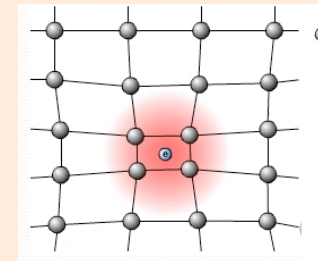
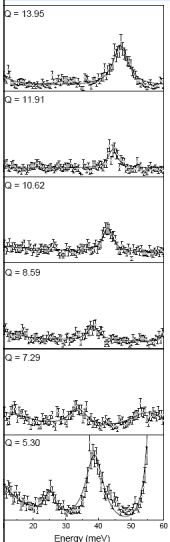
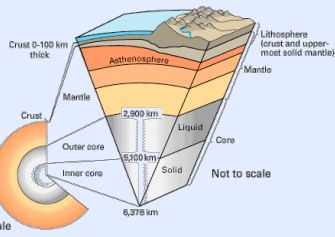
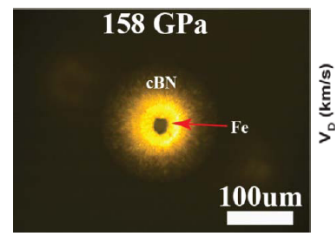
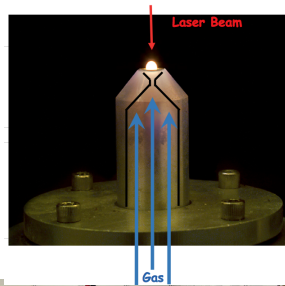
$$\omega_B(q) = V \cdot q$$

$$\Delta\omega_B \sim Vq^2$$

High Energy Resolution Inelastic X-ray Scattering

APS-U will provide two enhanced HERIX spectrometers optimized for high-pressure and high-resolution work at HERIX-3-ID and HERIX-30-ID, respectively.

High-pressure/high-temperature setup
The goal: 2 Mbar, 2000 K



Mineral physics and earth sciences
Sound velocity, elastic constants

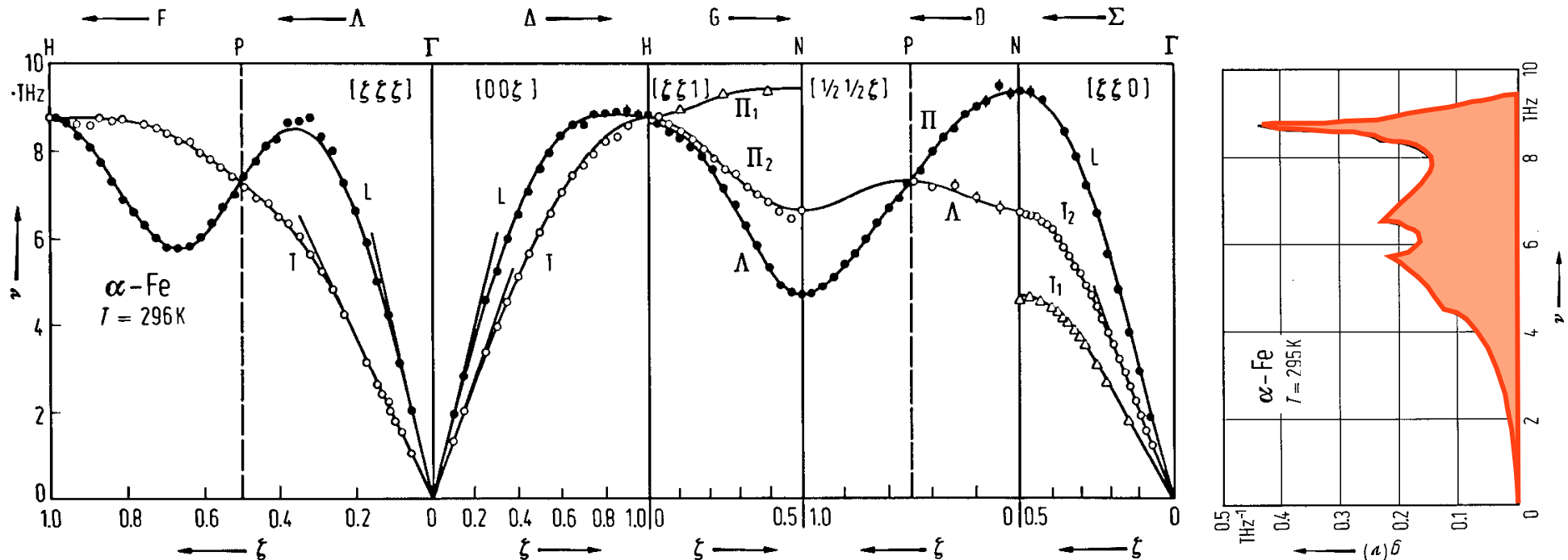
Dynamics of liquids and liquid
crystals stripe or nematic phases

Role of phonons in pnictide
superconductors

$\phi\omega\nu\acute{\eta}$ (phonē), *sound*

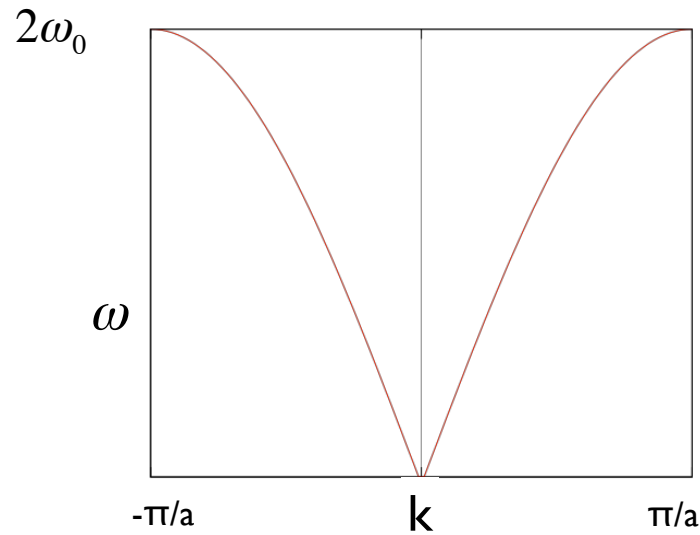
- Phonons are periodic oscillations in condensed systems.
- They are inherently involved in thermal and electrical conductivity.
- They can show anomalous (non-linear) behavior near a phase transition.
- They can carry sound (acoustic modes) or couple to electromagnetic radiation or neutrons (acoustical and optical).
- Have energy of $\hbar\omega$ as quanta of excitation of the lattice vibration mode of angular frequency ω . Since momentum, $\hbar k$, is exact, they are delocalized, collective excitations.
- Phonons are bosons, and they are not conserved. They can be created or annihilated during interactions with neutrons or photons.
- They can be detected by Brillouin scattering (acoustic), Raman scattering, FTIR (optical).
- Their dispersion throughout the BZ can ONLY be monitored with x-rays (IXS), or neutrons (INS).
- Accurate prediction of phonon dispersion require correct knowledge about the force constants: COMPUTATIONAL TECHNIQUES ARE ESSENTIAL.

Dispersion relations and phonon density of states α -iron (bcc)



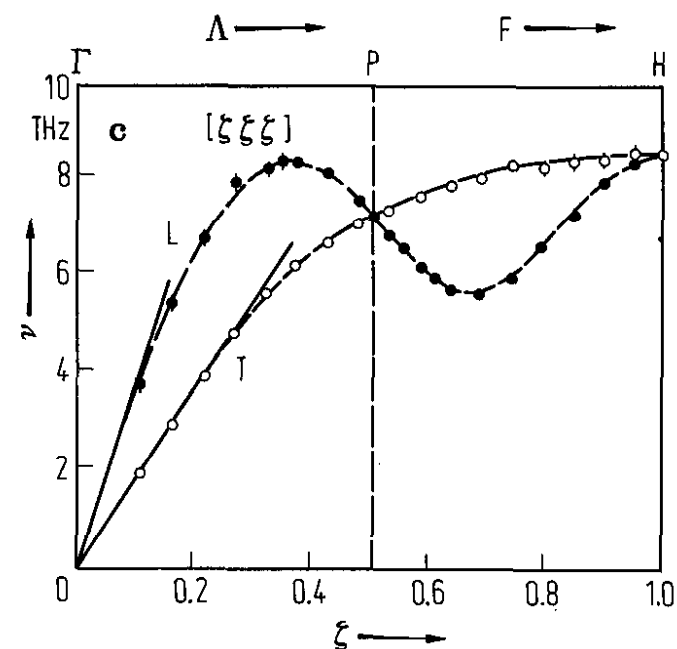
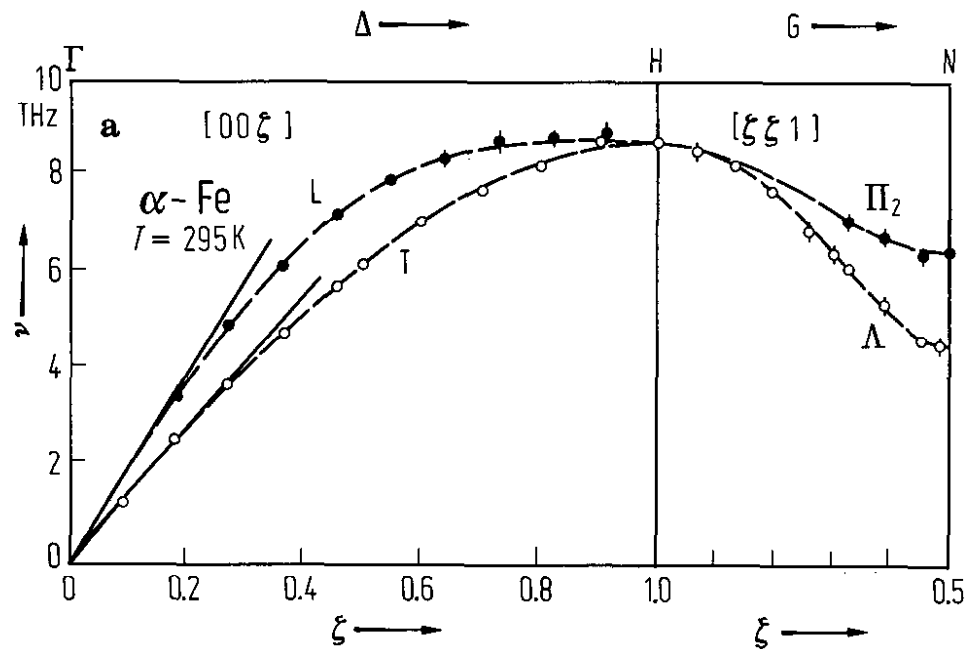
V. J. Minkiewicz, G. Shirane, and R. Nathans, Phys. Rev. 162 (1967) 528, and
Landolt-Börnstein, New Series, Group III, Vol 13, Eds. K.-H Hellwege, and J. L. Olsen, Springer Verlag, Berlin (1981) p. 53-56.

Dispersion relations



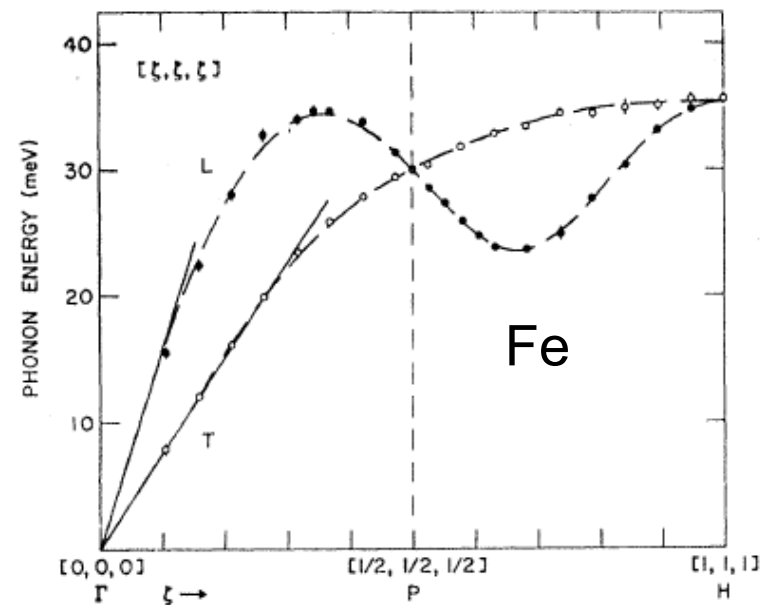
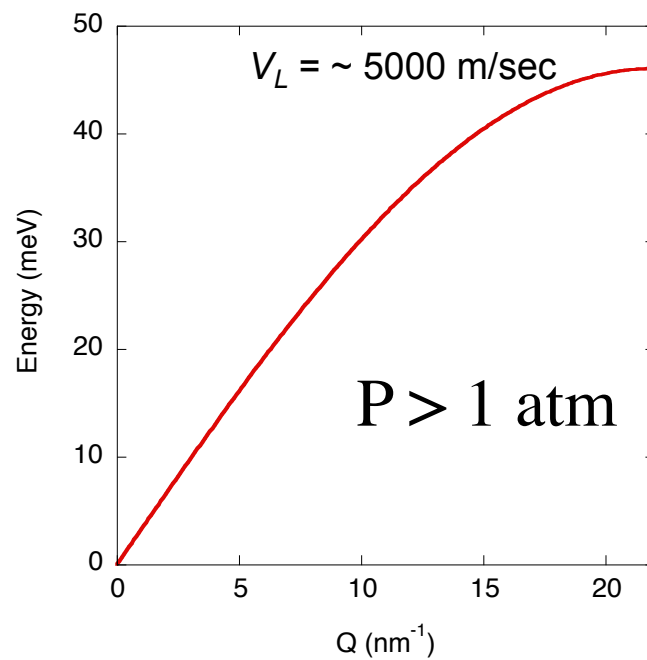
$$\omega(k) = 2\omega_0 \left| \sin\left(\frac{ka}{2}\right) \right|$$

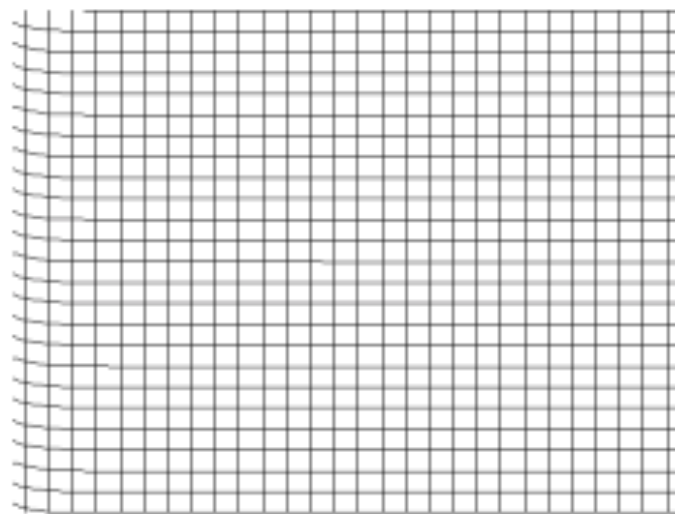
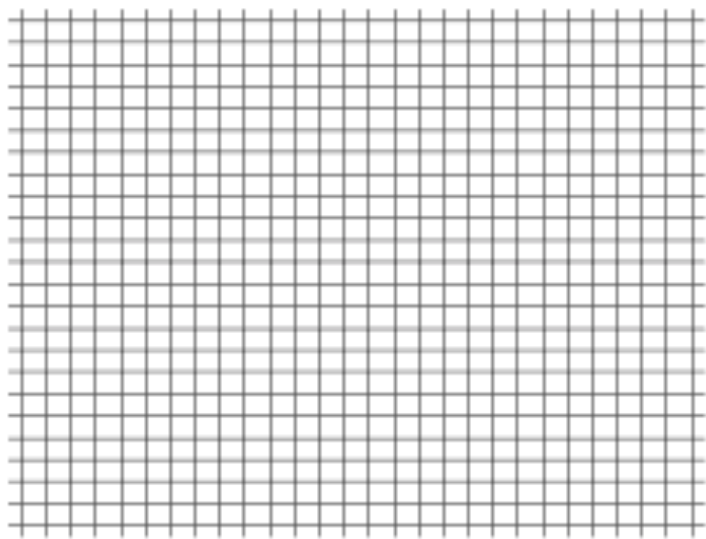
$$\frac{\partial \omega_k}{\partial k} : \text{sound velocity}$$



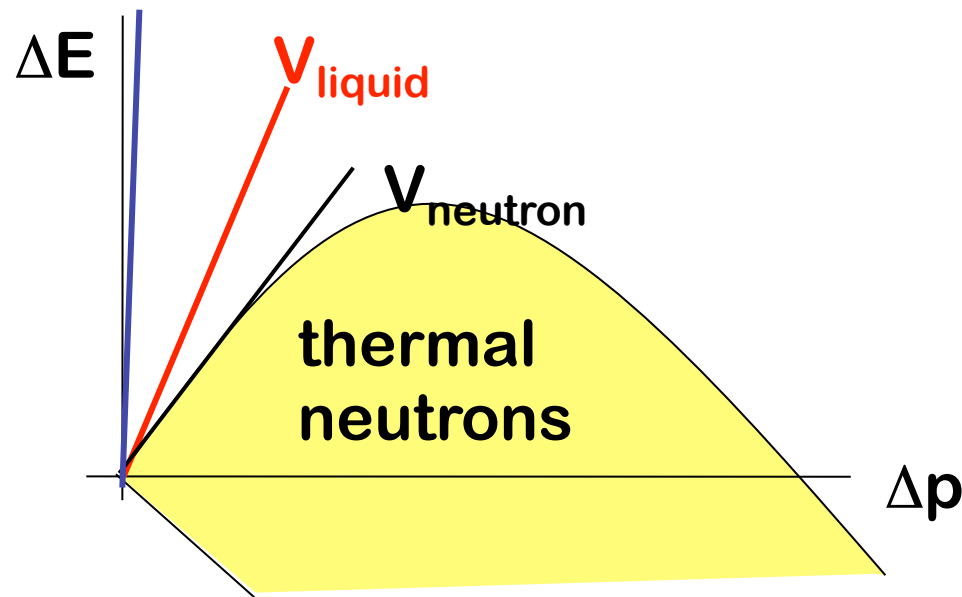
$$E = \frac{2\hbar}{\pi} V_L Q_{\max} \sin\left(\frac{\pi}{2} \frac{Q}{Q_{\max}}\right)$$

$$E(\text{meV}) = 4.192 \cdot 10^{-4} \cdot V_L (\text{m/sec}) Q_{\max} (\text{nm}^{-1}) \cdot \sin\left(\frac{\pi}{2} \frac{Q}{Q_{\max}}\right)$$





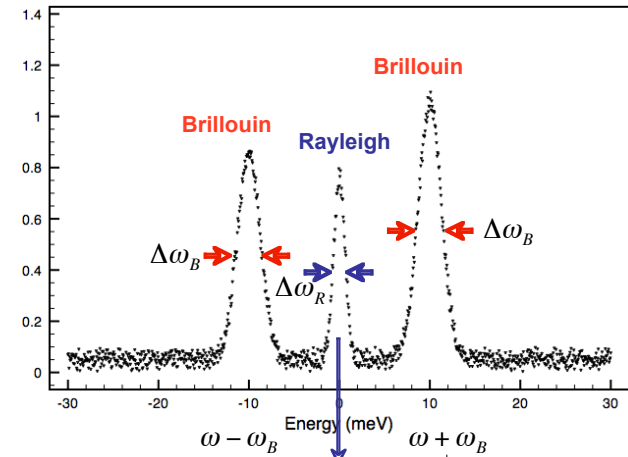
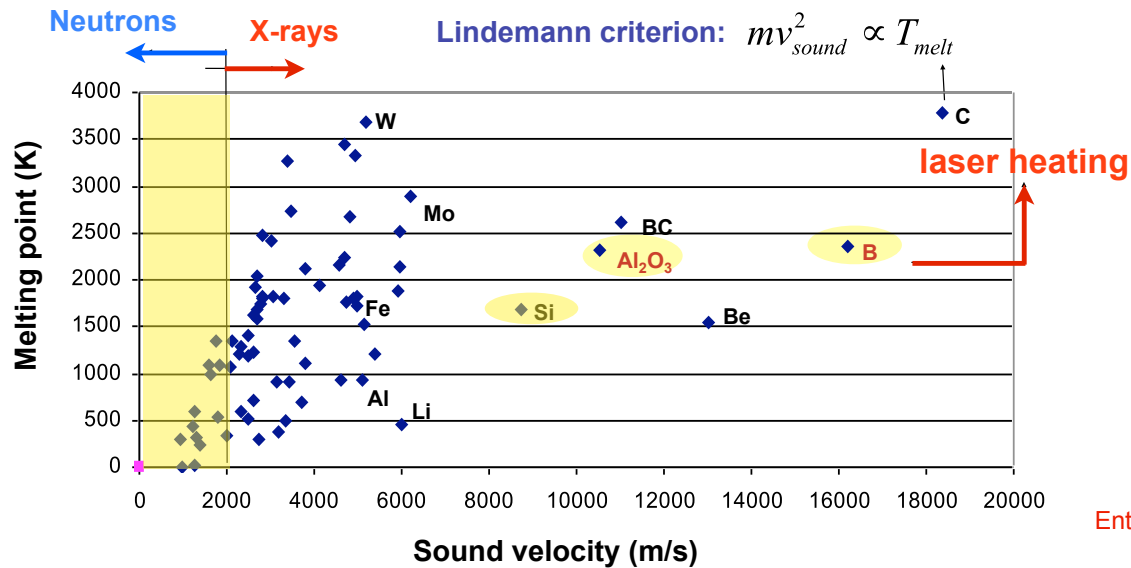
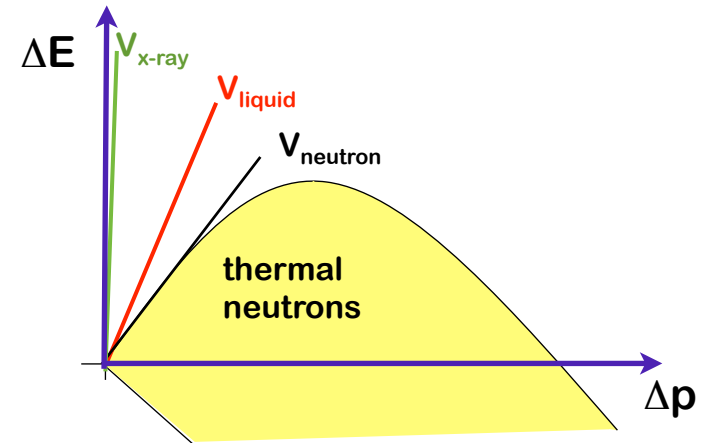
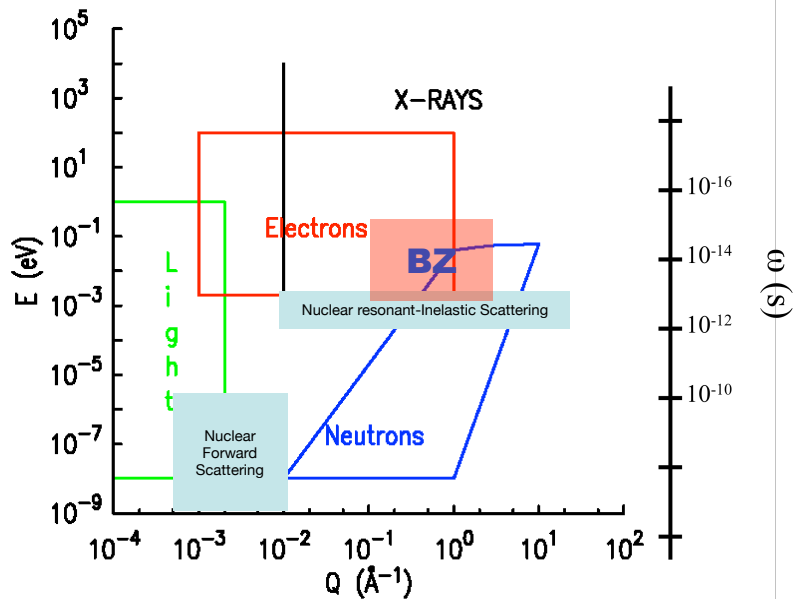
Why x-rays instead of neutrons or visible light ?



