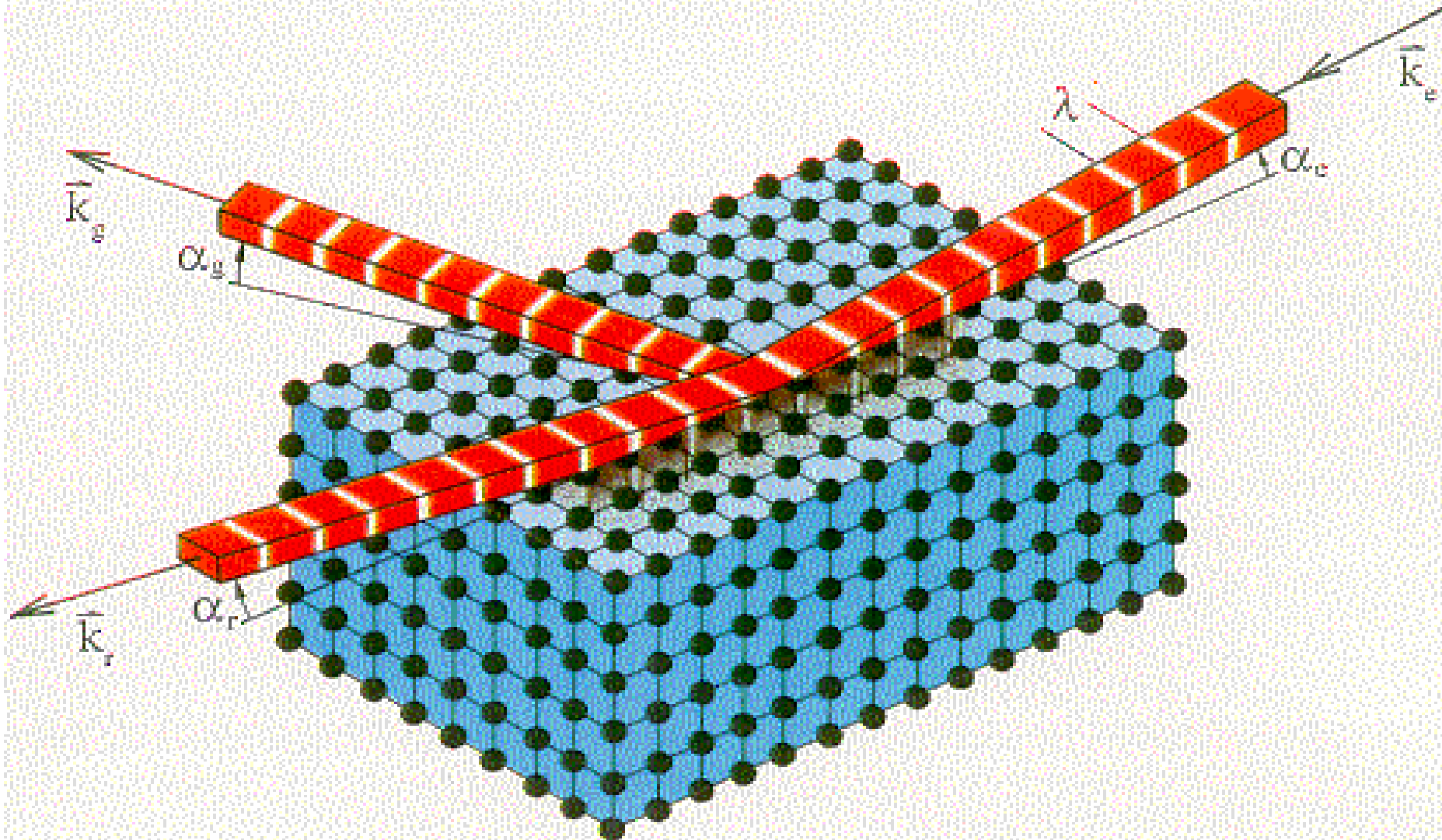


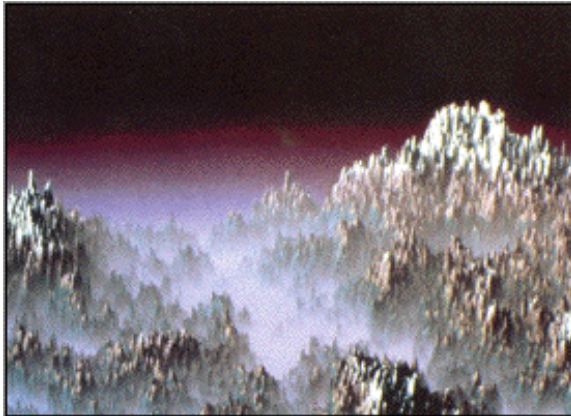
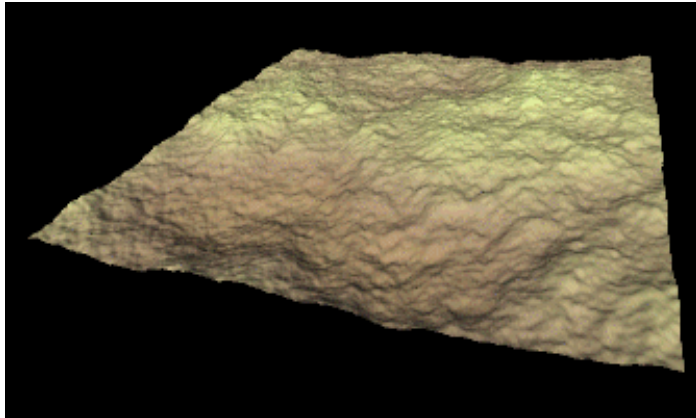
Grazing-Incidence-Diffraction



What do Specular and Off-specular scattering measure?