Limited momentum transfer capability of neutrons at low energies favor x-rays to study collective excitations with large dispersion, like sound modes.

When the sound velocity exceeds that of neutrons in the liquid, x-rays become unique. The low-momentum/high-energy transfer region is only accessible by x-rays.

Why X-Rays ?



Entropy fluctuations,

$$\Delta\omega_R \sim \alpha q^2$$

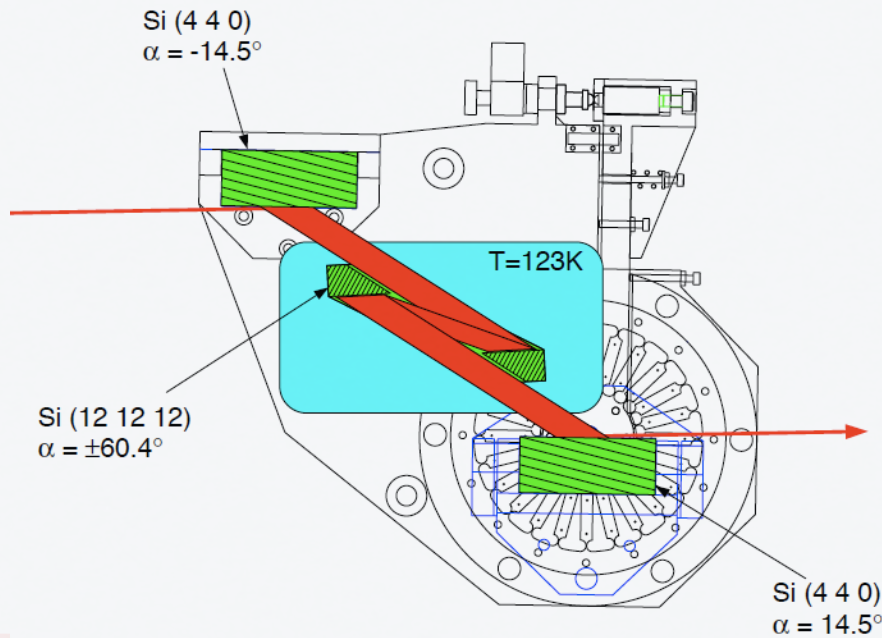
Concentration fluctuations

$$\Delta\omega_R \sim Dq^2$$

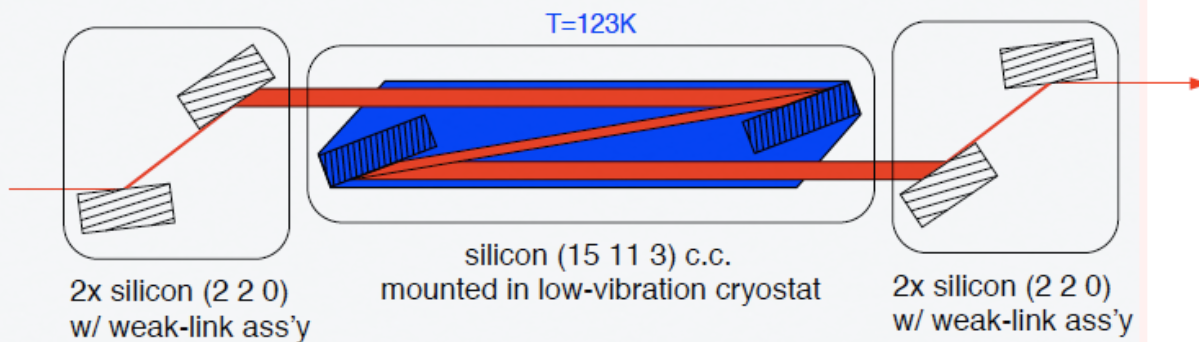
Pressure fluctuations

$$\omega_B(q) = V \cdot q$$

$$\Delta\omega_B \sim Vq^2$$



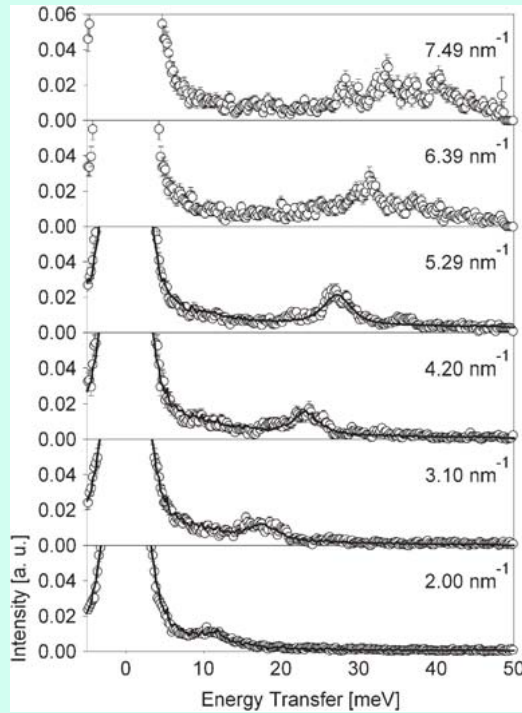
^{119}Sn @ 14.4 keV
 $\partial E = 1.3 \text{ meV}$



^{151}Eu @ 21.5 keV
 $\partial E = 1.4 \text{ meV}$

Acoustic phonons in chrysotile asbestos, $\text{Mg}_3\text{Si}_2\text{O}_5(\text{OH})_4$

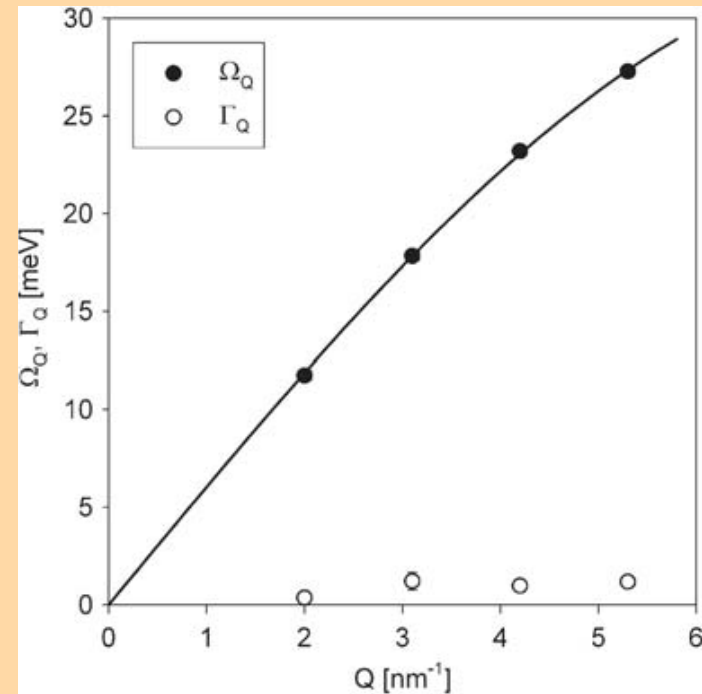
Damped harmonic oscillator model



$$S(\hbar\omega) = \frac{1}{1 - e^{-\hbar\omega/kT}} \left[I_c \frac{1}{\pi} \frac{\Gamma_c}{(\hbar\omega)^2 + \Gamma_c^2} + I_Q \frac{1}{\pi} \frac{4\Gamma_Q(\hbar\omega_Q)(\hbar\omega)}{((\hbar\omega)^2 - \Omega_Q^2)^2 + 4\Gamma_Q^2(\hbar\omega)} \right]$$

$$\Omega_Q = \left[(\hbar\omega_Q)^2 + \Gamma_Q^2 \right]^{1/2}$$

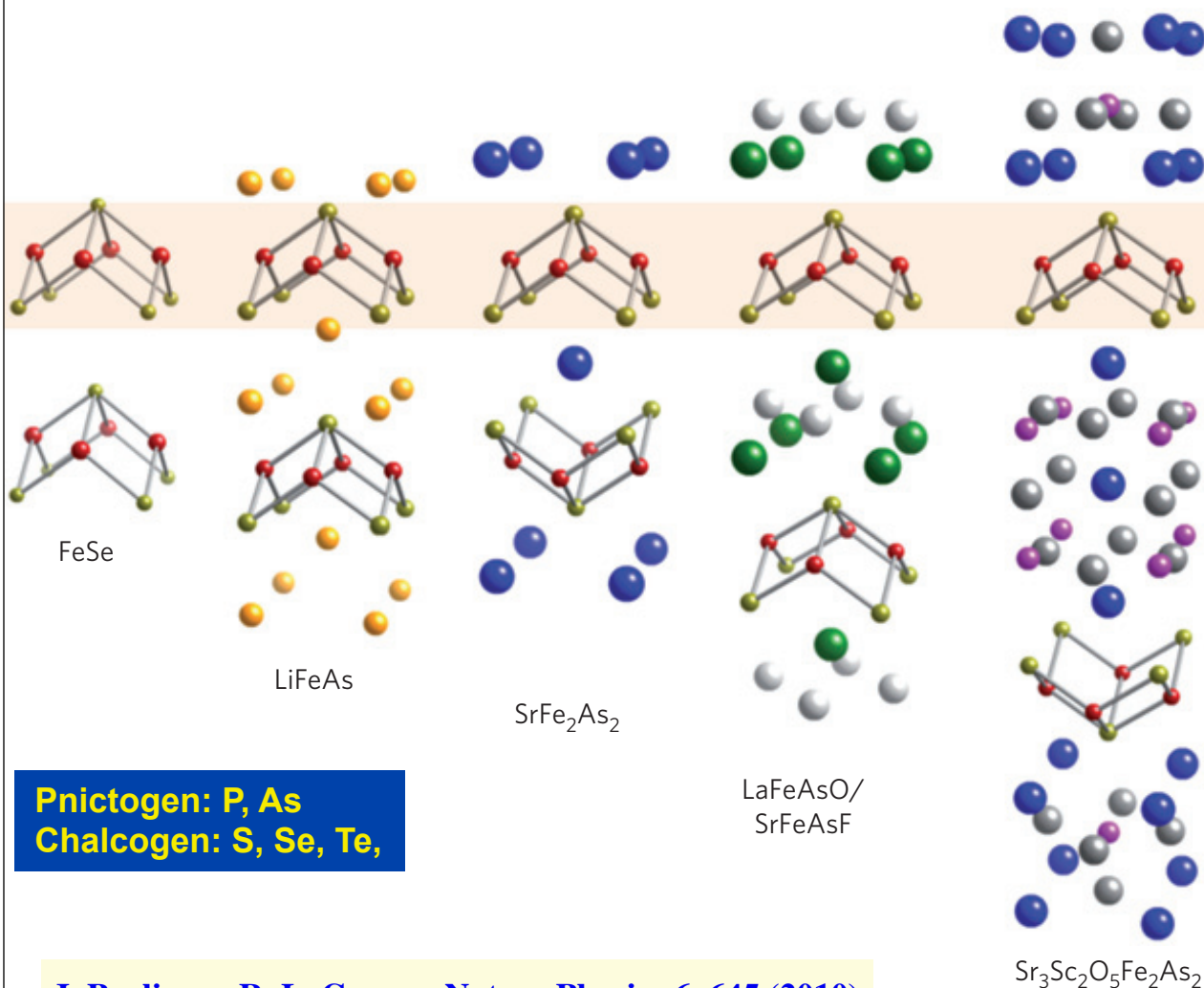
Longitudinal sound velocity



$$E = \frac{2V_L \hbar Q_{\max}}{\pi} \sin\left(\frac{\pi}{2} \frac{Q}{Q_{\max}}\right)$$

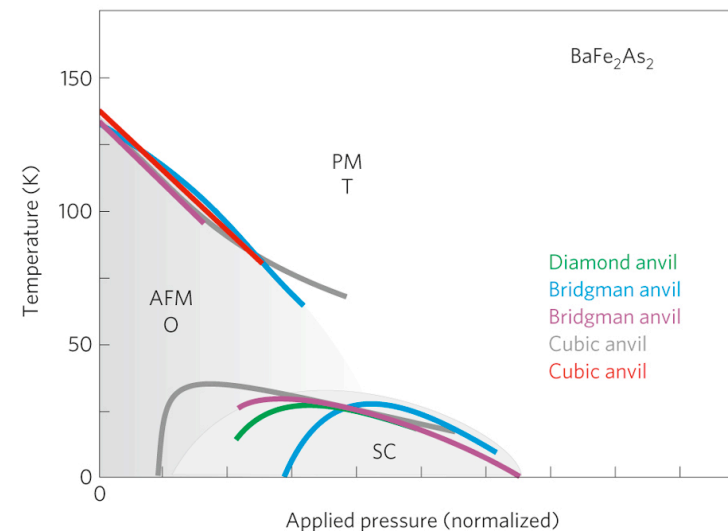
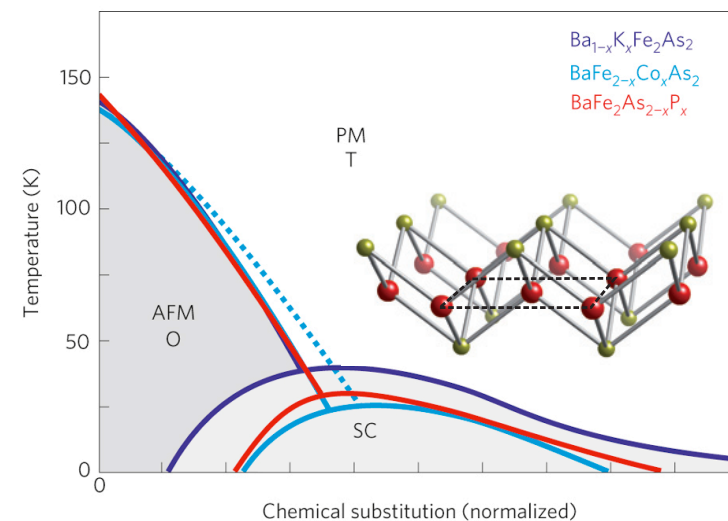
$$E \text{ (meV)} = 4.192 \cdot 10^4 V_L Q_{\max} \sin\left(\frac{\pi}{2} \frac{Q}{Q_{\max}}\right)$$

Pnictides: A scientific opportunity for IXS:



Pnictogen: P, As
Chalcogen: S, Se, Te,

J. Paglione , R. L. Greene, Nature Physics 6, 645 (2010)



Are pnictides BCS type electron-phonon superconductors? Is Migdal-Eliashberg theory obeyed ?

$$\frac{\omega_{\text{ln}}}{2} \exp \left[-\frac{1.04(1 + \lambda)}{\lambda - \mu^*(1 + 0.62\lambda)} \right],$$

~ 26 K, SC transition temperature

$$1 - \frac{1.04(1 + \lambda)(1 + 0.62\lambda)\mu^{*2}}{[\lambda - \mu^*(1 + 0.62\lambda)]^2},$$

Isotope effect coefficient

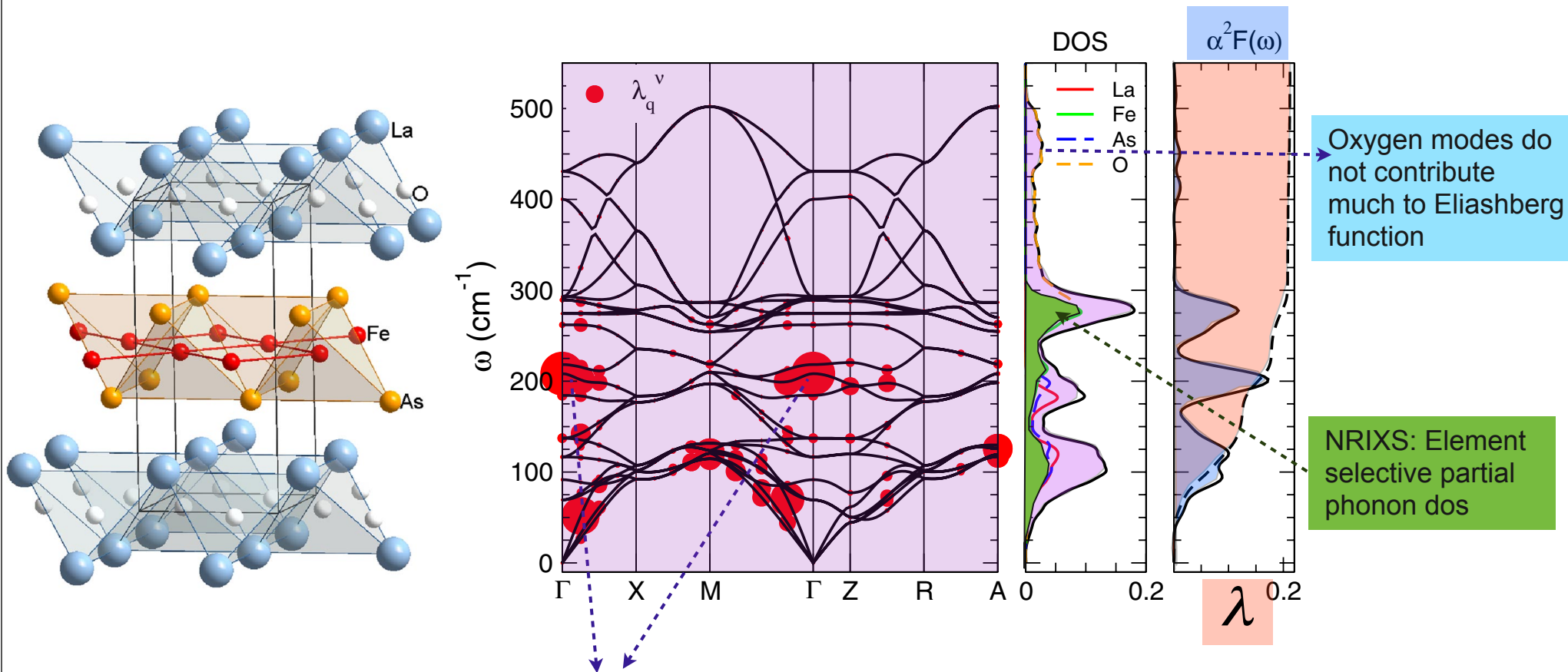
$$53 \left[1 + 12.5 \left(\frac{T_c}{\omega_{\text{ln}}} \right)^2 \ln \left(\frac{\omega_{\text{ln}}}{2T_c} \right) \right],$$

SC energy **gap** / **T_c** ratio

$$\lambda = 2 \int \alpha^2 F(\omega) d\omega / \omega \quad \text{electron-phonon coupling constant}$$

$$\ln \omega_{\text{ln}} = (2 / \lambda) \int \ln \omega \alpha^2 F(\omega) \frac{d\omega}{\omega} \quad \text{is the relevant phonon frequency}$$

For pnictides values of λ is inconsistent with observed T_c . Estimated value of 0.2 is too small for the observed 26 K transition temperature.



$$\lambda_{\nu\mathbf{q}} \equiv \frac{1}{\pi N(0)} \frac{\gamma_{\nu\mathbf{q}}}{\omega_{\nu\mathbf{q}}^2}$$

Electron-phonon linewidth

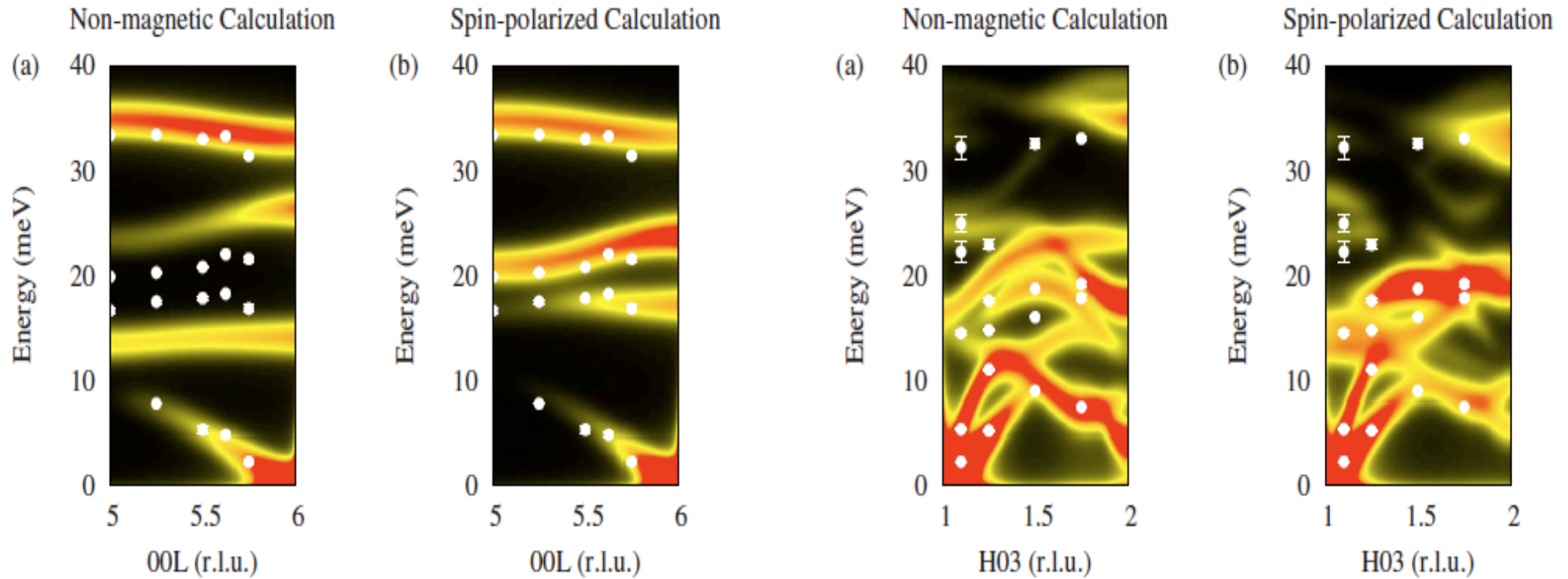
Influence of magnetism on phonons in CaFe_2As_2 as seen via inelastic x-ray scattering

S. E. Hahn,^{*} Y. Lee, N. Ni, P. C. Canfield, A. I. Goldman, R. J. McQueeney,[†] and B. N. Harmon
Department of Physics and Astronomy and Ames Laboratory, Iowa State University, Ames, Iowa 50010, USA

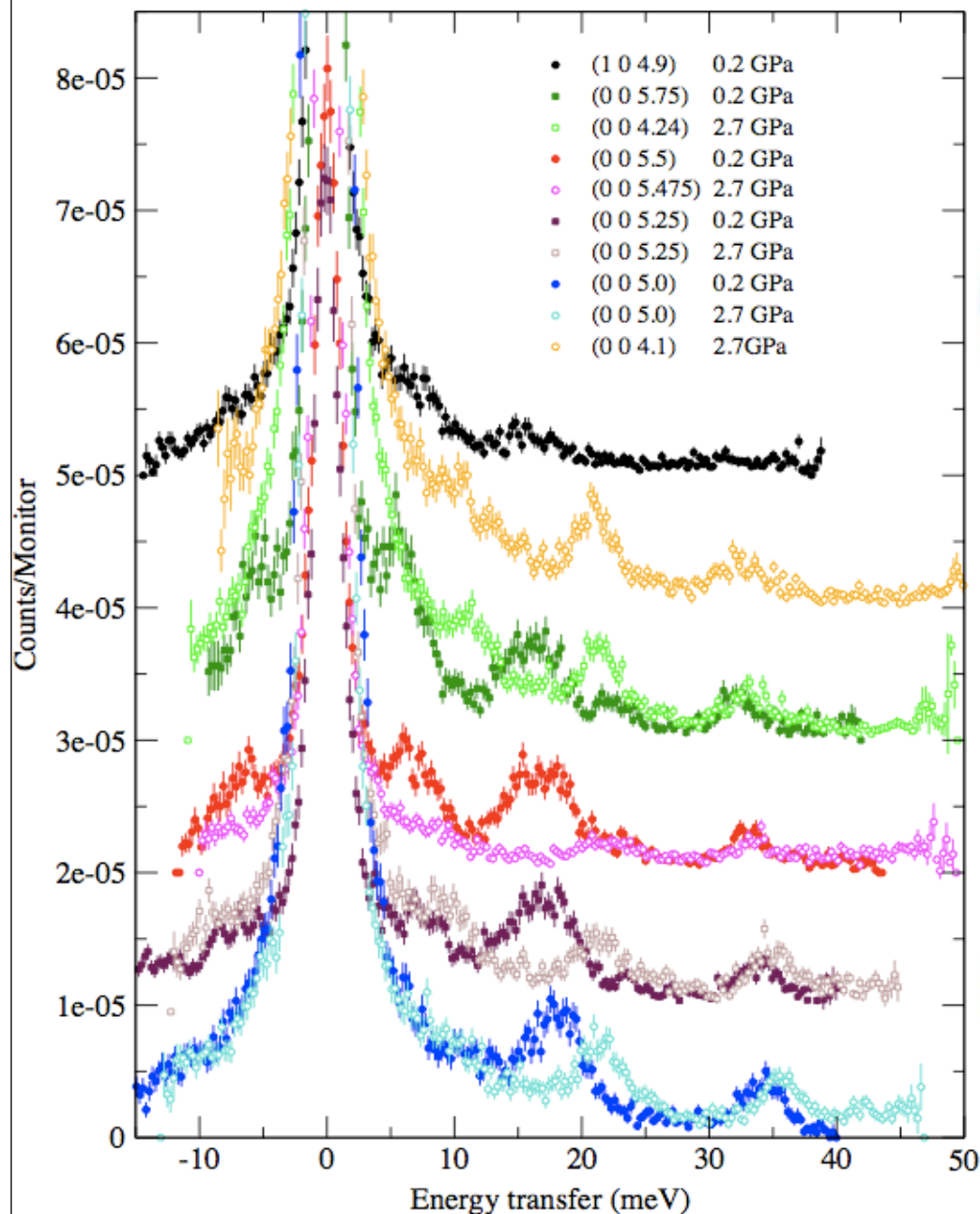
A. Alatas, B. M. Leu, and E. E. Alp
Advanced Photon Source, Argonne National Laboratory, Argonne, Illinois 60439, USA

D. Y. Chung and I. S. Todorov
Materials Science Division, Argonne National Laboratory, Argonne, Illinois 60439, USA

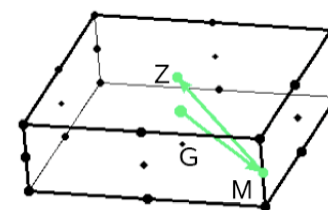
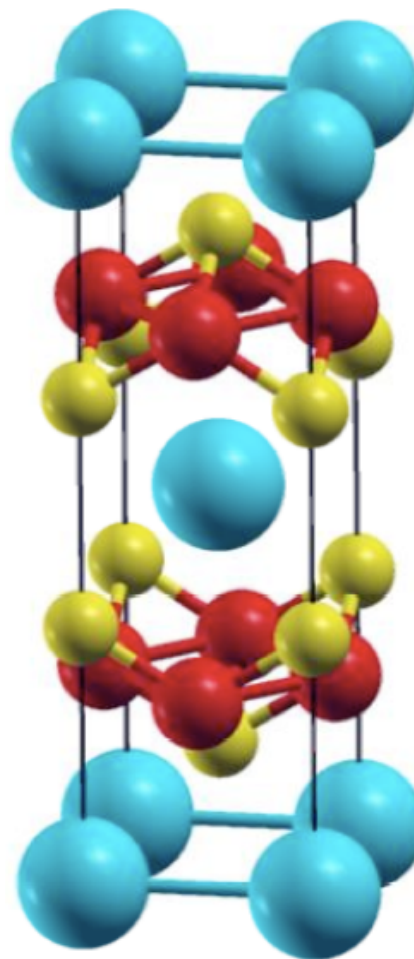
M. G. Kanatzidis
Materials Science Division, Argonne National Laboratory, Argonne, Illinois 60439, USA
and Department of Chemistry, Northwestern University, Evanston, Illinois 60208, USA



CaFe₂As₂ under pressure



I4/mmm

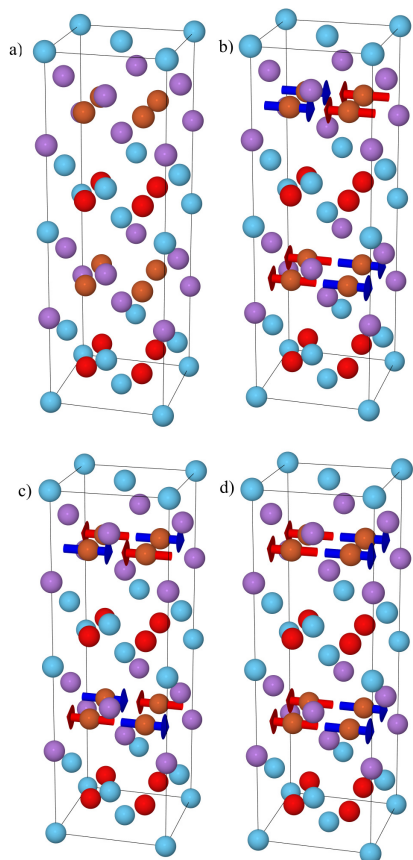


There is a phase transition from magnetically ordered orthorhombic phase to a nonmagnetic “collapsed” tetragonal phase, accompanied by a significant volume change at 0.3 GPa.

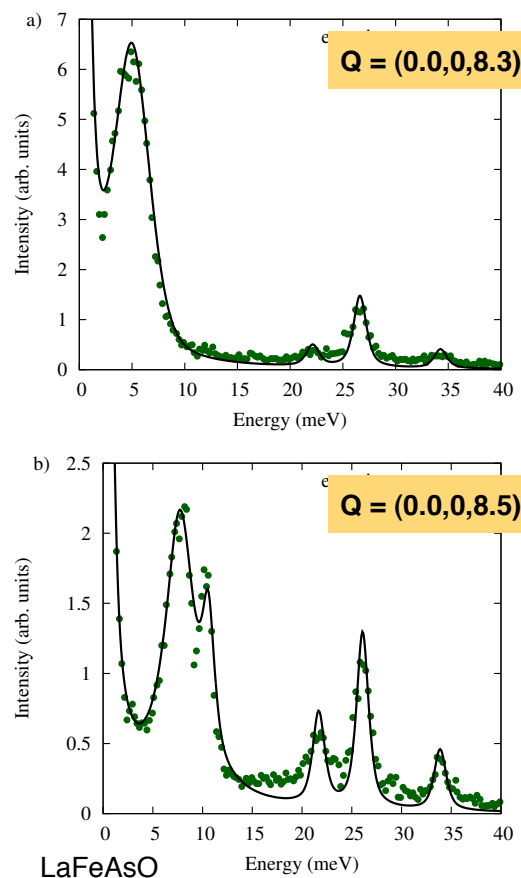
Pnictides: A scientific opportunity for IXS & NRVs:

S. E. Hahn, et al, Phys. Rev. B, 79, 220511 (2009) and Phys. Rev. B, (submitted, 2012)

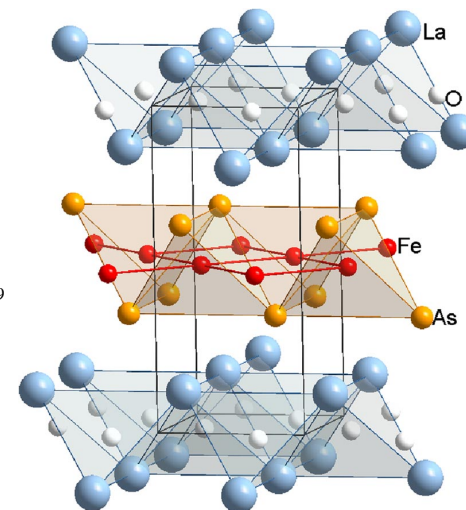
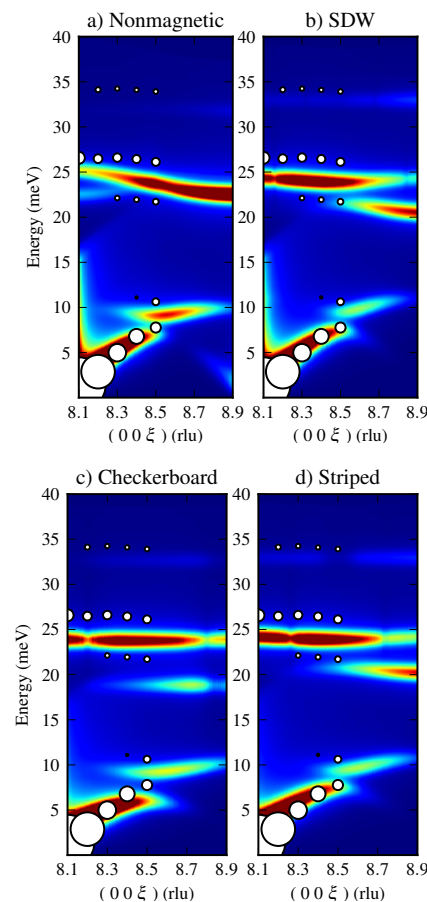
(A)

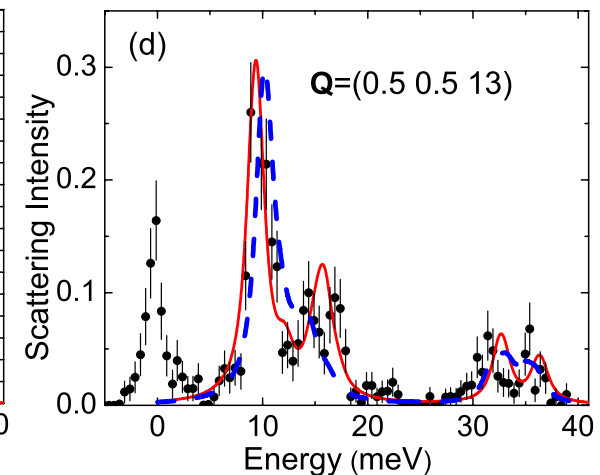
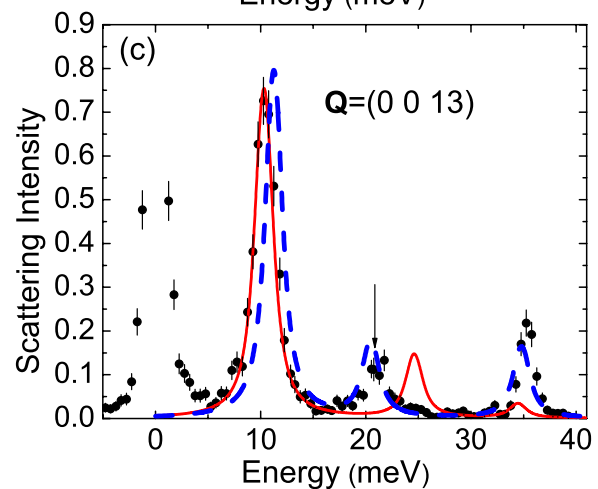
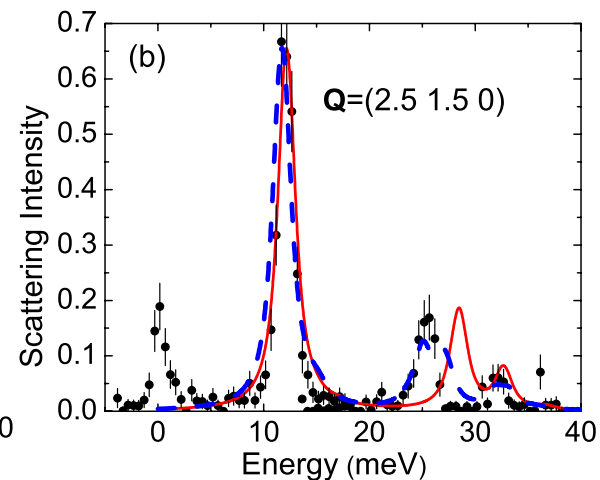
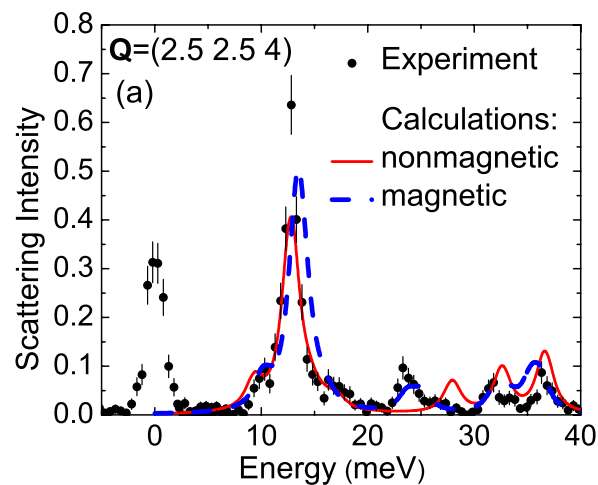
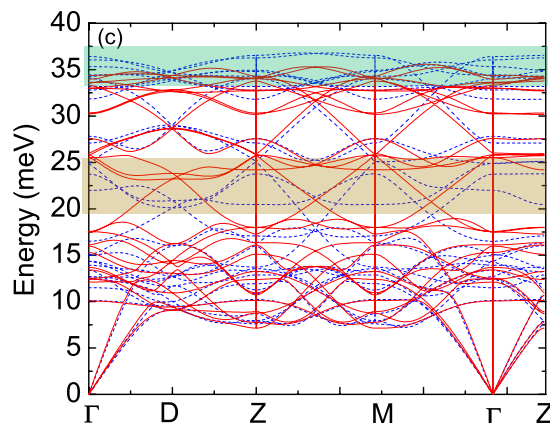
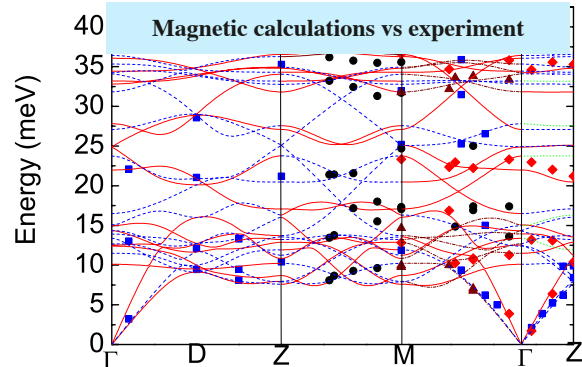
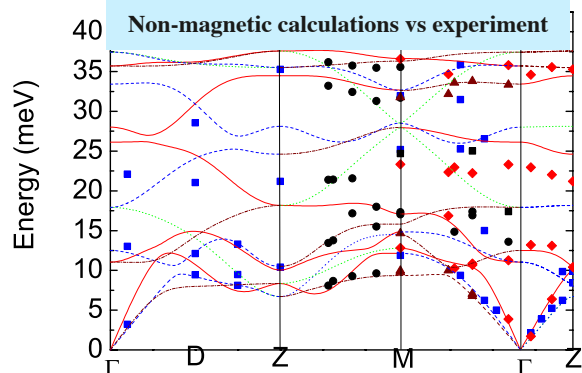


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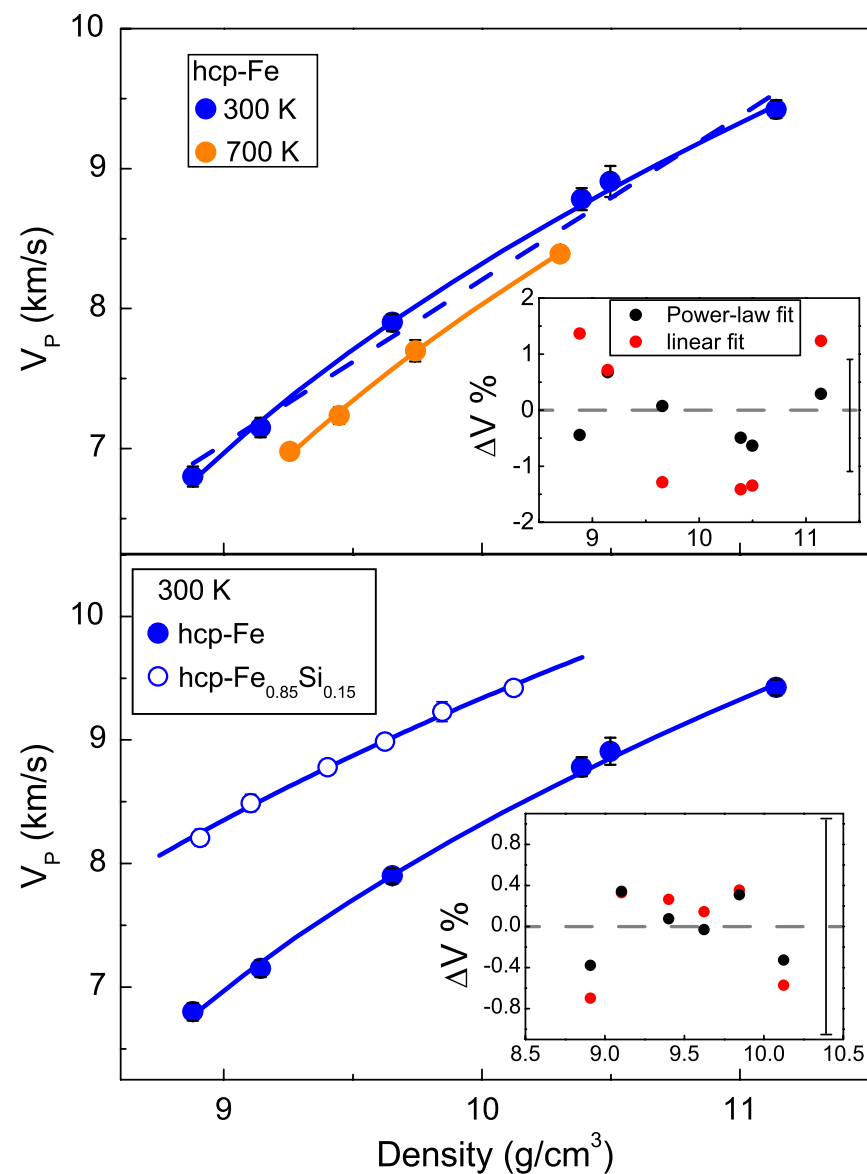
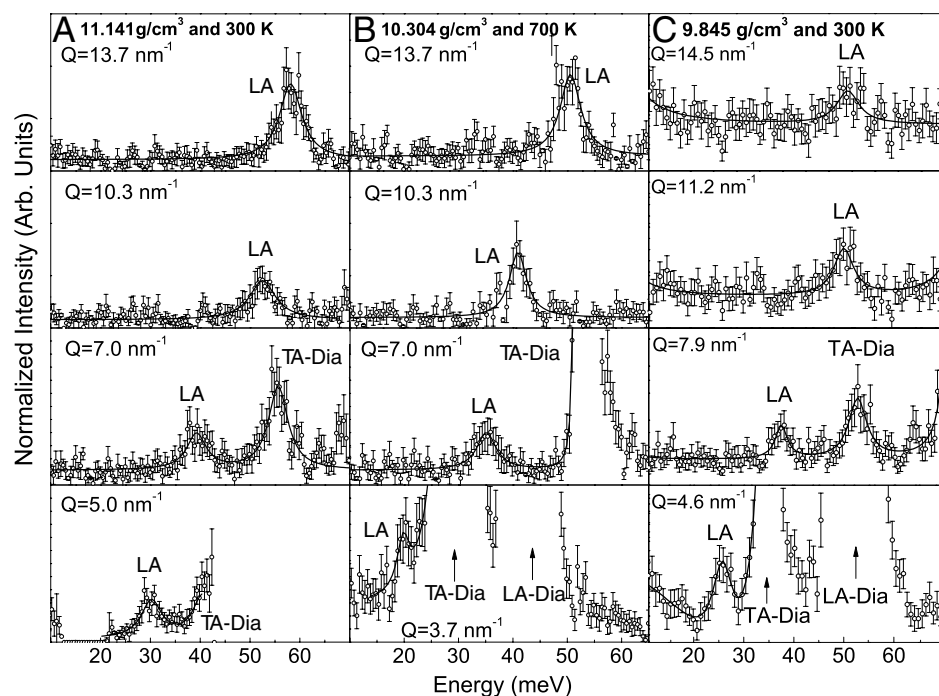
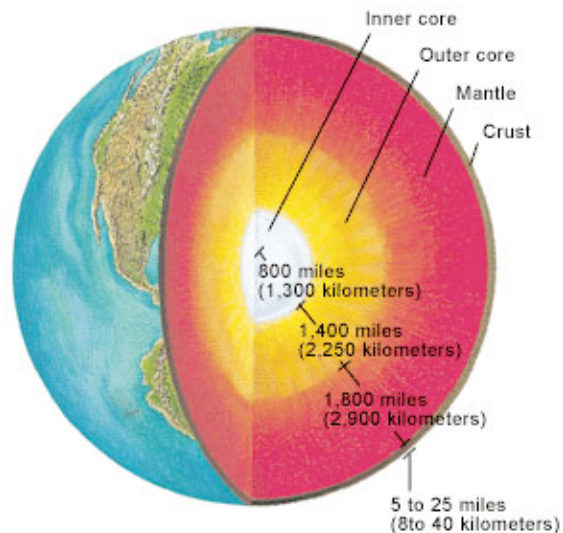