- **Specular reflectivity** measures variations in scattering density normal to surface (averaged over x,y plane)
- **Off-specular scattering** measures (x,y) variations of scattering density, e.g. due to roughness, magnetic domains, etc.

Almost all real surfaces are
rough!



Self-Affine Fractal Surfaces

Let $\delta z(\mathbf{r})$ be height fluctuation about average surface at point \mathbf{r} in 2D plane.

R.m.s. roughness σ is defined by

$$\sigma^2 = \langle [\delta z(\mathbf{r})]^2 \rangle$$

Consider quantity

$$G(\mathbf{R}) = \langle [\delta z(\mathbf{r}) - \delta z(\mathbf{r}+\mathbf{R})]^2 \rangle$$

For self-affine surfaces,

$$G(\mathbf{R}) = AR^{2h} \quad 0 < h < 1$$

h is called the roughness exponent.

For real surfaces, there must be a cutoff length ξ .

$$G(\mathbf{R}) = 2\sigma^2(1 - \exp(-[R/\xi]^{2h}))$$

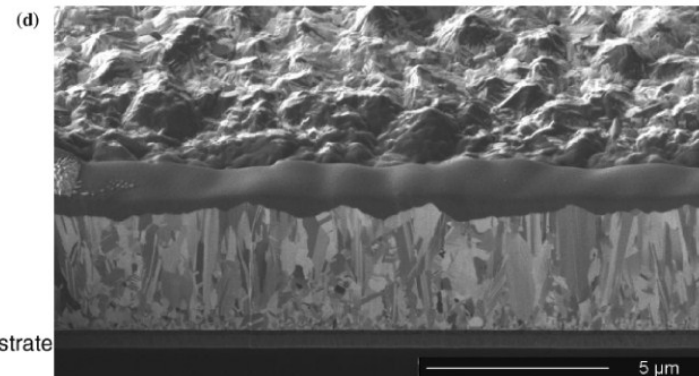
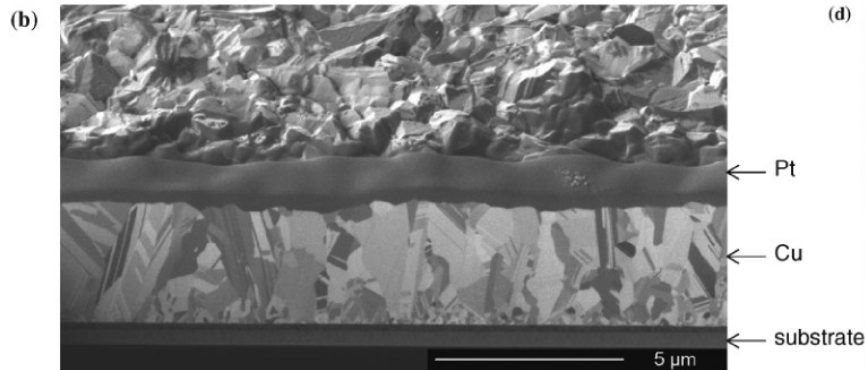
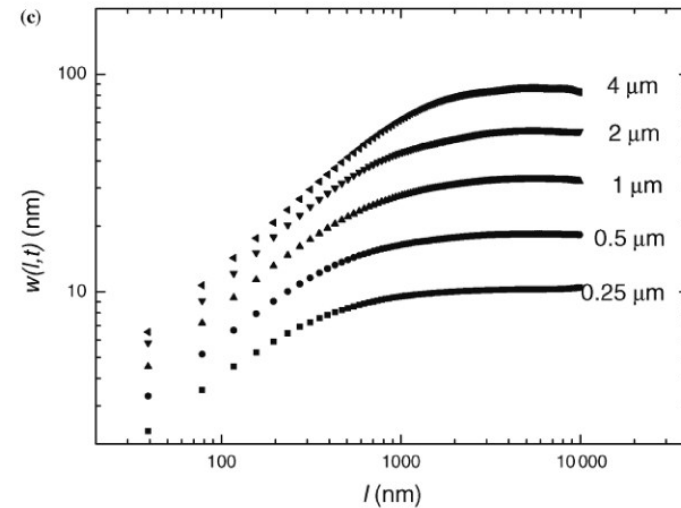
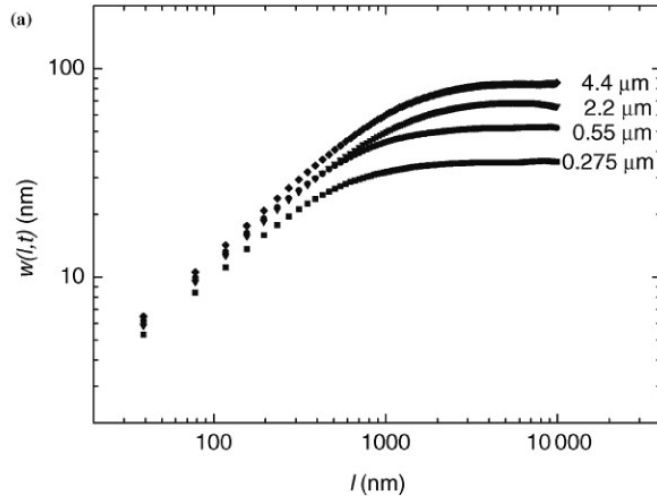
This implies that the **height-height correlation function**