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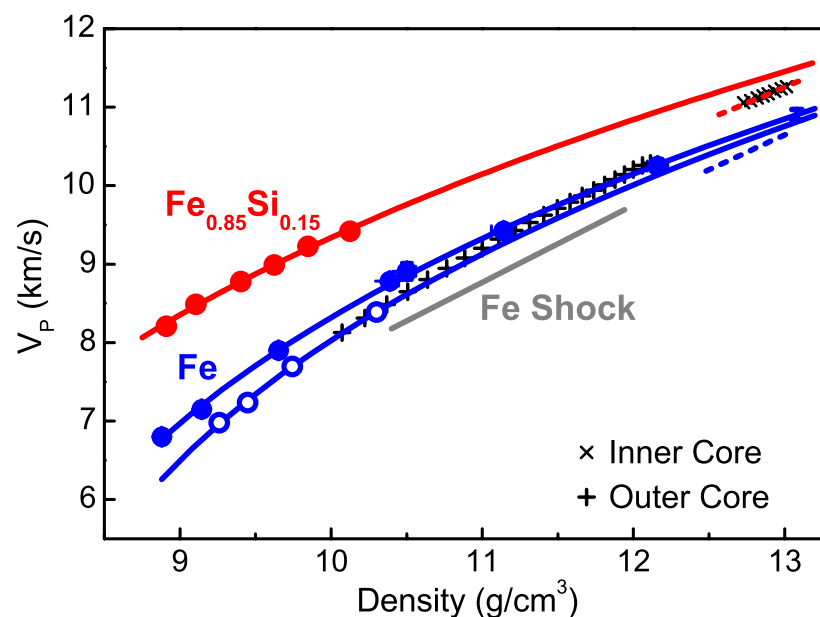
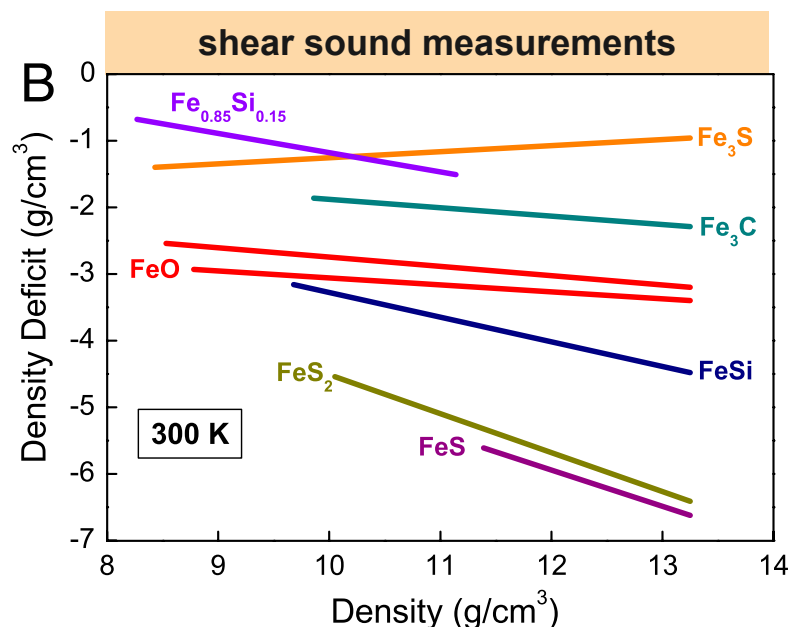
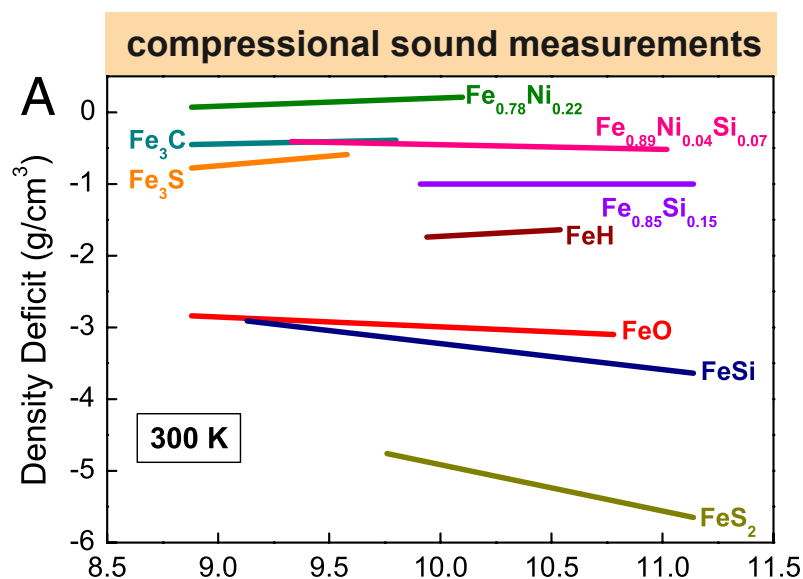




Sound velocity at the conditions of the Earth's core in iron alloys

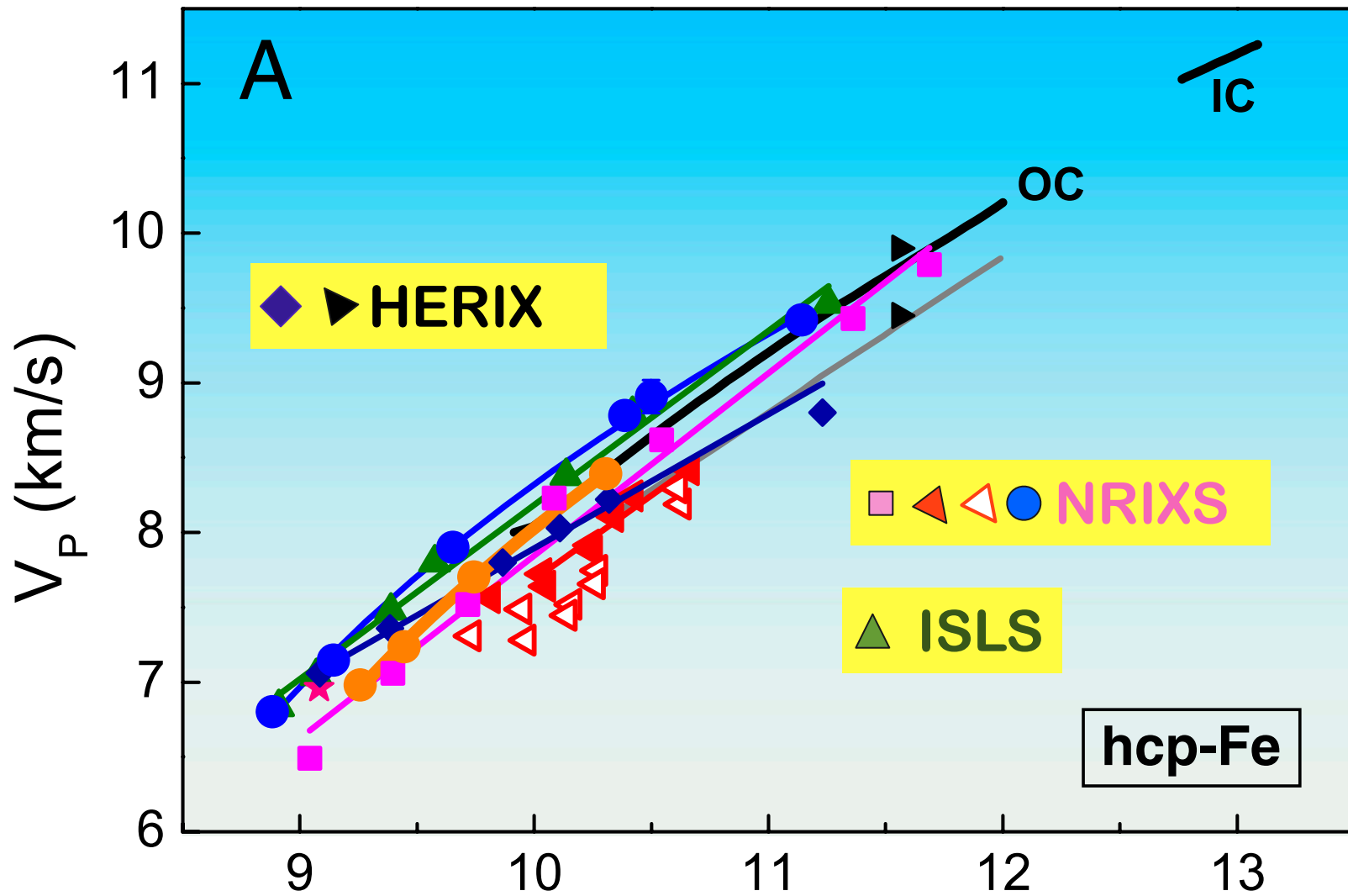


Sound velocity at the conditions of the Earth's core in iron alloys



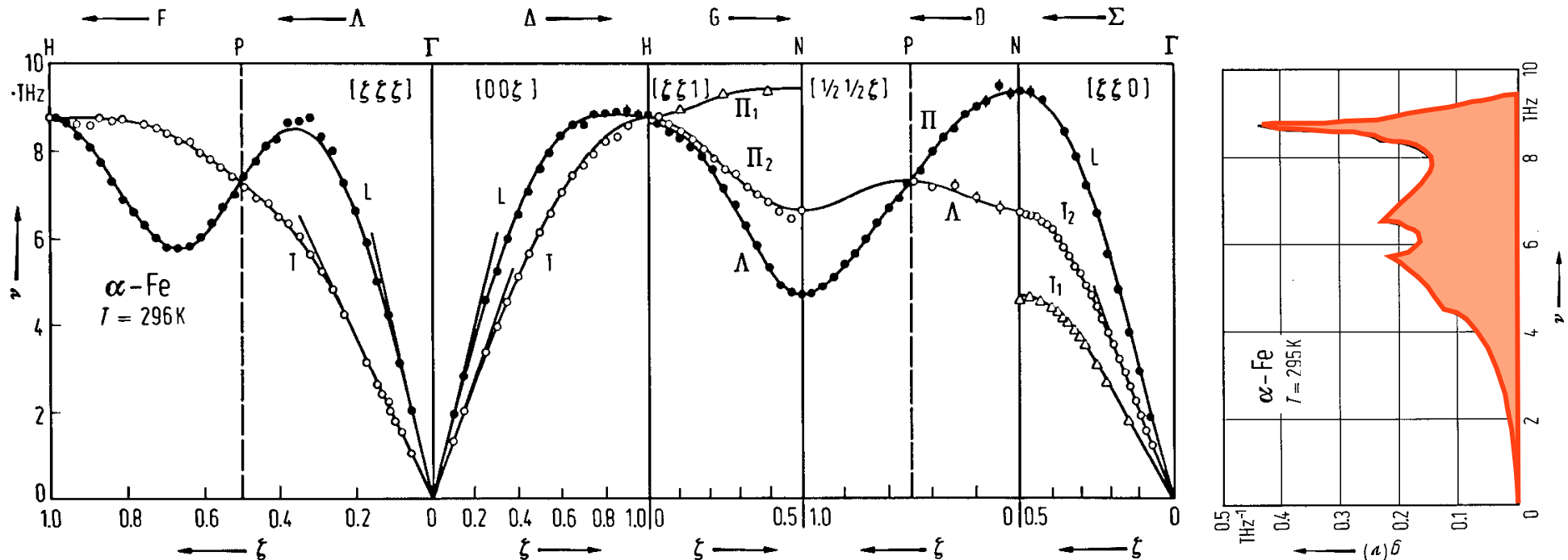
Direct measurements of the V_p relationship of Fe-light element alloys at relevant P-T conditions of the core now appear to be on the horizon, which in turn may eventually answer the longstanding question on the composition of the Earth's core.

Sound velocity at the conditions of the Earth's core in iron alloys



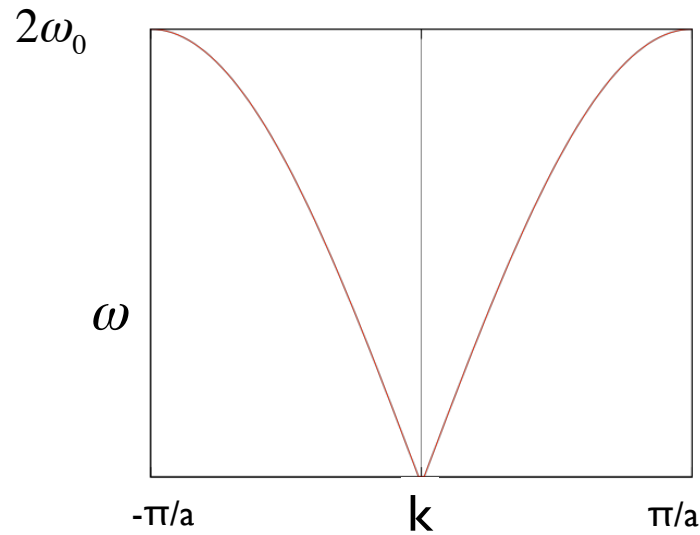
ISLS : impulsive stimulated light scattering

Dispersion relations and phonon density of states α -iron (bcc)



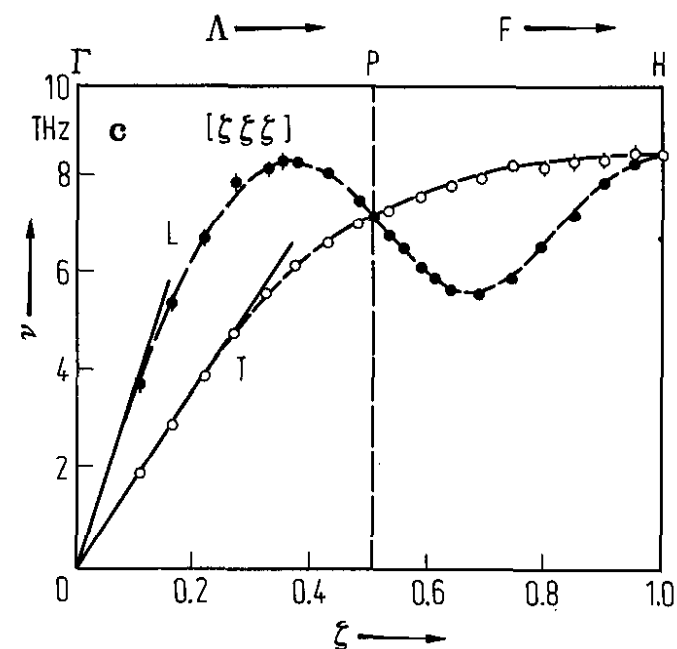
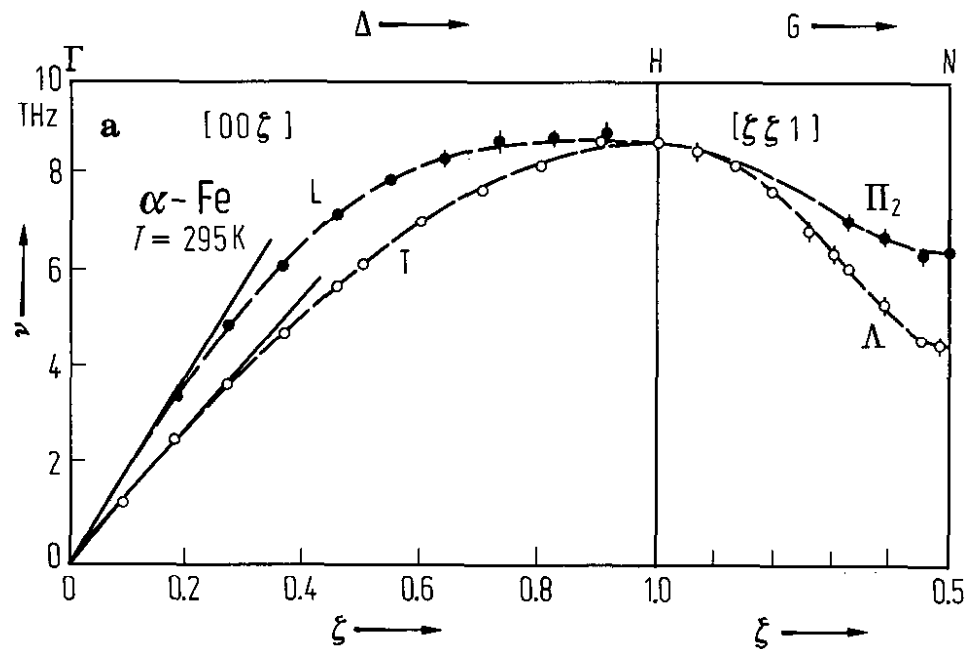
V. J. Minkiewicz, G. Shirane, and R. Nathans, Phys. Rev. 162 (1967) 528, and
Landolt-Börnstein, New Series, Group III, Vol 13, Eds. K.-H Hellwege, and J. L. Olsen, Springer Verlag, Berlin (1981) p. 53-56.

Dispersion relations



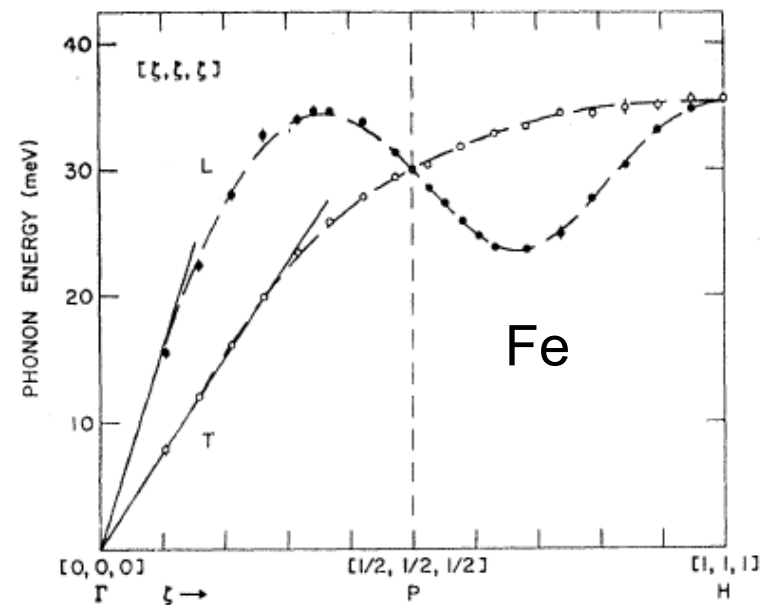
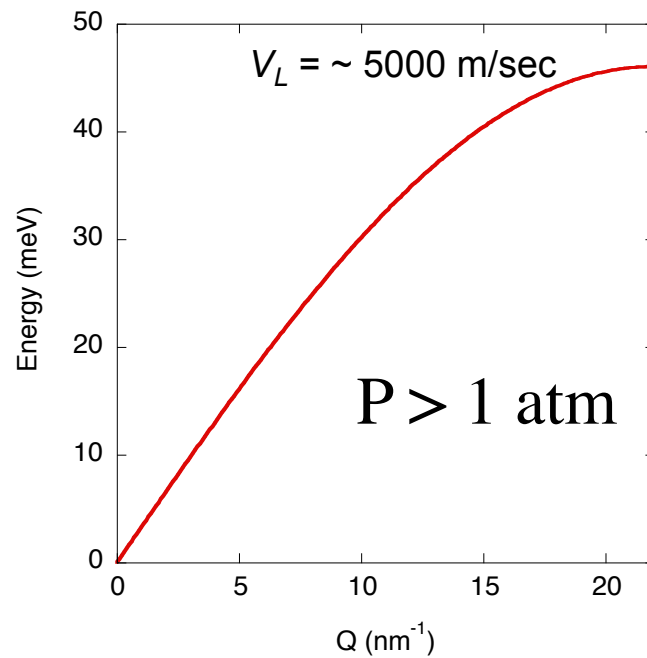
$$\omega(k) = 2\omega_0 \left| \sin\left(\frac{ka}{2}\right) \right|$$

$$\frac{\partial \omega_k}{\partial k} : \text{sound velocity}$$



$$E = \frac{2\hbar}{\pi} V_L Q_{\max} \sin\left(\frac{\pi}{2} \frac{Q}{Q_{\max}}\right)$$

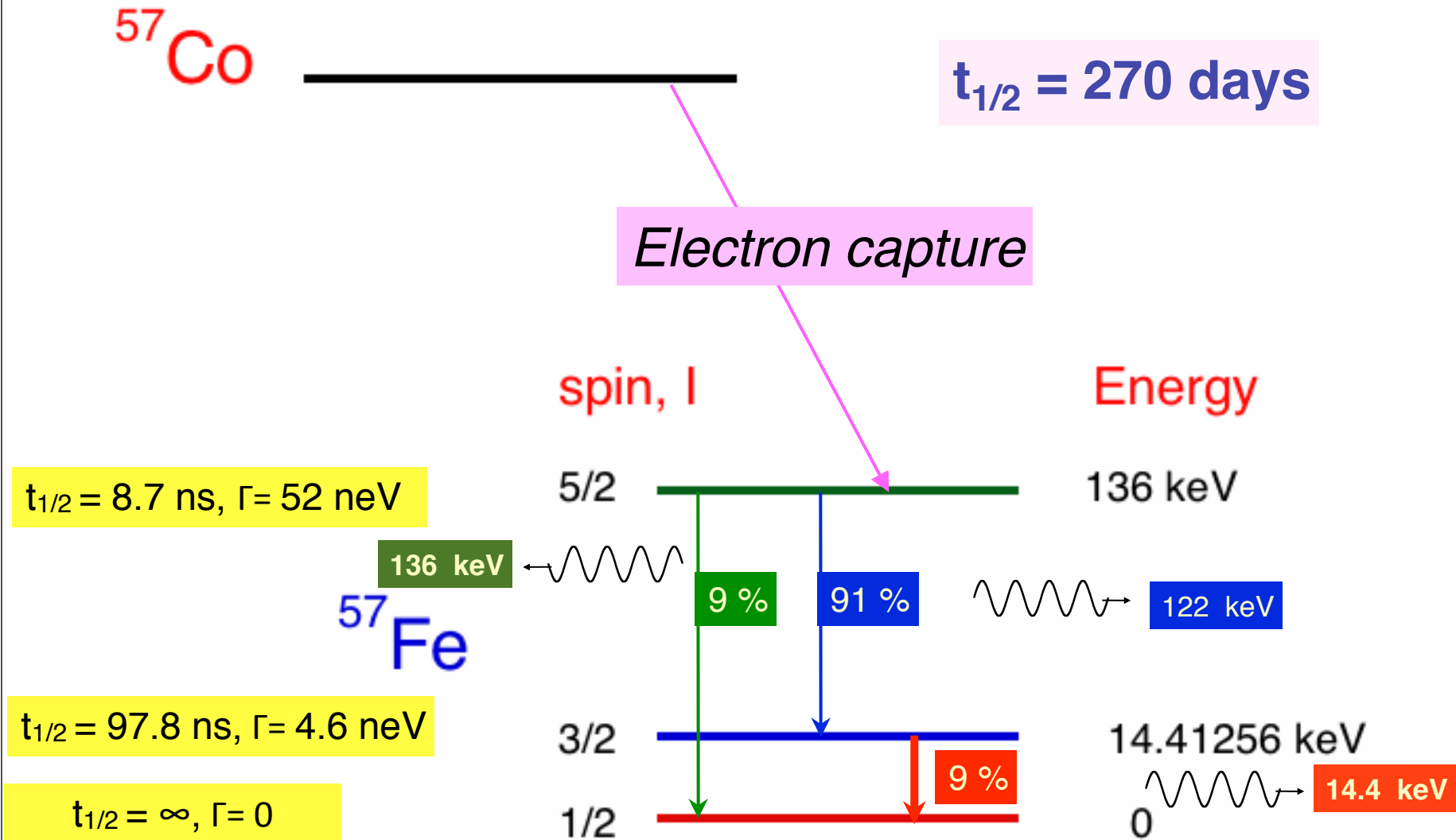
$$E(\text{meV}) = 4.192 \cdot 10^{-4} \cdot V_L (\text{m/sec}) Q_{\max} (\text{nm}^{-1}) \cdot \sin\left(\frac{\pi}{2} \frac{Q}{Q_{\max}}\right)$$



a few questions

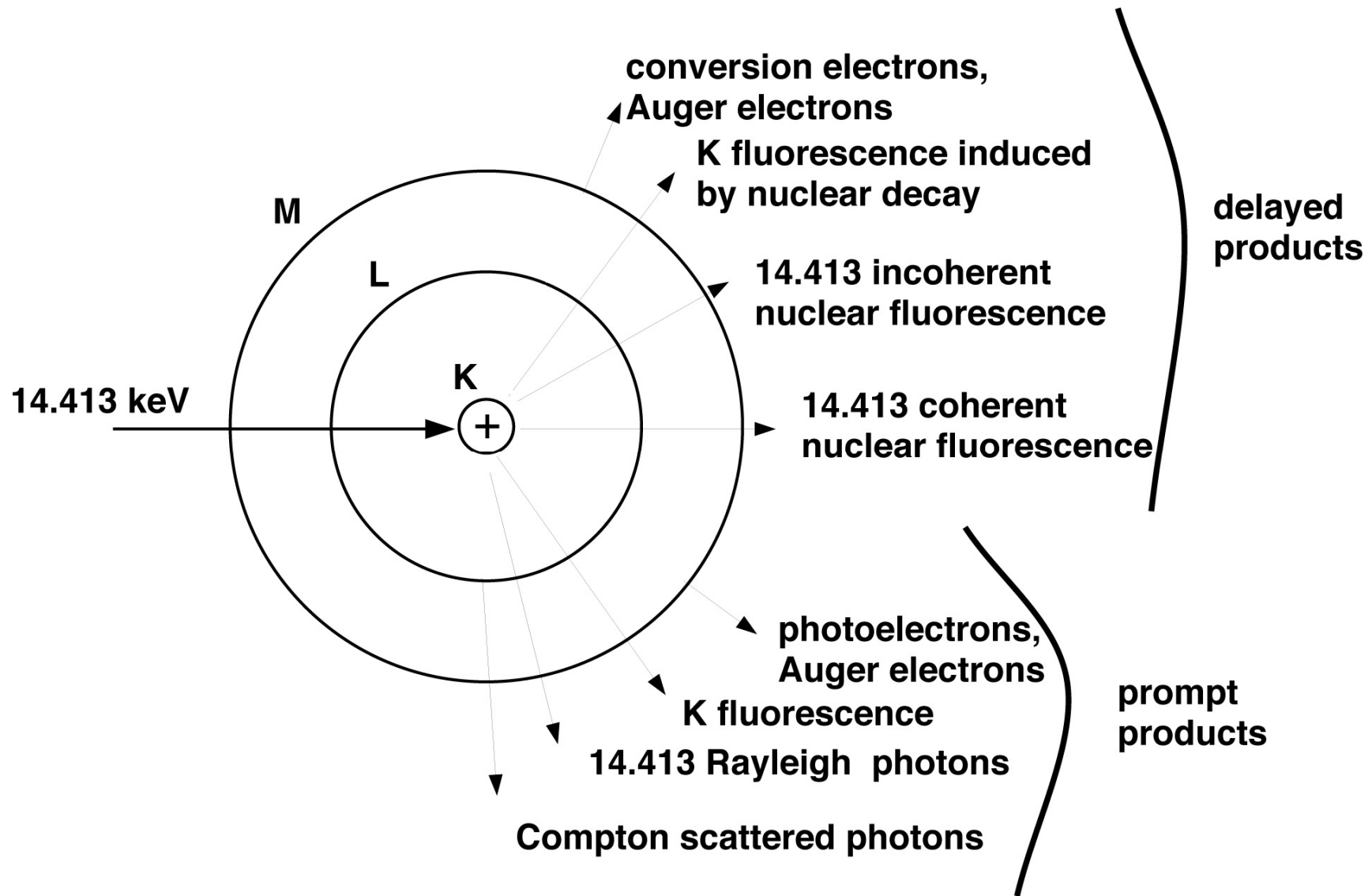
- Can one measure phonon dispersion and/or phonon dos
 - from a monolayer?
 - at a buried interface?
 - from nanosized particles on the surface?
 - at 3 Mbar and at 4 K to 5000K extreme conditions?
 - from a nanogram sample?
 - in a way that is element and isotope selective?
 - in a way that can be completely tested by DFT, i.e. both the frequency and amplitude of vibrations are determined

Characteristics of a Mössbauer nuclei

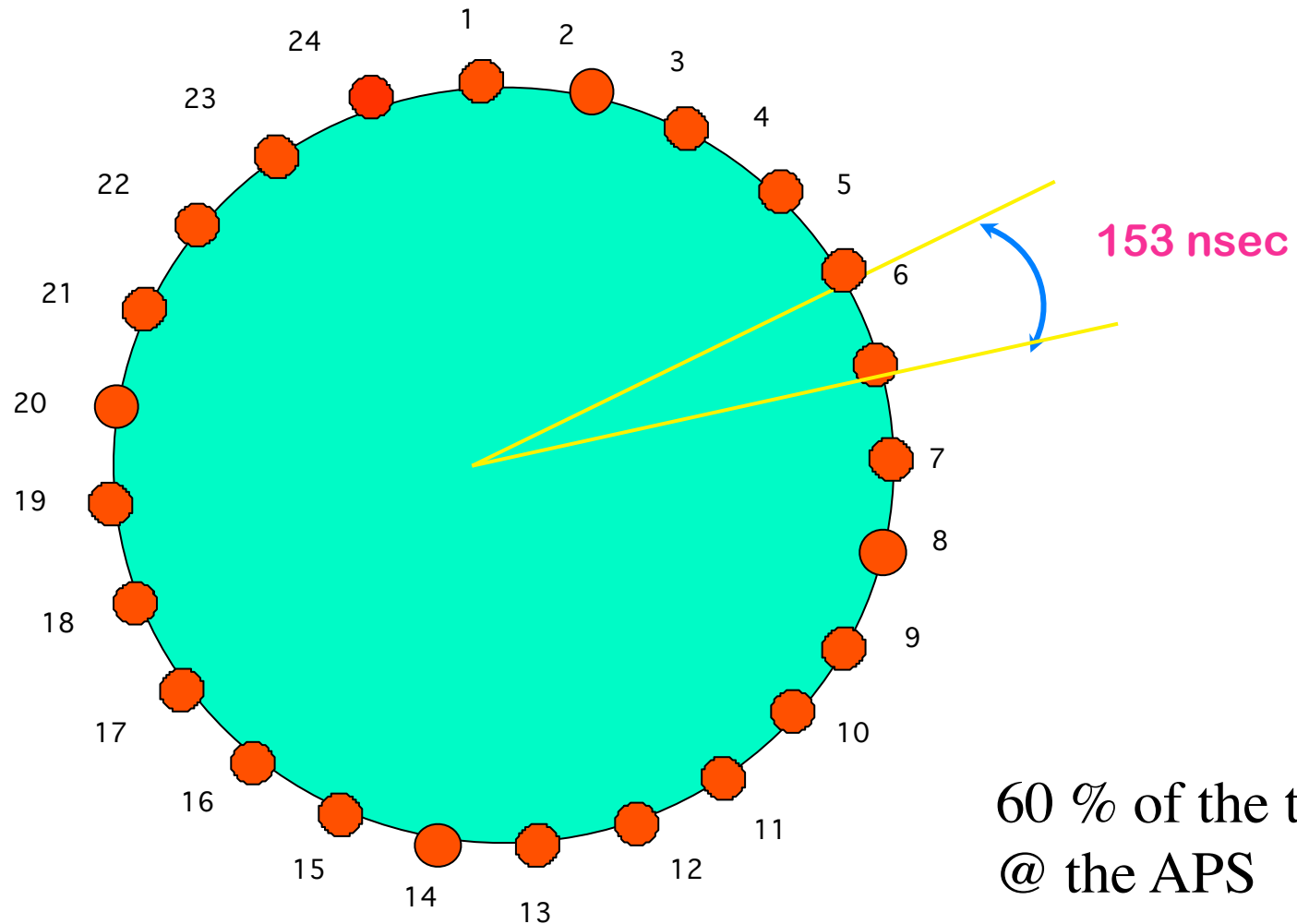


Transitions to ground state with a relatively low energy is what makes a nuclei a Mössbauer nuclei

Nuclear Resonance and Fallout in ^{57}Fe -decay

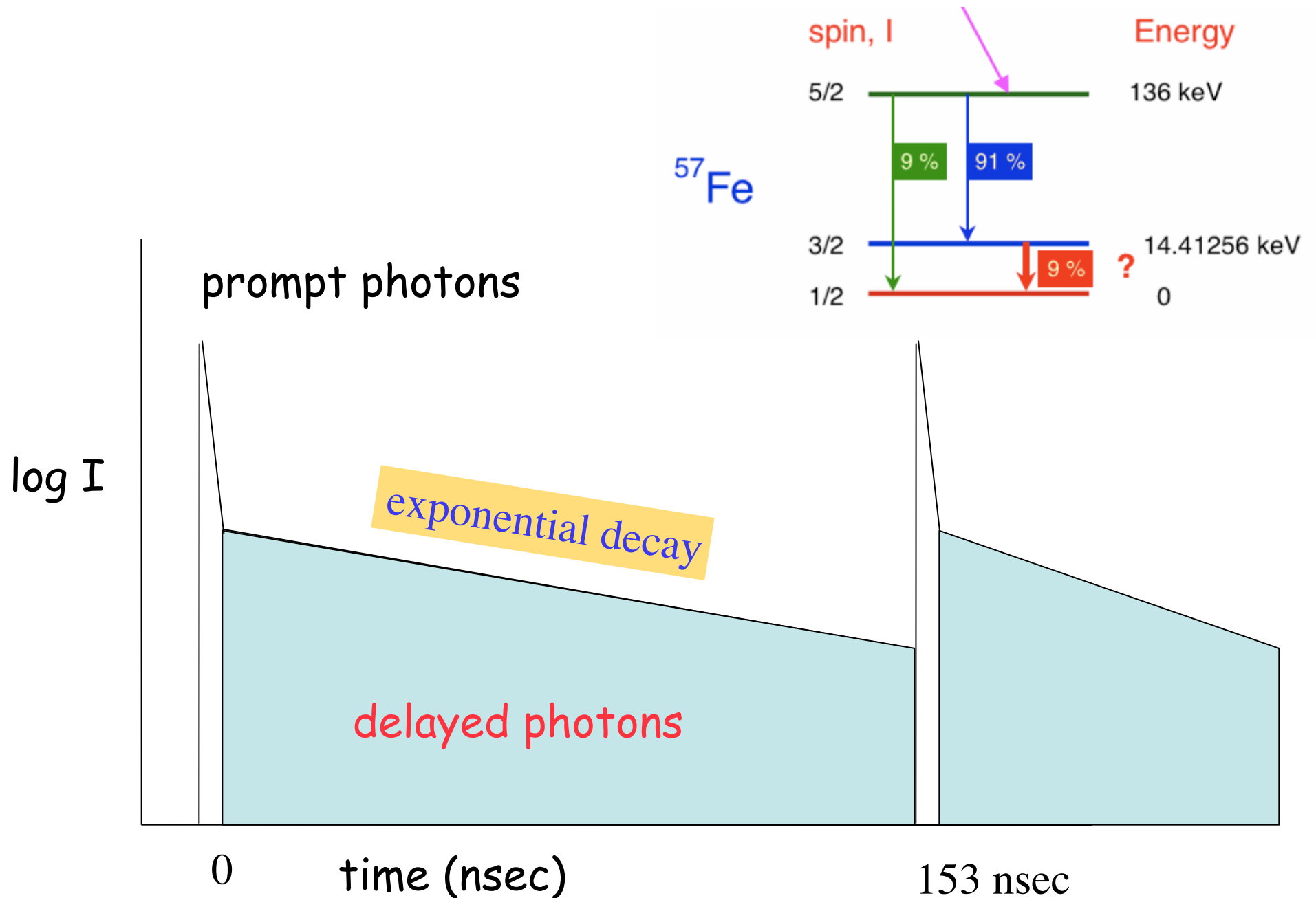


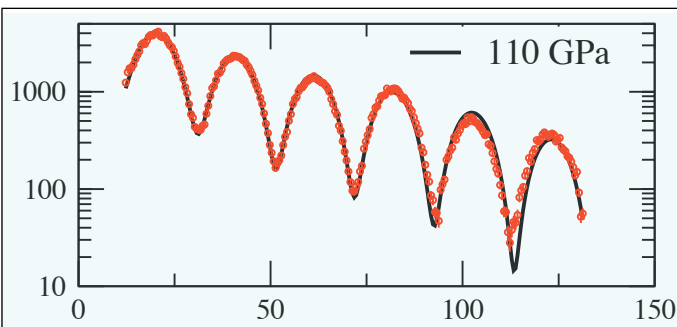
Standard Time structure @ APS



1 revolution = 3.68 μ sec \Rightarrow 1296 buckets

Detection of nuclear decay



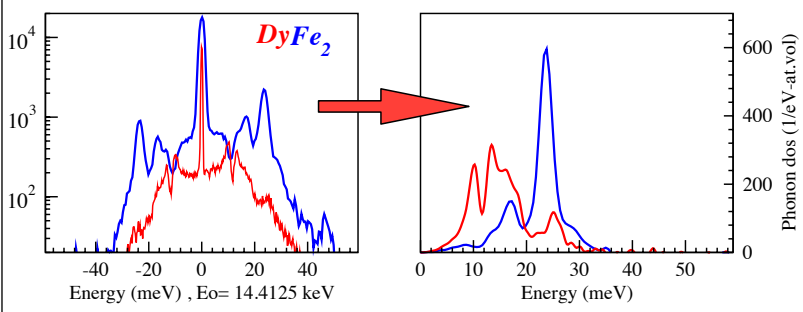


SMS: Synchrotron Mössbauer Spectroscopy

NFS : Nuclear Forward Scattering

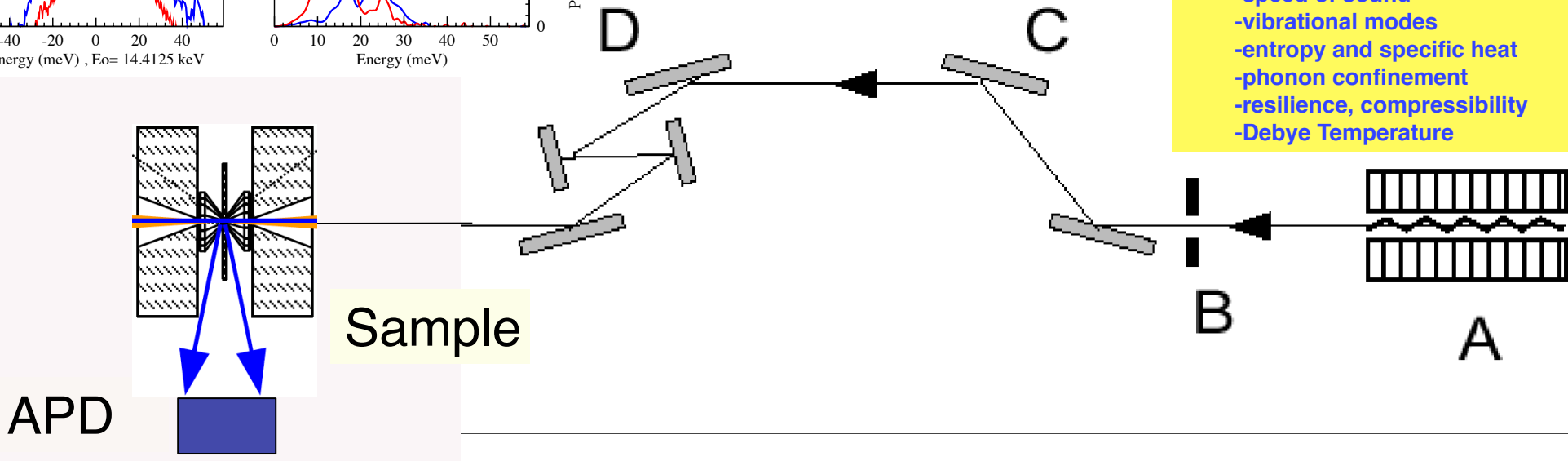


- Isomer shift
- Quadrupole splitting
- Magnetic hyperfine field
 - valence state
 - local crystallographic symmetry
 - magnetic ordering
 - relaxation