$$C(\mathbf{R}) = \langle \delta z(\mathbf{r})\delta z(\mathbf{r}+\mathbf{R}) \rangle = \sigma^2 \exp(-[R/\xi]^{2h})$$

AFM/FIB Studies-Electrodeposition

M.C. Lafouresse et al., PRL 98, 236101 (2007)

Cu Films

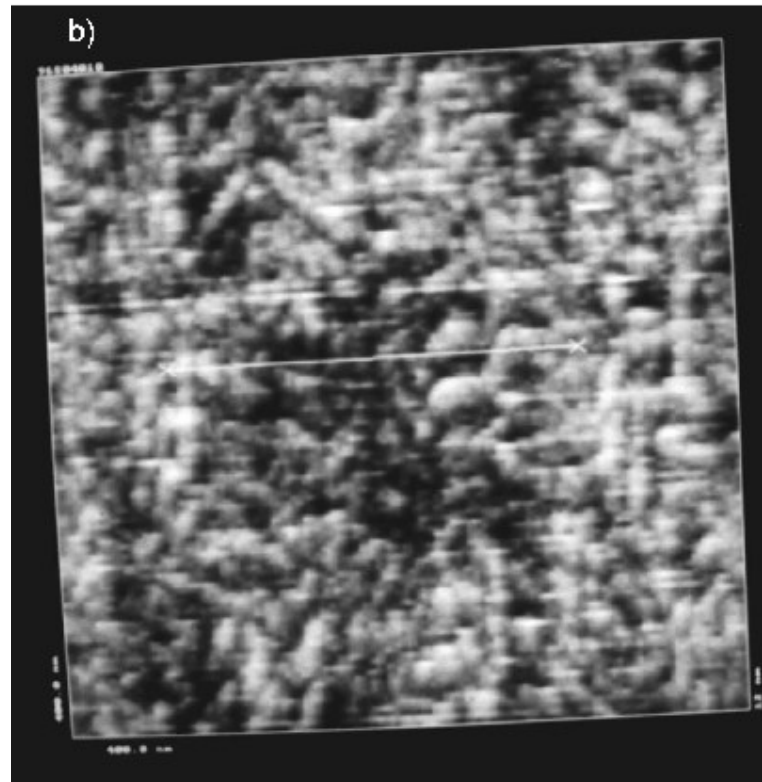
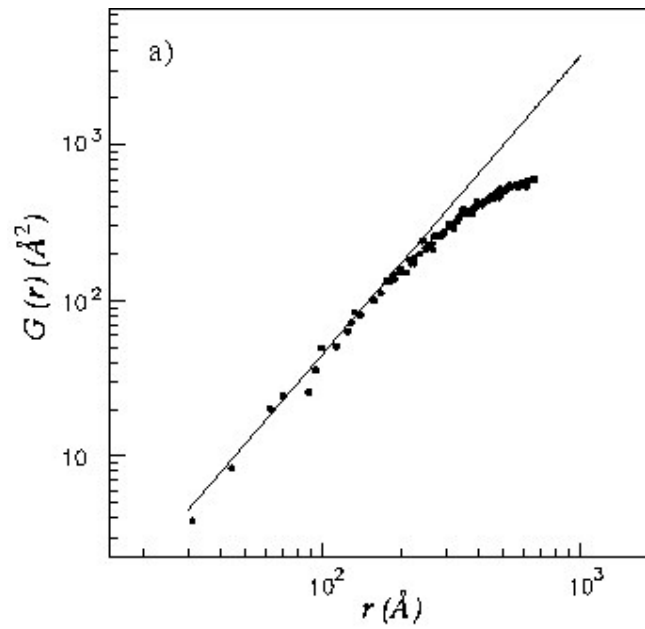


Scattering from a Self-Affine Fractal Surface

$$S(\vec{q}) = (Ar_0^2 / q_z^2) e^{-q_z^2 \sigma^2} \int \int dXdYe^{q_z^2 C(R)} e^{-i(q_x X + q_y Y)}$$

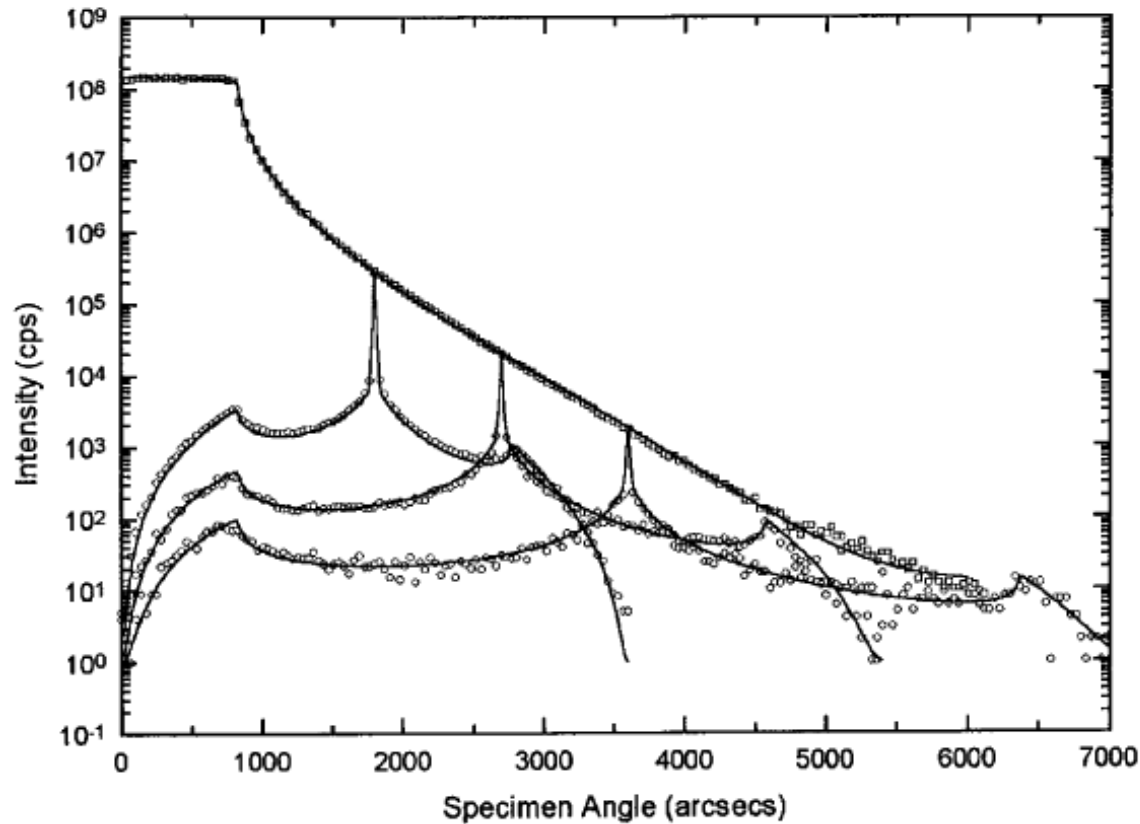
SKS et al., Phys. Rev. B 38, 2297 (1988)