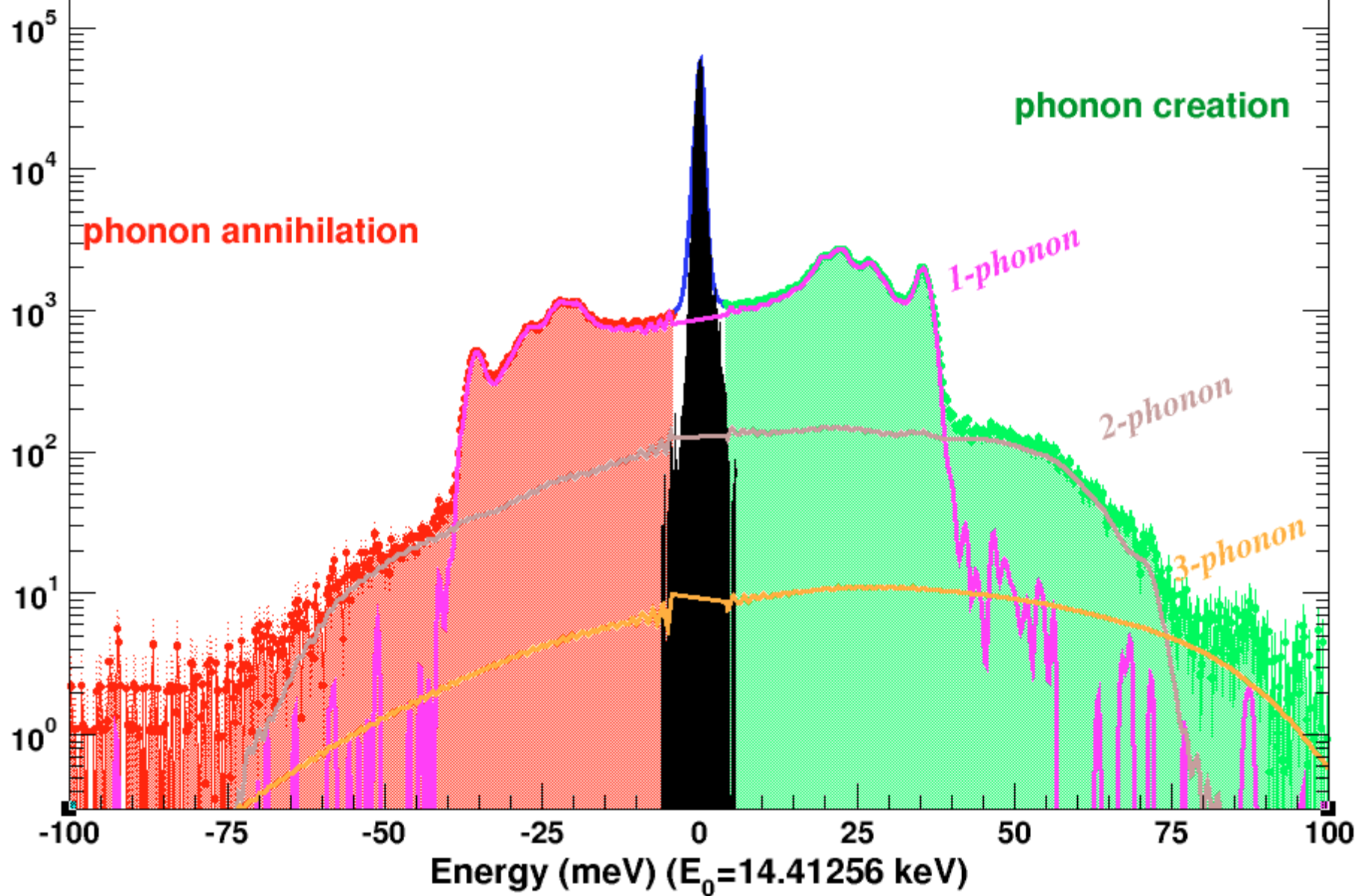
NRIXS: Nuclear Resonant Inelastic X-ray Scattering

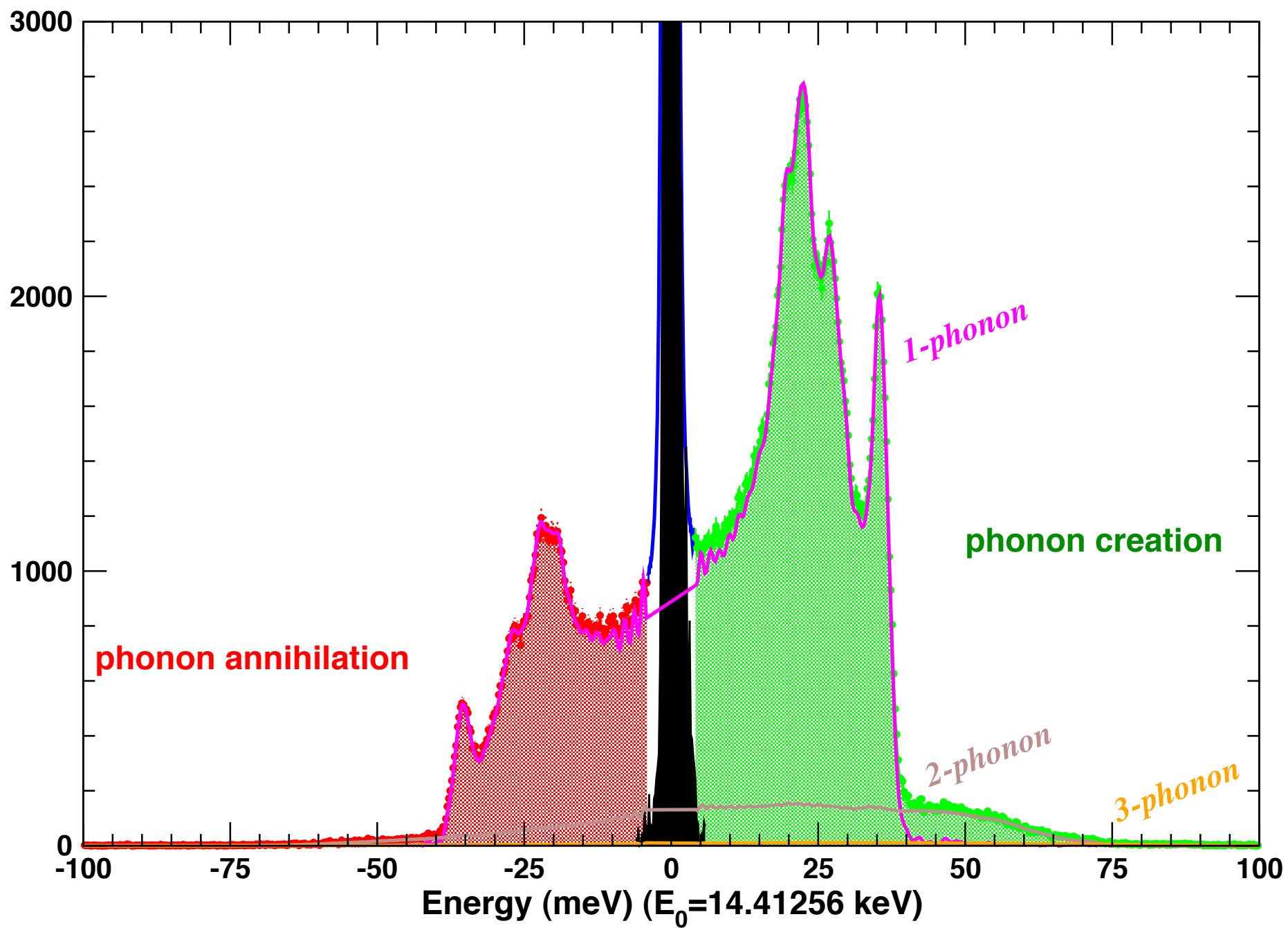
NRVS: Nuclear Resonant Vibrational Spectroscopy



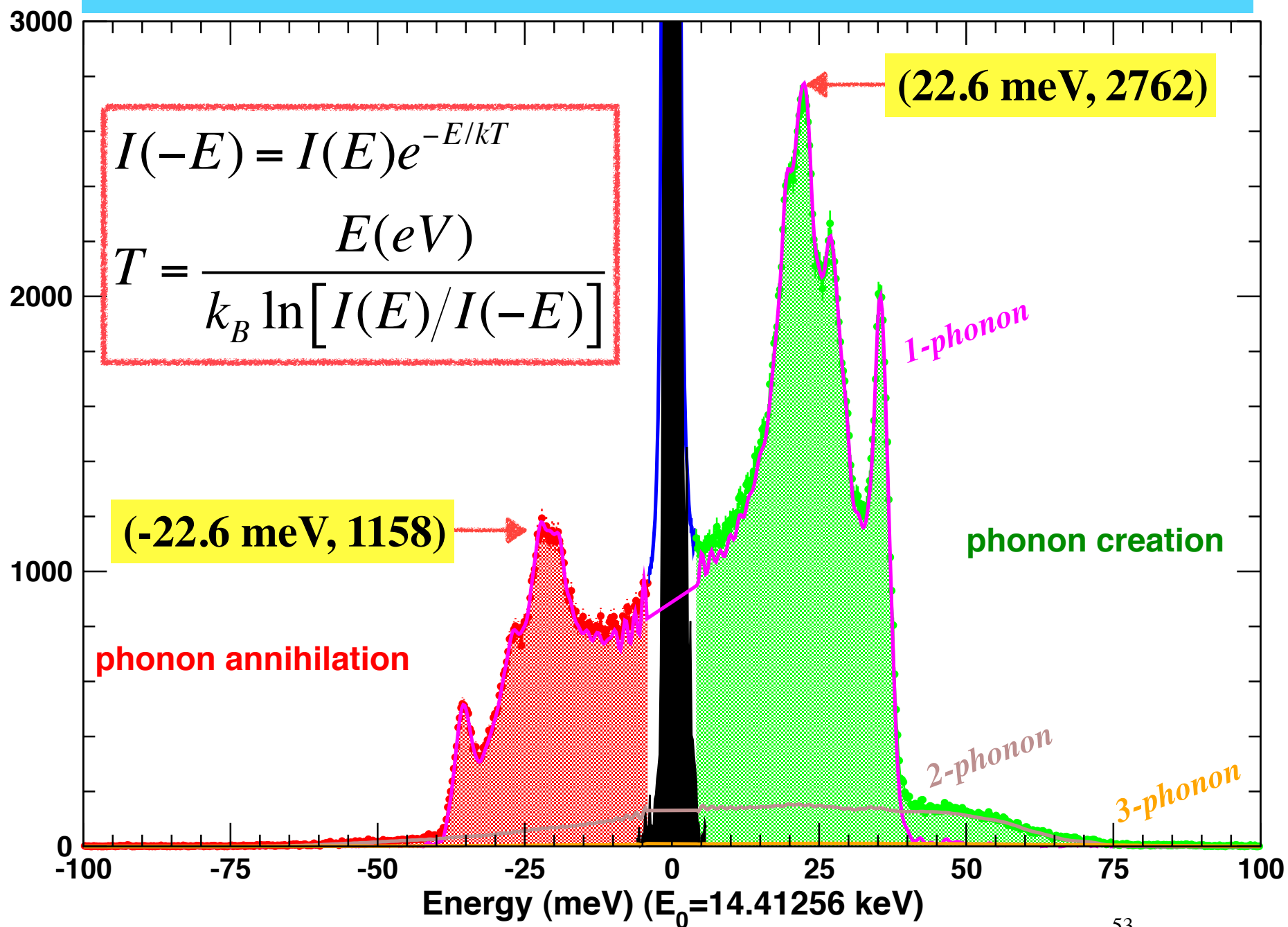
- Partial phonon density of states
- Recoil-free fraction
 - speed of sound
 - vibrational modes
 - entropy and specific heat
 - phonon confinement
 - resilience, compressibility
 - Debye Temperature

Multi-phonon decomposition

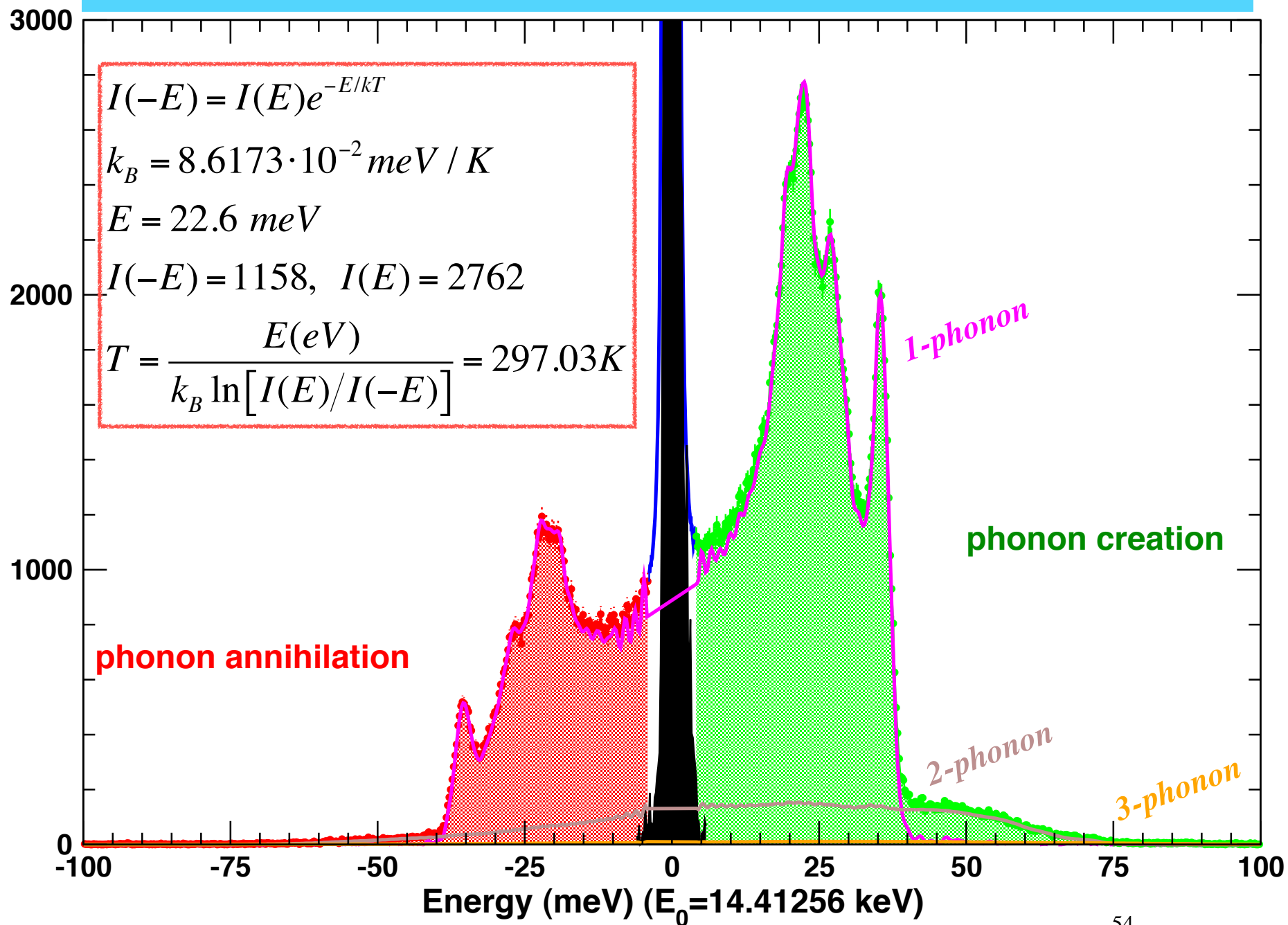




Detailed Balance

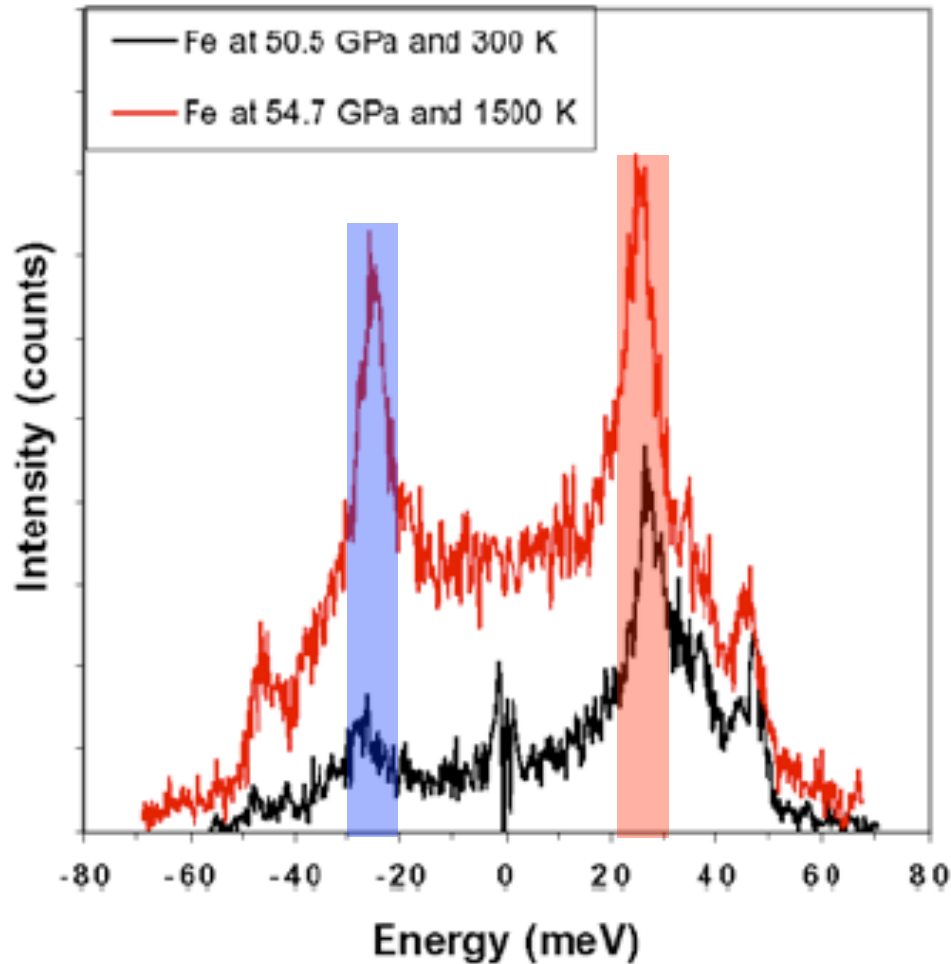


Detailed Balance

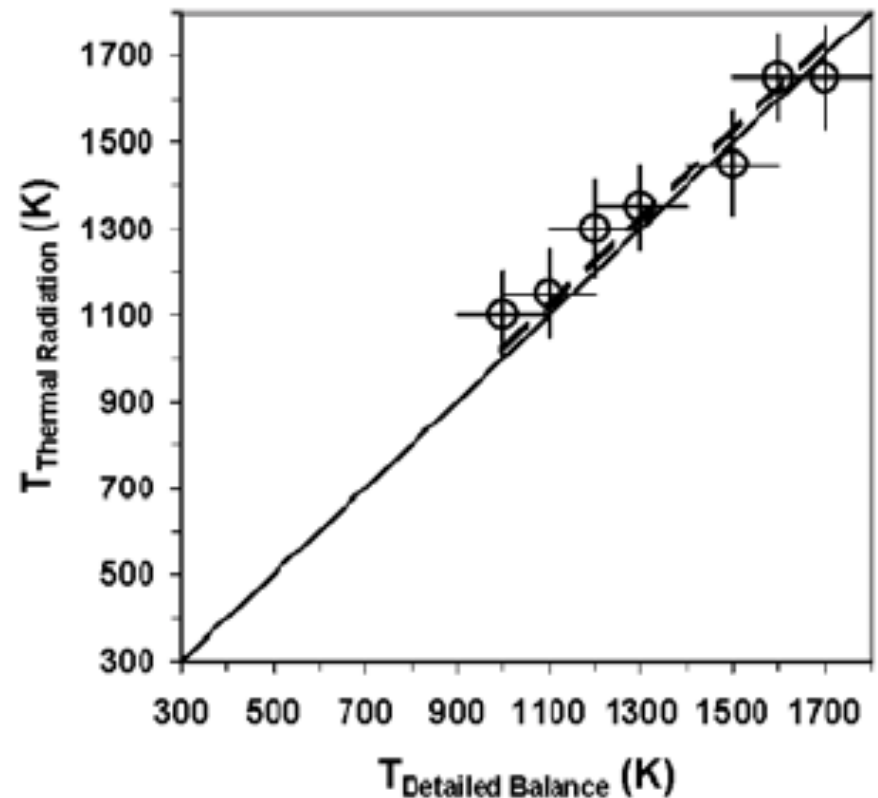


How to measure temperature in a DAC ?