Jun Wang et
al.,
Europhys.
Lett, 42
283-288
(1998)
Mo layers

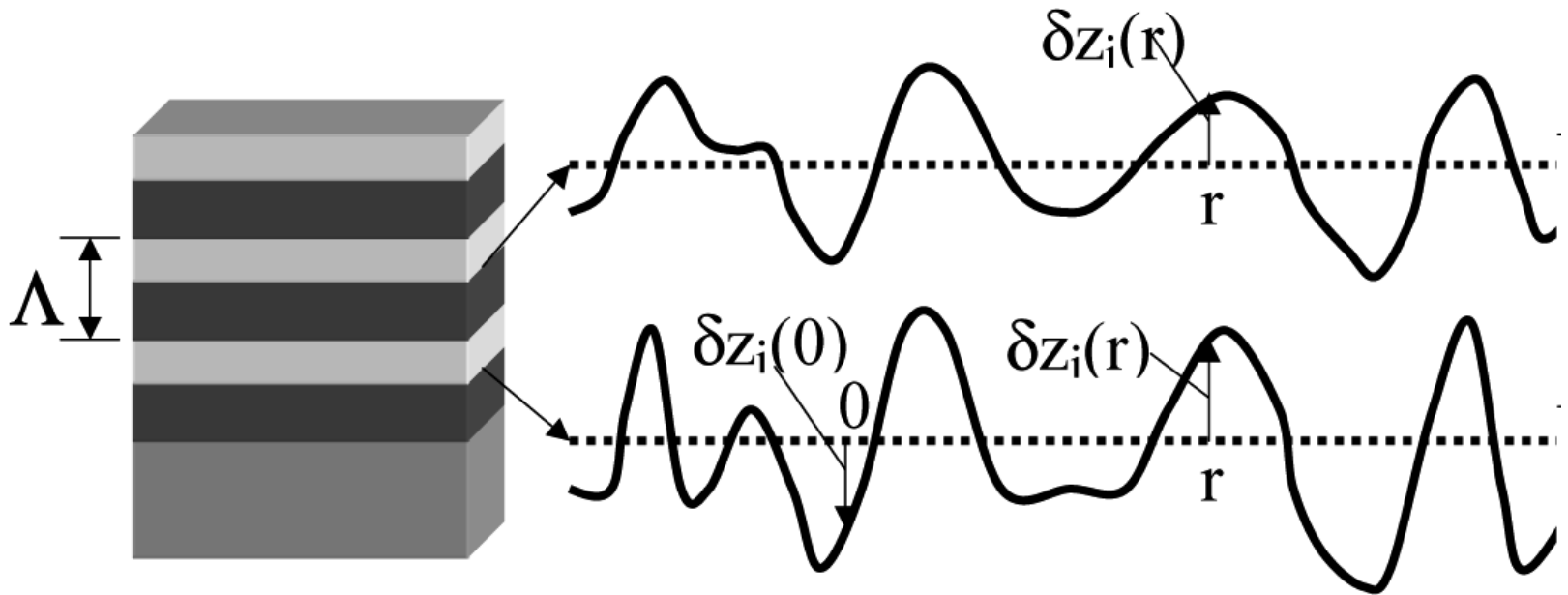


Example of Diffuse Scattering of X-Rays from a single rough surface

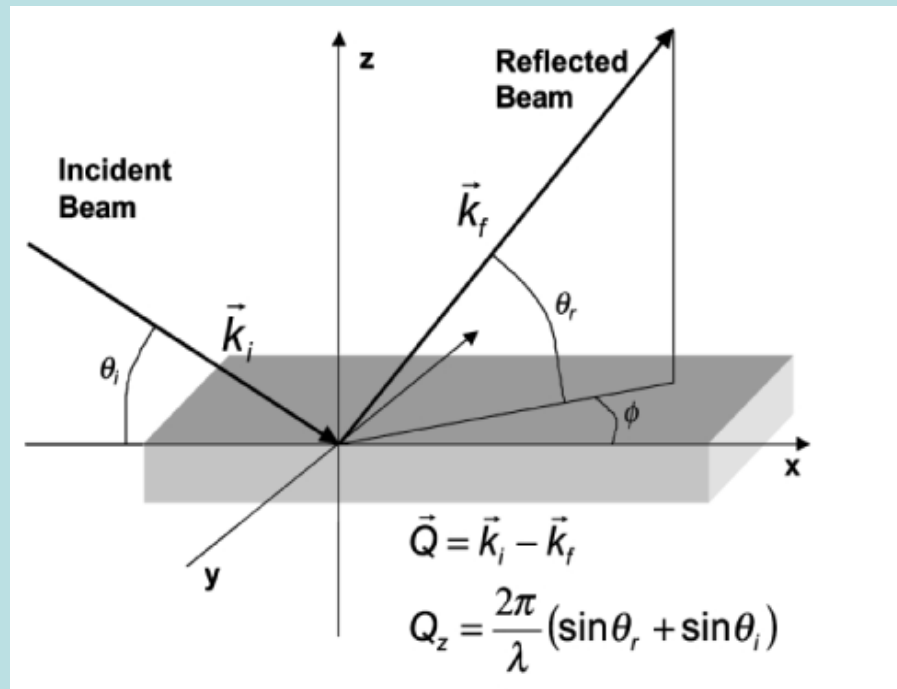
Fig. 1



Multilayers



Vector Diagram for \mathbf{Q} in GISAXS



$$Q_y = (2\pi/\lambda) \cos\theta_f \sin\phi$$

$$Q_x = (2\pi/\lambda) (\cos\theta_f \cos\phi - \cos\theta_i)$$

Measurement of GISAXS

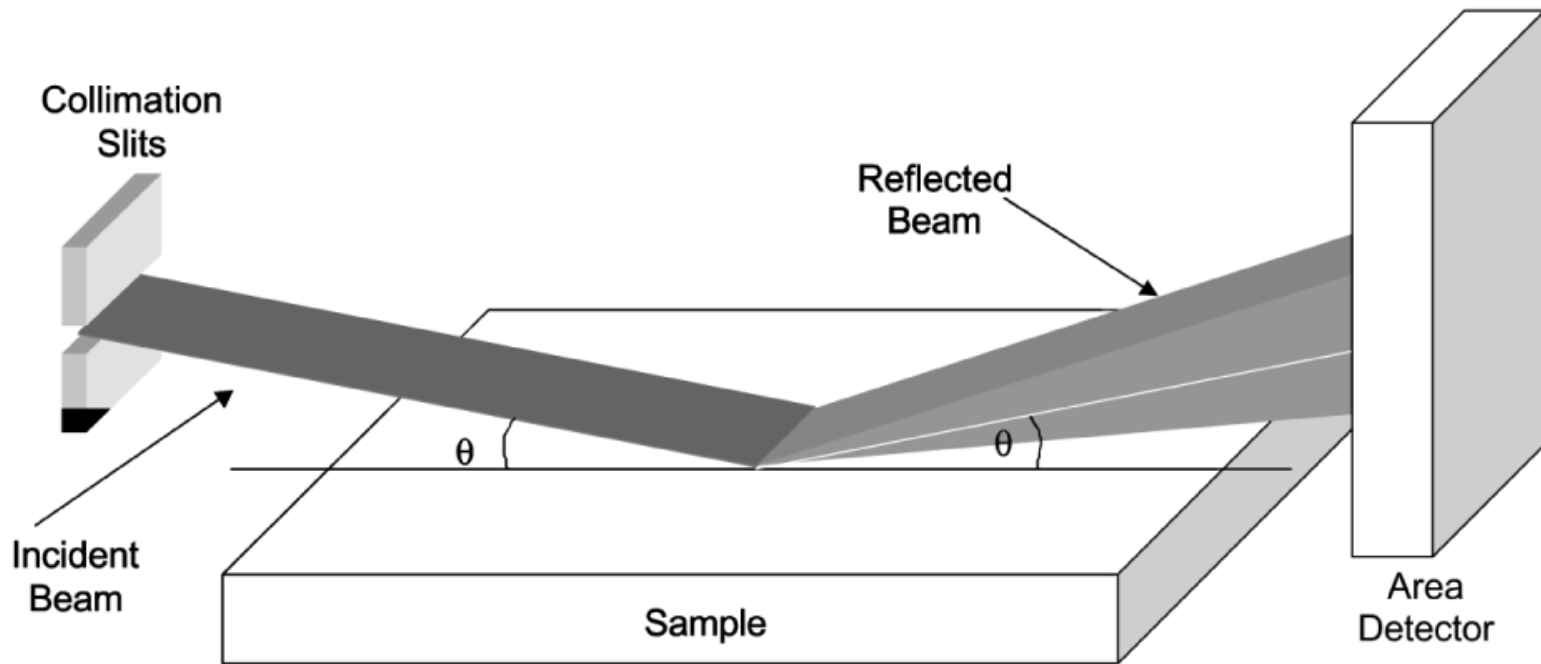
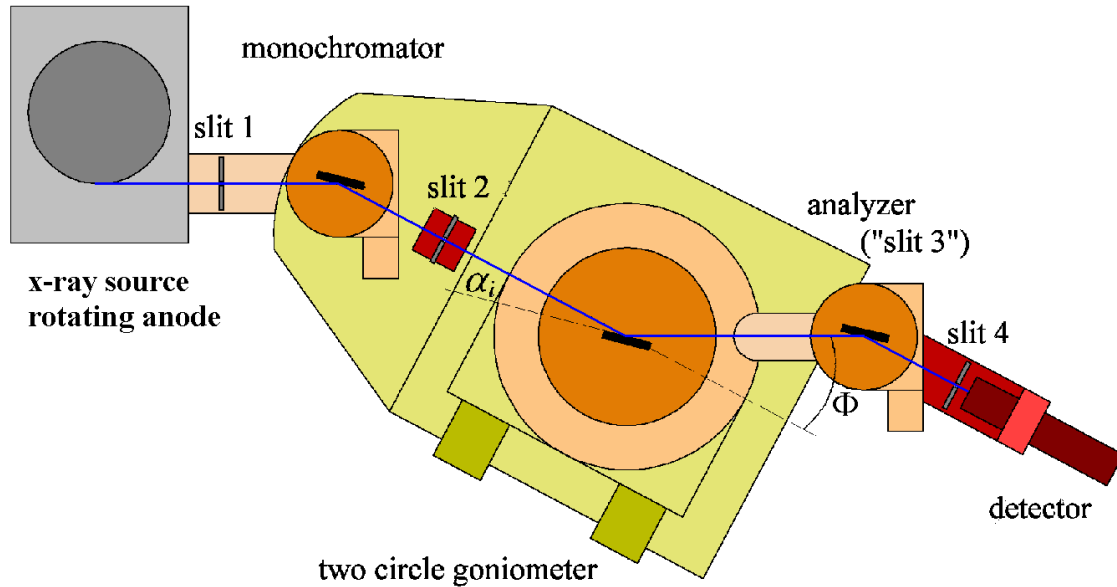


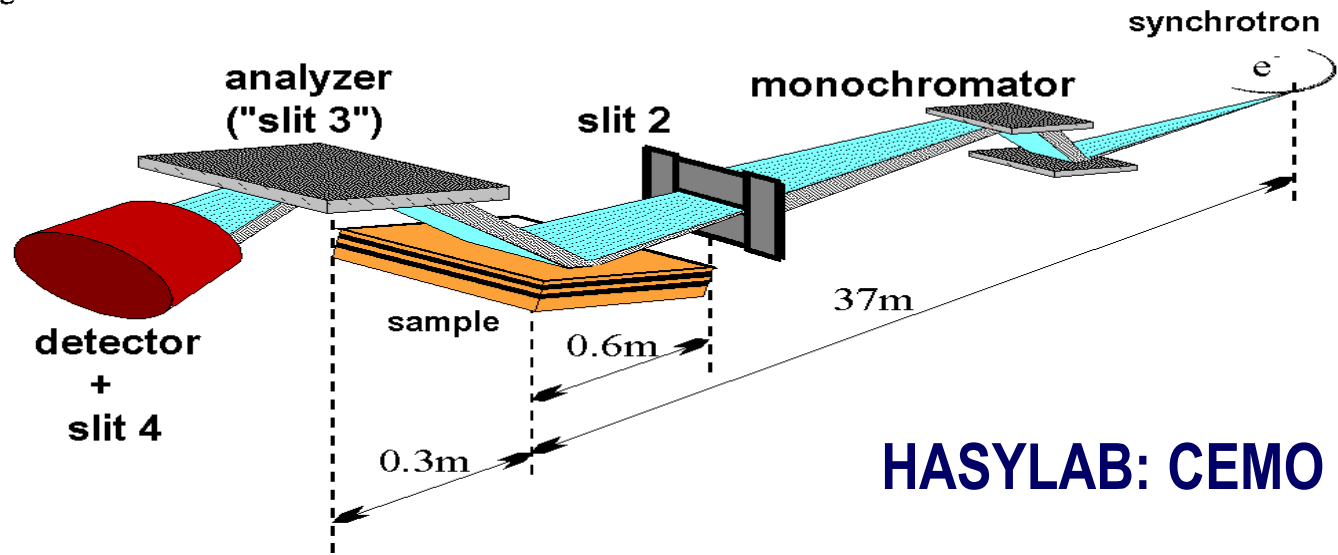
Fig. 2. A schematic diagram of an off-specular reflectivity experiment. A collimated polychromatic or monochromatic ribbon shaped beam is incident on the sample surface at angles of typically $\leq 2^\circ$. The beam is reflected from the surface producing a diffuse signal about the specular reflection. Multiwire or multielement detectors may be used as detectors or a single element detector may be scanned.