NRIXS of Fe⁵⁷ in a LHDAC



Spectroradiometry vs. detailed balance principle

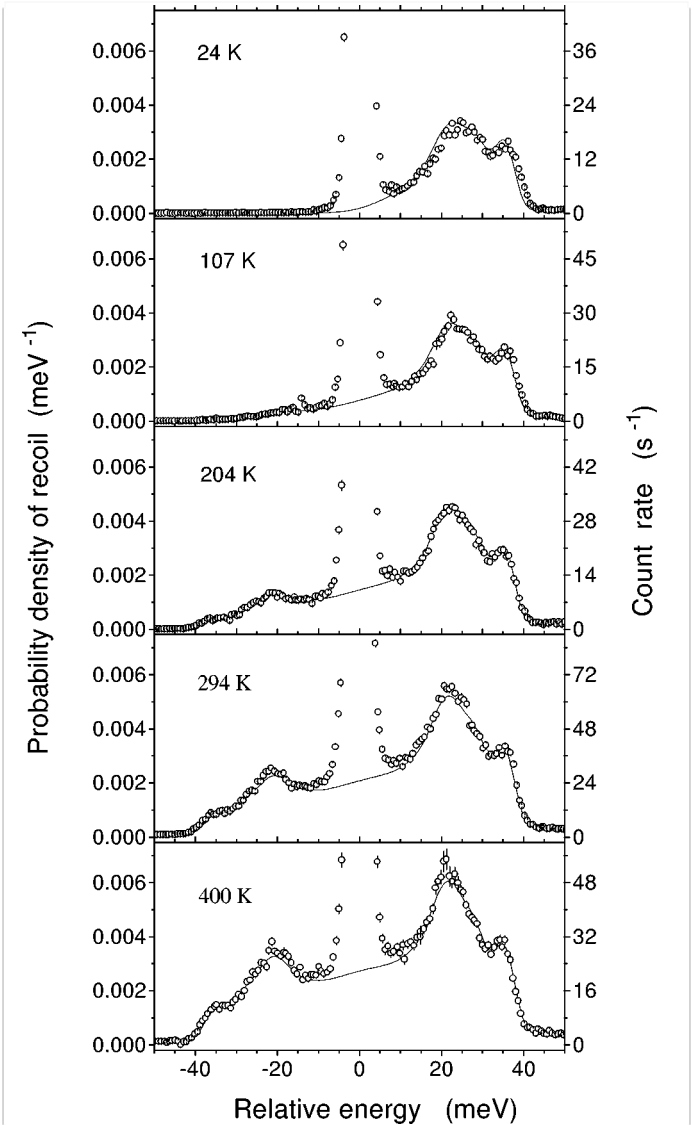
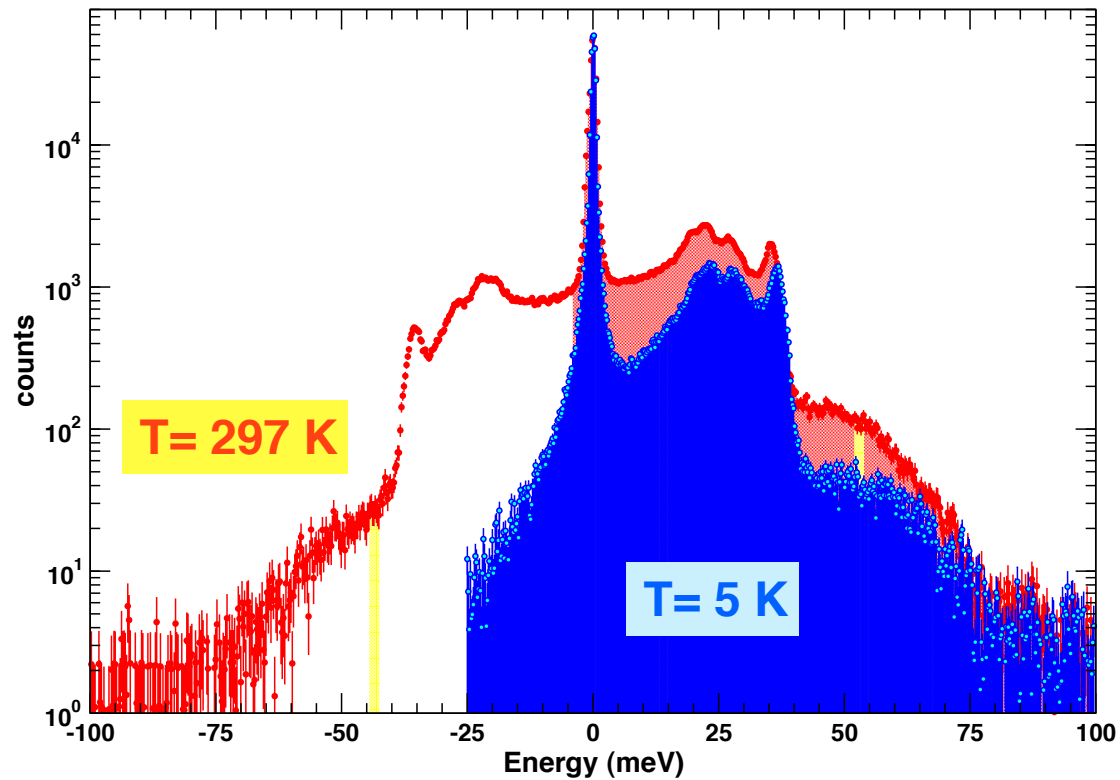


$$I(E) = I(-E)e^{(E/kT)}$$

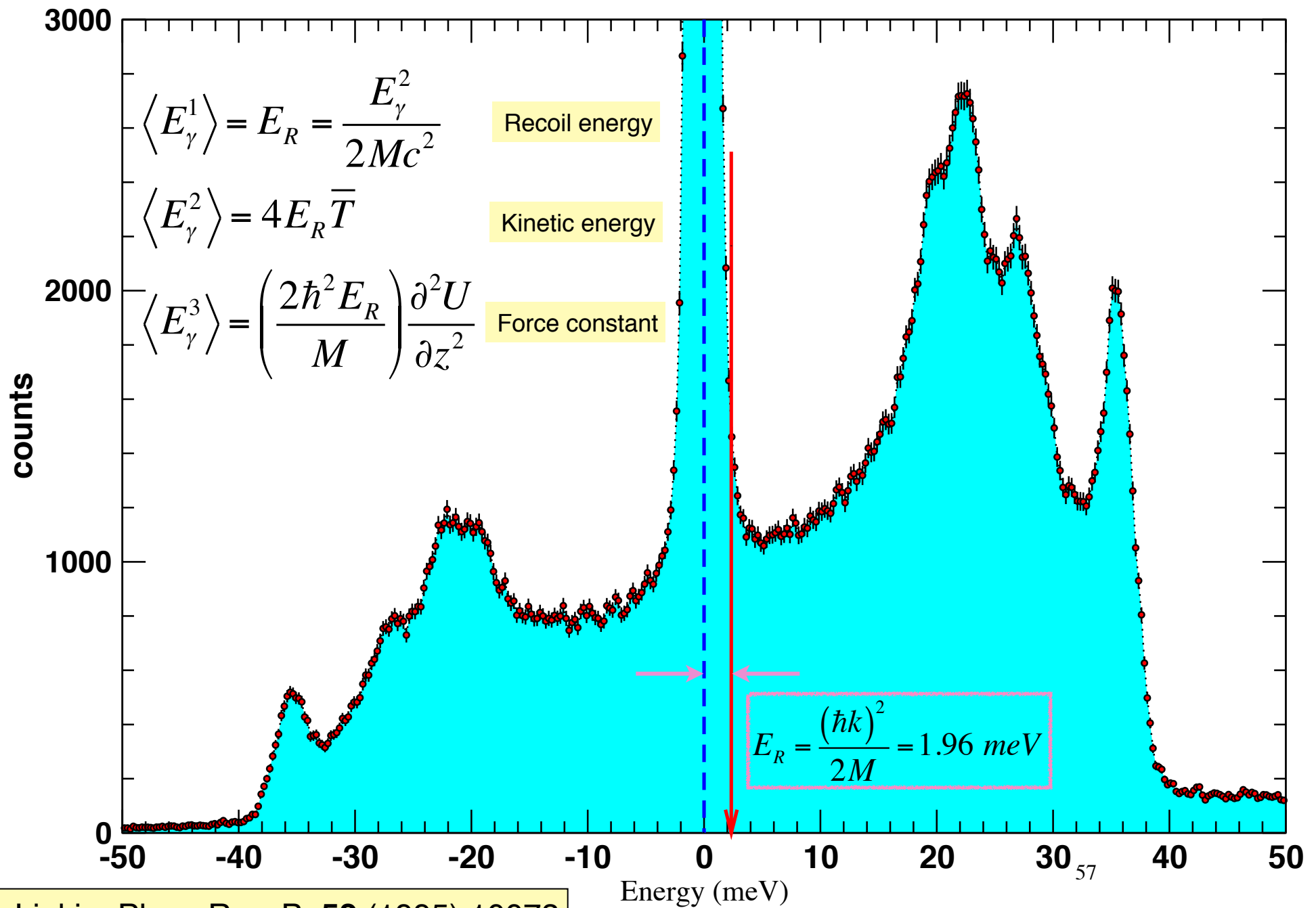
$$\int I(E)dE = \int I(-E)e^{(E/kT)}dE$$

J.F. Lin, et al, Geophys. Res. Lett.,
31 (2004) L13611

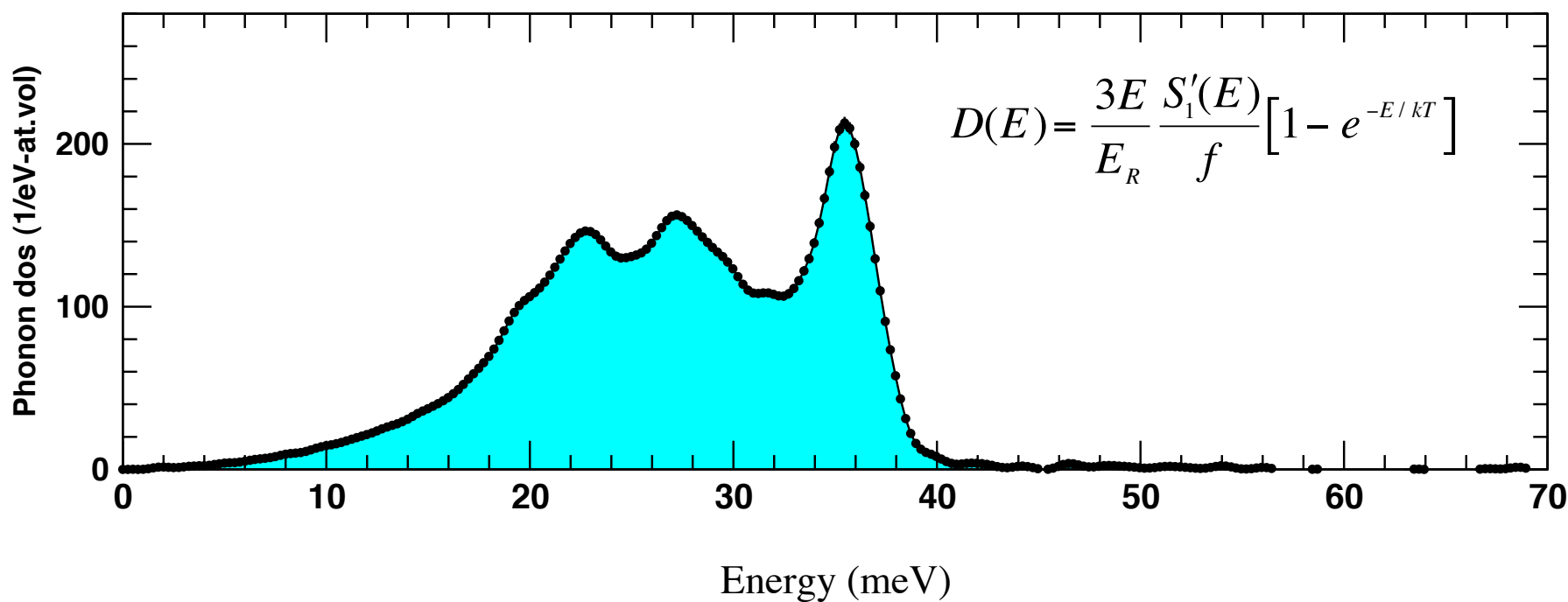
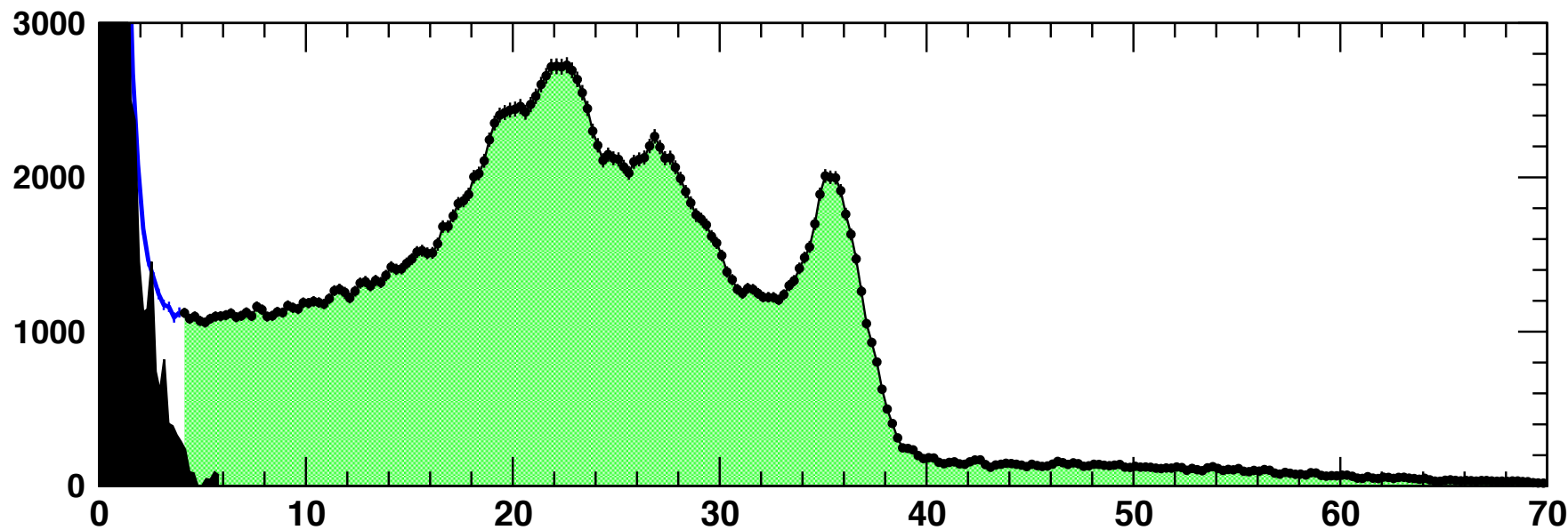
Temperature dependence of phonon excitation probability

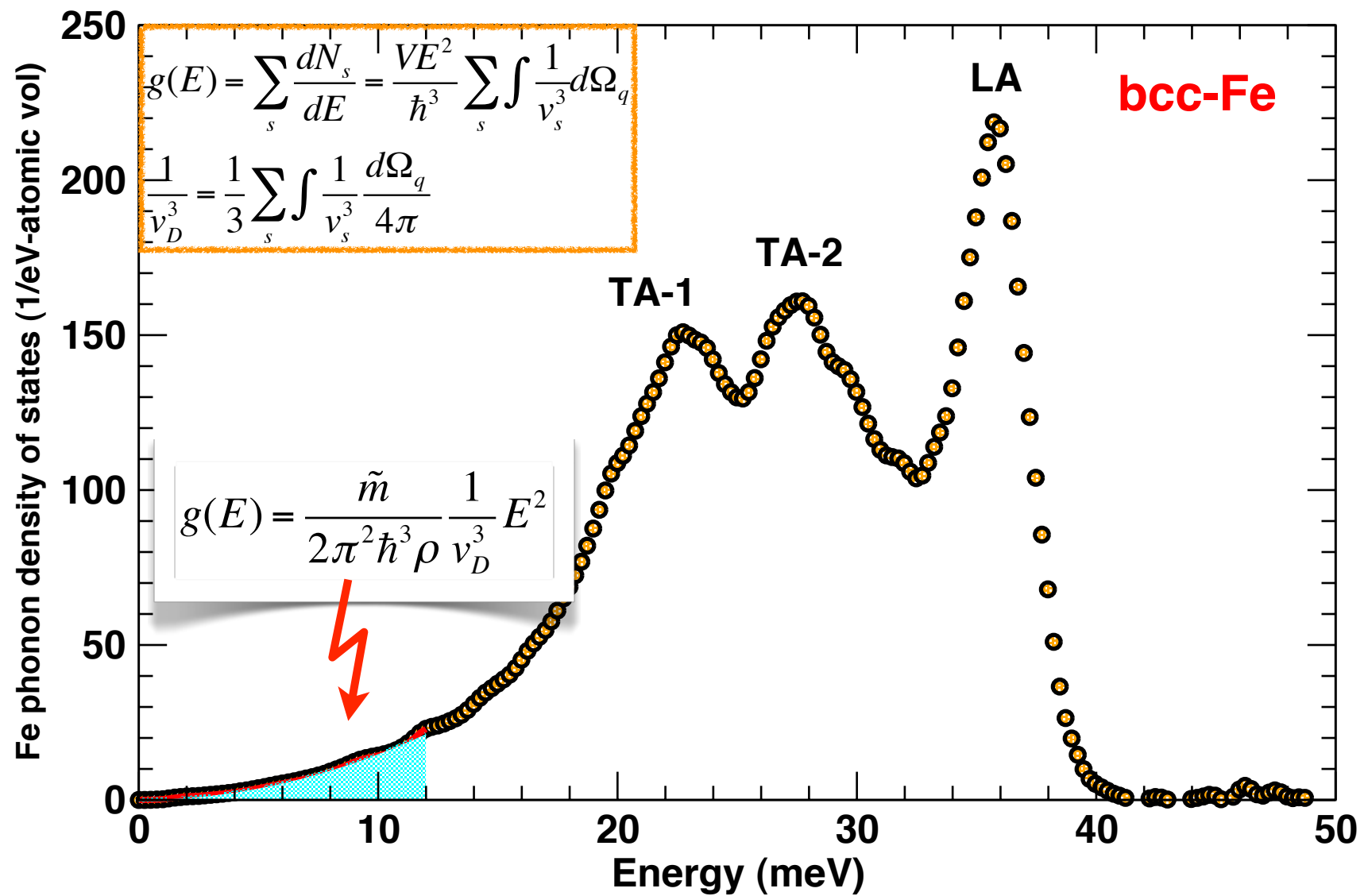


Lipkin's sum rules related to phonon excitation probability

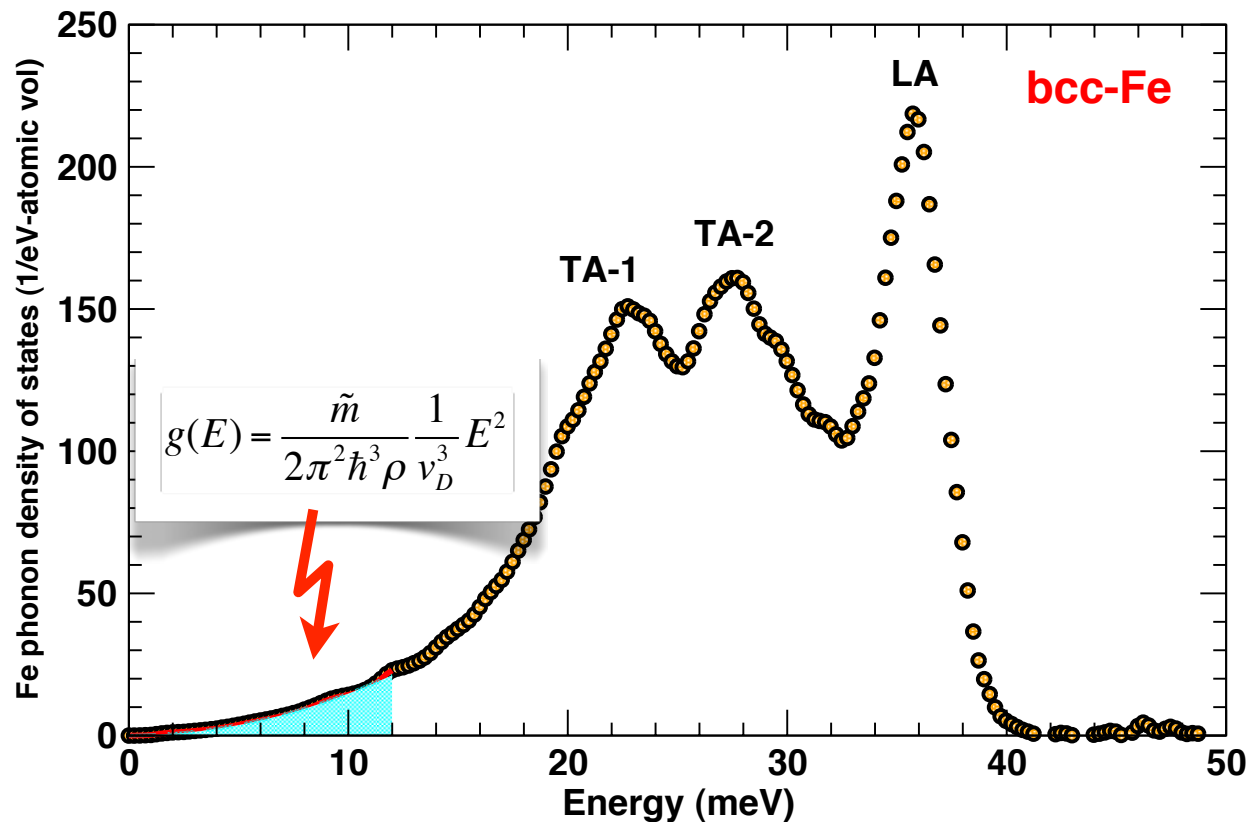


Extraction of phonon density of states





Measurement of v_D , Debye sound velocity allows to resolve longitudinal and shear sound velocity, provided that bulk modulus and density, is independently and simultaneously measured by x-ray diffraction.