X-Ray Reflectometers



Laboratory Setup

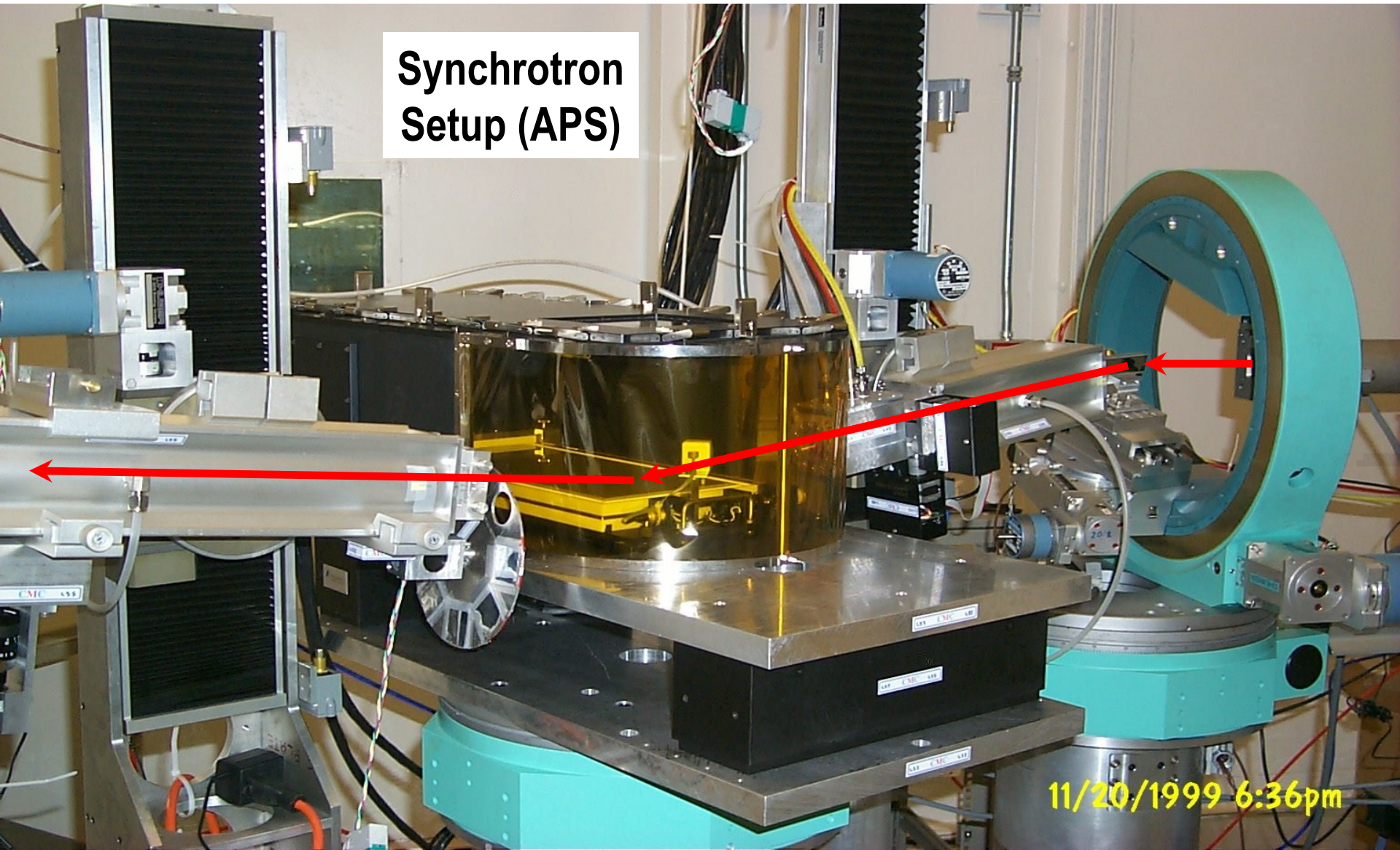
Synchrotron Setup



HASYLAB: CEMO

Reflectivity from Liquids I

Synchrotron
Setup (APS)