K (GPa)	ρ (g/cc)	V_D (m/s)	V_P (m/s)	V_S (m/s)	G (GPa)
165 ± 1	8.01	3510 ± 12	5813 ± 13	3146 ± 11	79.3 ± 0.6

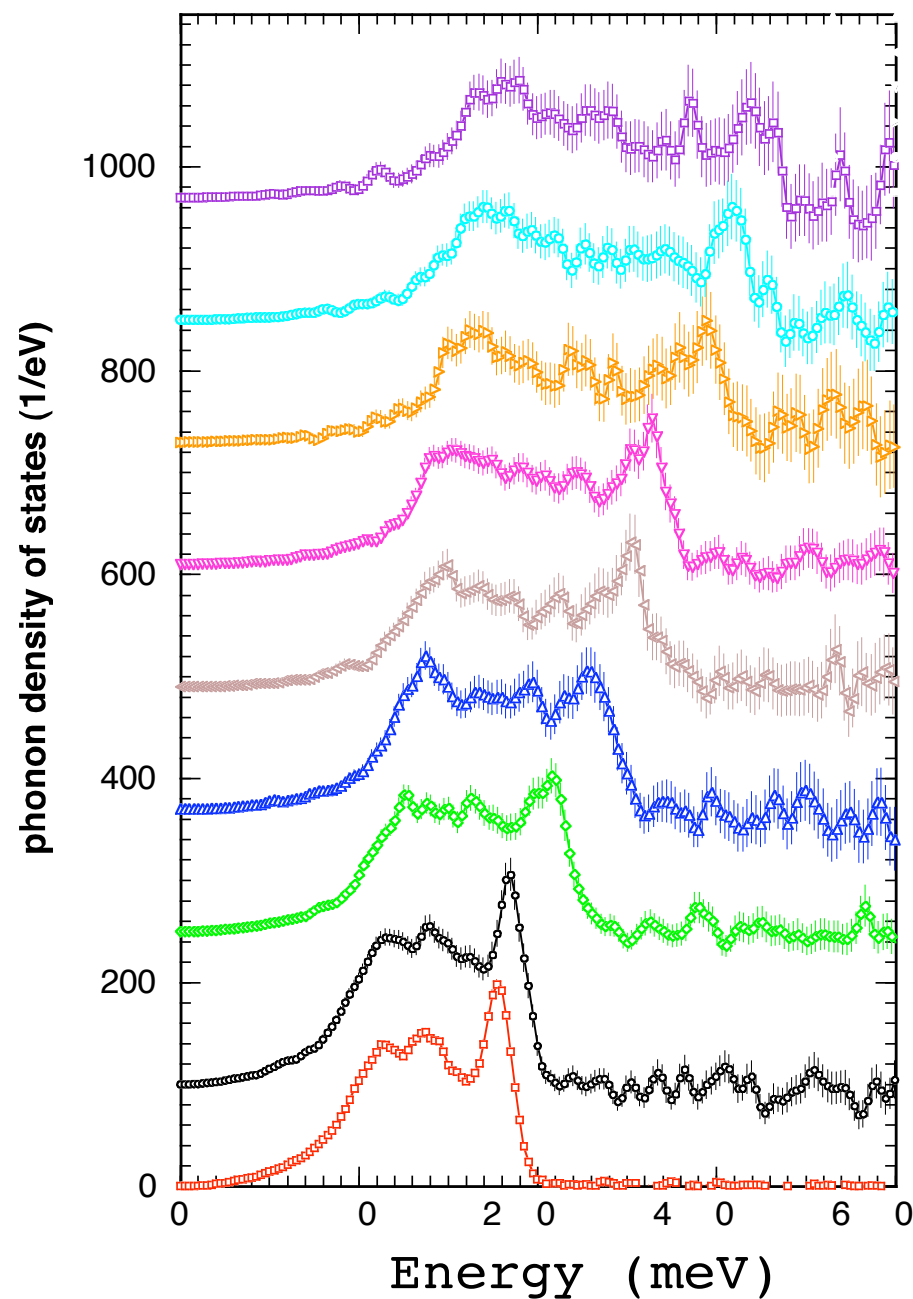
$$\frac{K_S}{\rho} = V_P^2 - \frac{4}{3} V_S^2$$

$$\frac{G}{\rho} = V_S^2$$

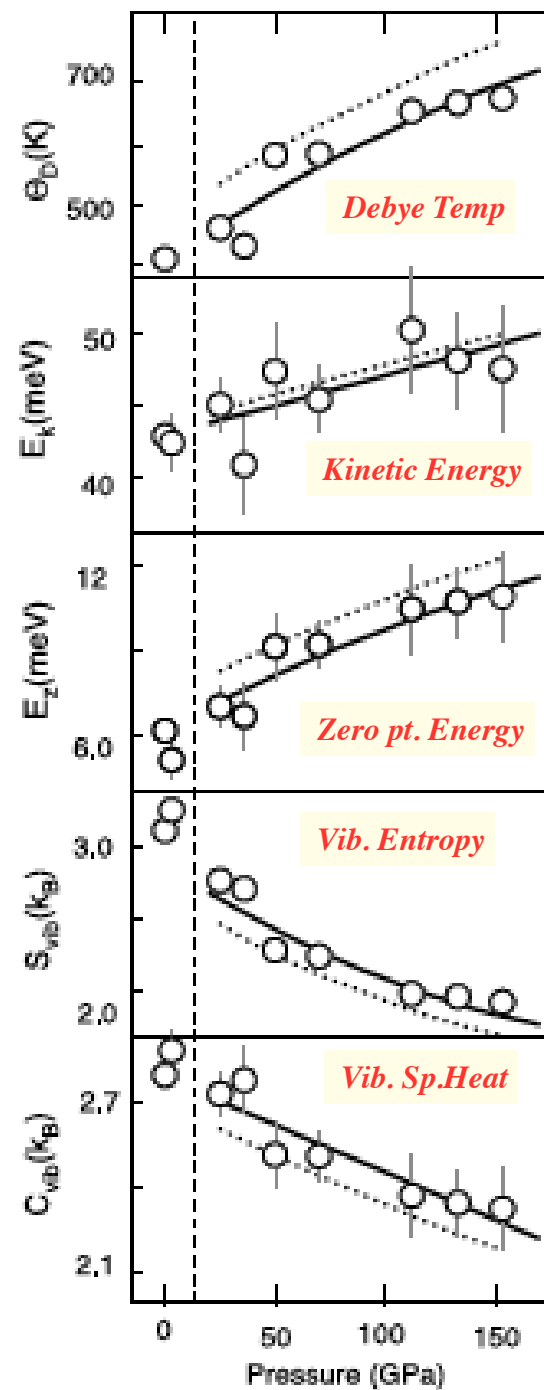
$$\frac{3}{V_D^3} = \frac{1}{V_P^3} + \frac{2}{V_S^3}$$

K_S : adiabatic bulk modulus
 G : shear modulus
 V_P : compression wave velocity
 V_S : shear wave velocity
 V_D : Debye sound velocity
 ρ : density

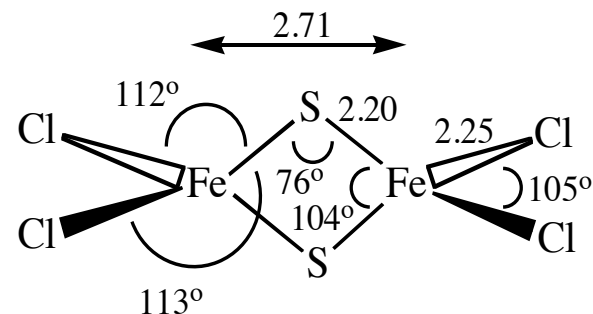
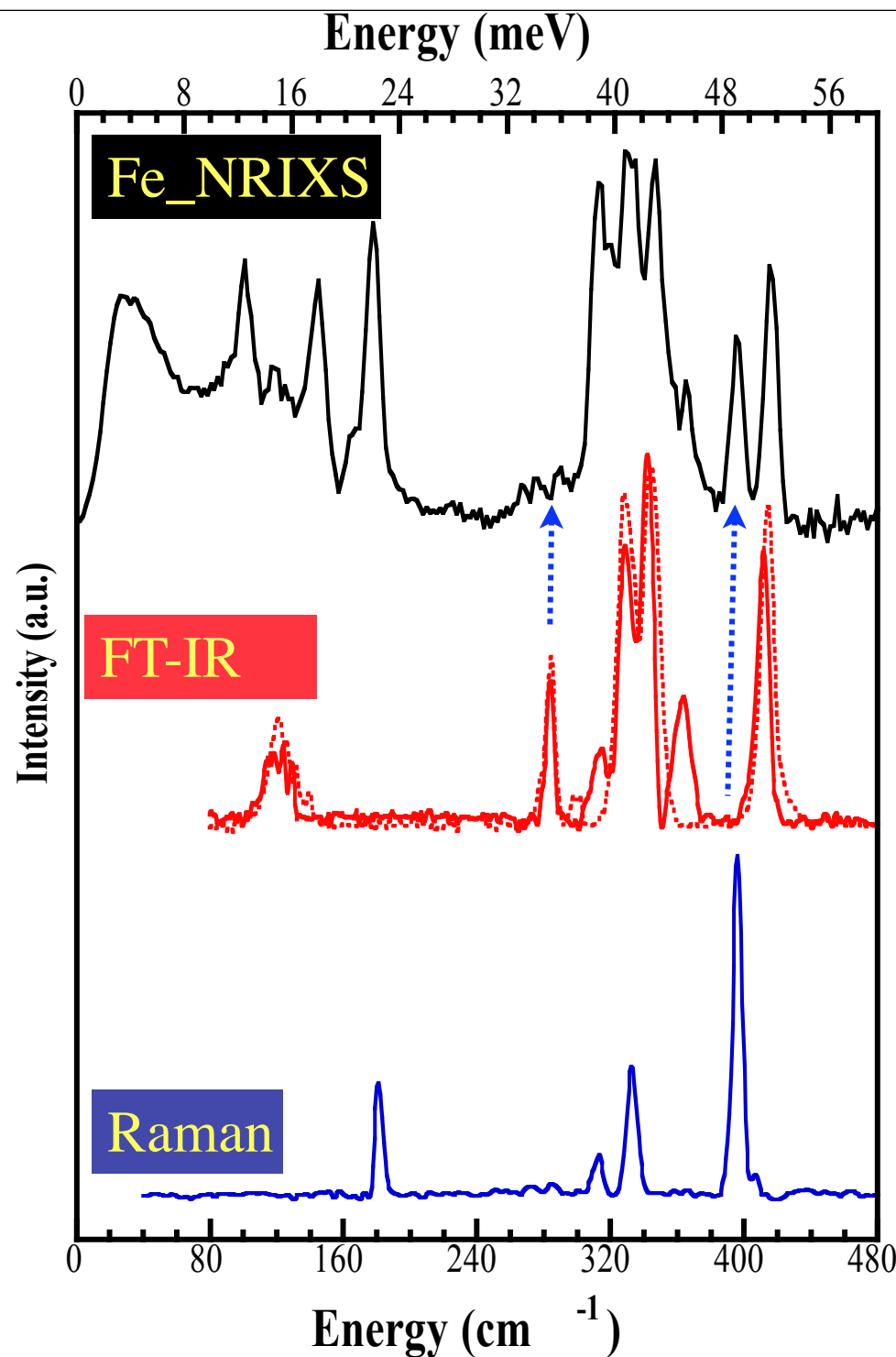
Phonon density of states of iron under high pressure



H.K. Mao, et al, Science, 292 (2001) 914



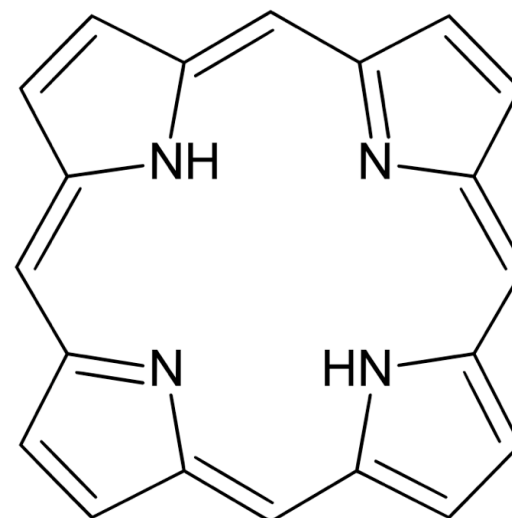
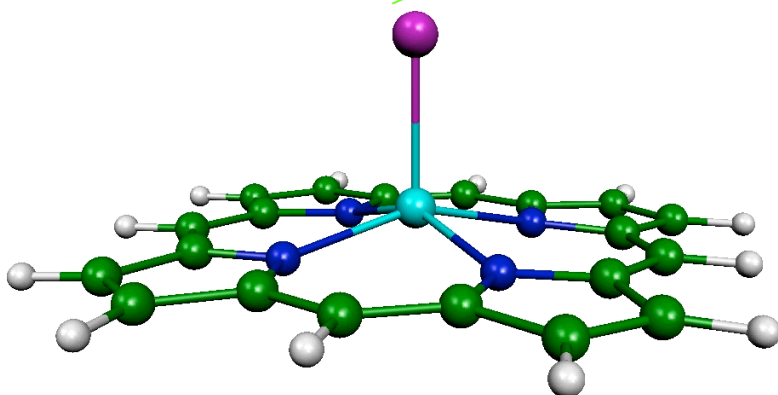
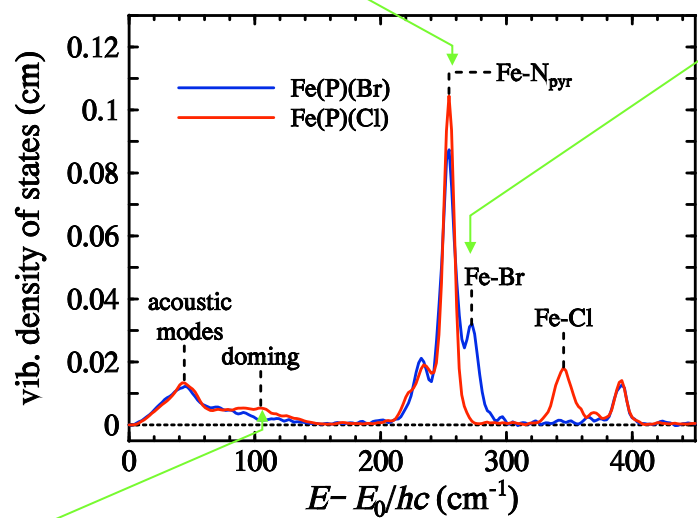
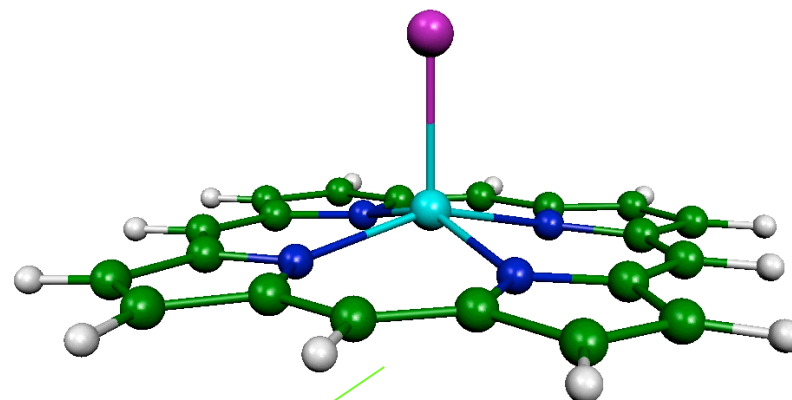
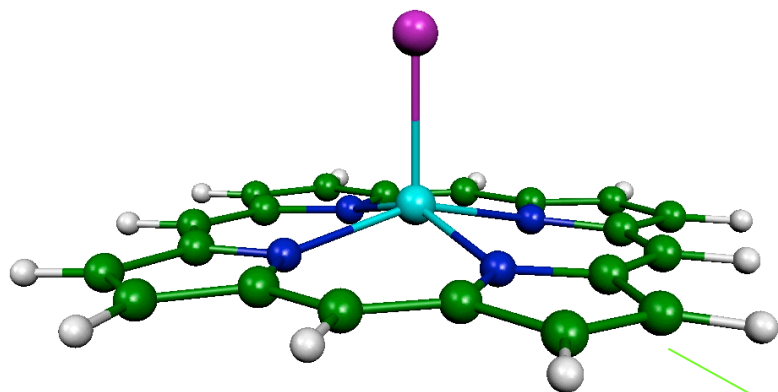
Property	Information content
Lamb-Mössbauer Factor, or recoil-free fraction	f_{LM} , recoil free fraction obtained from density of states, $g(E)$: $f_{LM} = \exp\left(-E_R \int \frac{g(E)}{E} \cdot \coth \frac{\beta E}{2} dE\right)$
Second order Doppler shift	$\delta_{SOD} = -E_0 \frac{\langle v^2 \rangle}{2c^2}$
Average kinetic energy	Extracted from second moment of energy spectrum: $T = \frac{1}{4E_R} \langle (E - E_R)^2 \rangle$
Average force constant	Extracted from third moment of energy spectrum: $\frac{\partial^2 U}{\partial z^2} = \frac{m}{2\hbar^2} \langle E^3 \rangle$
Phonon density of states	Extracted one-phonon absorption probability, $S_I(E)$: $g(E) = \frac{E}{E_R} \tanh(\beta E / 2) (S_I(E) + S_I(-E))$
Specific heat (vibrational part only)	$C_V = 3k_B \int_0^\infty (\beta E / 2)^2 \csc h(\beta E) g(E) dE$
Vibrational entropy	$S_V = 3k_B \int_0^\infty \left\{ \frac{\beta E}{2} \coth(\beta E) - \ln[2 \sinh(\beta E)] \right\} g(E) dE$
Debye sound velocity (aggregate sound velocity)	From low-energy portion of the density of states: $g(E) = \frac{3V}{2\pi\hbar^3 v_D^3} E^2$
Mode specific vibrational amplitude	Contribution of mode α of atom j to zero-point fluctuation [11,12]: $\langle r_{j\alpha}^2 \rangle_0 = \frac{\hbar^2}{2m_j \omega_\alpha^2} e_{j\alpha}^2$
Mode specific Gruneisen constant	From pressure dependence of phonon frequencies ω_α of acoustic or optical modes: $\gamma_\alpha = -\frac{\partial \ln \omega_\alpha}{\partial \ln V}$
Temperature of the sample	From detailed balance between phonon occupation probability

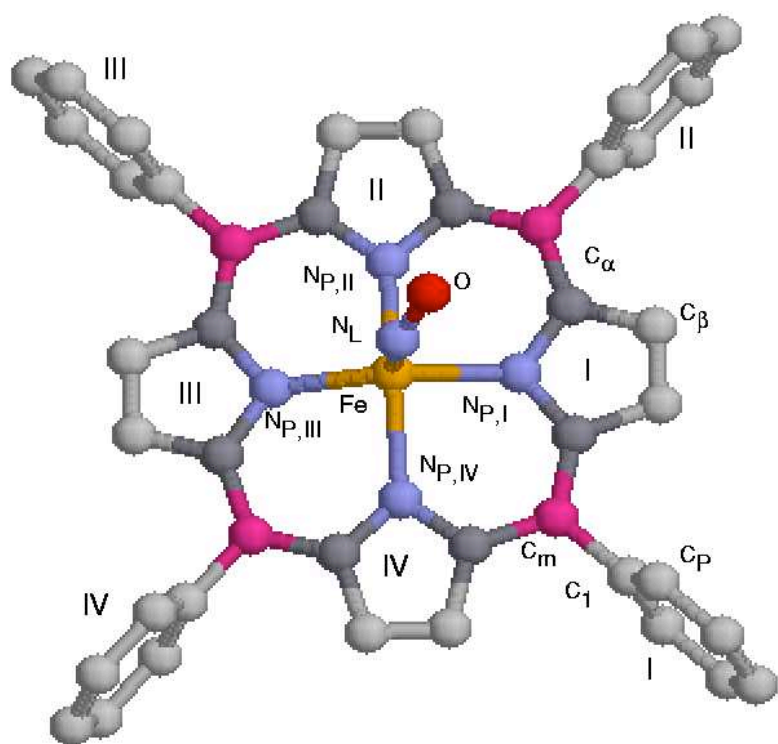


Some unique advantages of NRIXS

1. Low frequency motions: ~ total mass
2. No selection rule except motion of atoms along x-ray propagation
3. Peak intensity ~ mode participation ~ actual displacement
4. No matrix effects or limitations
5. Element and isotope selective
6. No unpredictable cancellations in scattering terms

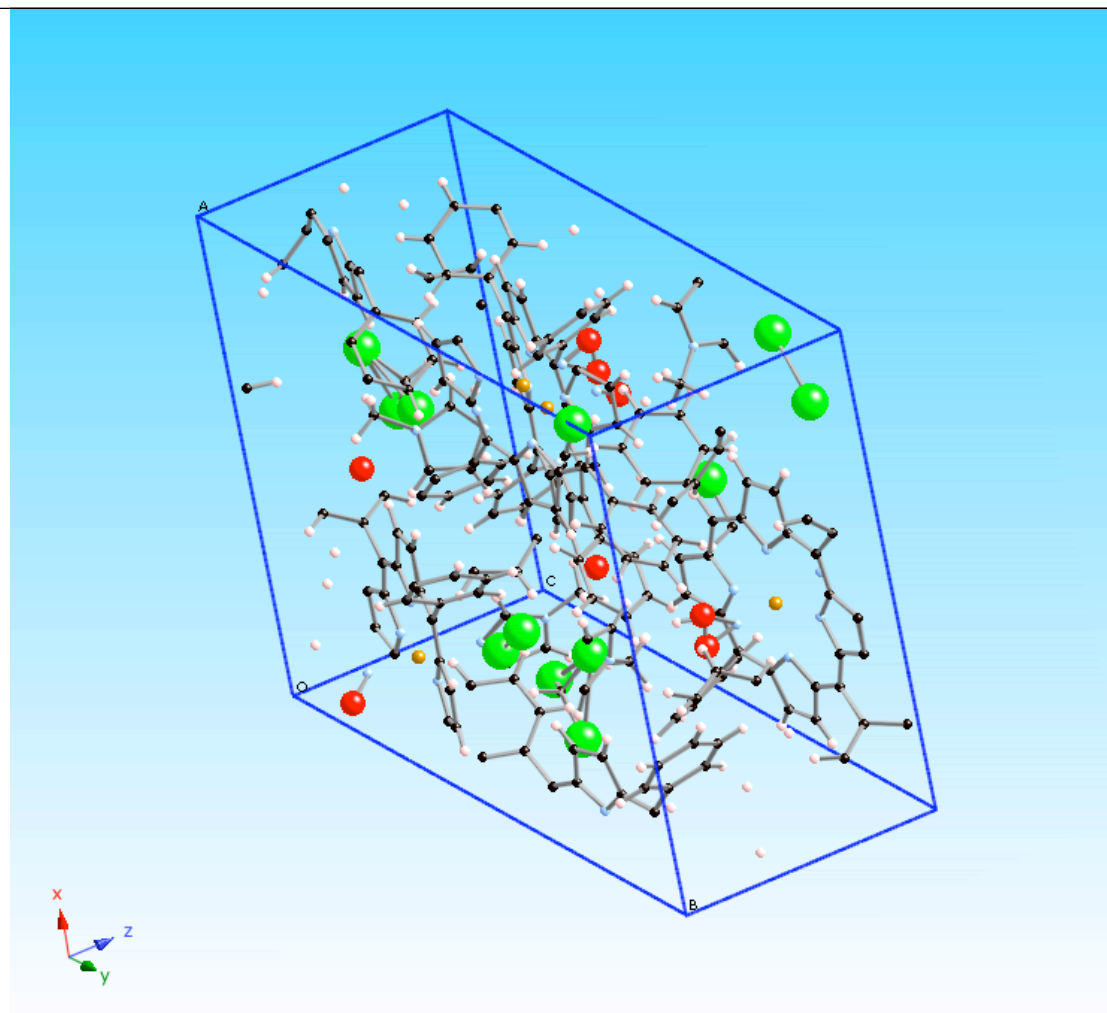
$$\phi_{\alpha} = \frac{1}{3} \frac{\bar{v}_R}{\bar{v}_{\alpha}} e^2_{j\alpha} (\bar{n}_{\alpha} + 1) f$$





Porphyrins:

Tetraphenylporphyrin (TPP)
Octaethylporphyrin (OEP)



<u>A</u>	<u>B</u>
Phenyl	H
H	Ethyl