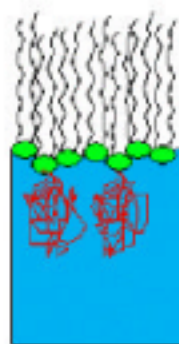


11/20/1999 6:36pm

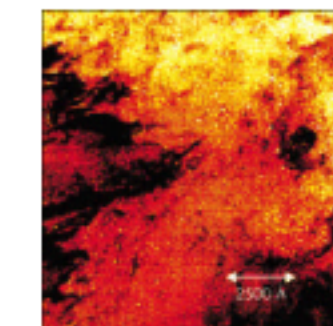
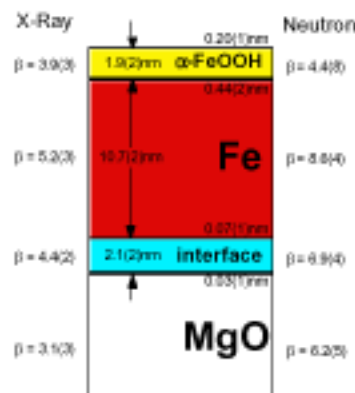
We Have Seen How Neutron Scattering Can Determine a Variety of Structures



crystals



surfaces & interfaces

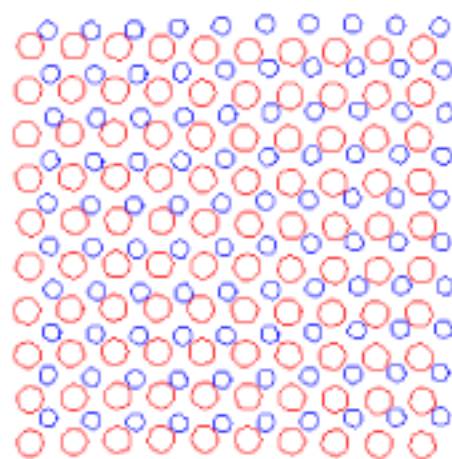


disordered/fractals



biomachines

but what happens when the atoms are moving?

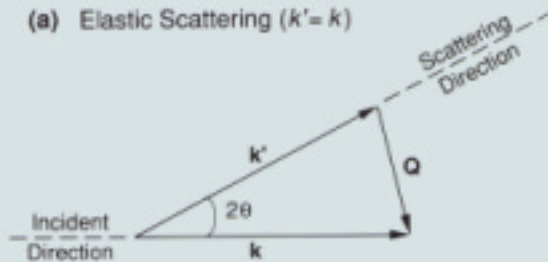


Can we determine the directions and time-dependence of atomic motions?
Can we tell whether motions are periodic?
Etc.

These are the types of questions answered by inelastic neutron scattering

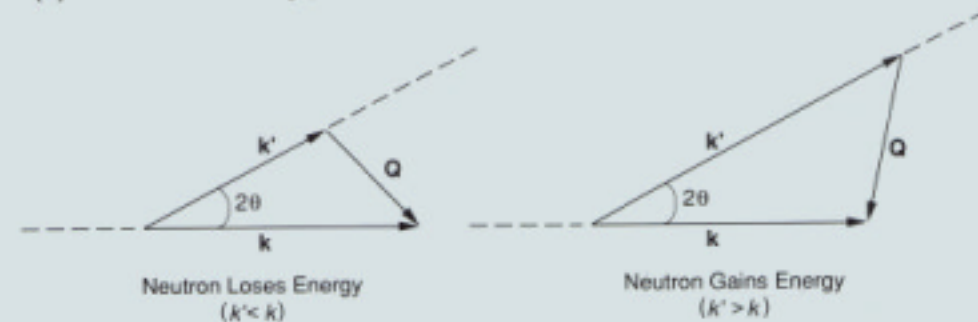
The Neutron Changes Both Energy & Momentum When Inelastically Scattered by Moving Nuclei

(a) Elastic Scattering ($k' = k$)



$$\sin \theta = \frac{Q/2}{k}$$
$$Q = 2k \sin \theta = \frac{4\pi \sin \theta}{\lambda}$$

(b) Inelastic Scattering ($k' \neq k$)



Neutron Loses Energy
($k' < k$)

Neutron Gains Energy
($k' > k$)



inelastic scattering

Scattering in which exchange of energy and momentum between the incident neutron and the sample causes both the direction and the magnitude of the neutron's wave vector to change.



The Elastic & Inelastic Scattering Cross Sections Have an Intuitive Similarity

- The intensity of **elastic, coherent** neutron scattering is proportional to the **spatial Fourier Transform** of the Pair Correlation Function, $G(r)$ I.e. the probability of finding a particle at position r if there is simultaneously a particle at $r=0$
- The intensity of **inelastic coherent** neutron scattering is proportional to the **space and time Fourier Transforms** of the time-dependent pair correlation function function, $G(r,t)$ = probability of finding a particle at position r at time t when there is a particle at $r=0$ and $t=0$.
- For **inelastic incoherent** scattering, the intensity is proportional to the **space and time Fourier Transforms** of the self-correlation function, $G_s(r,t)$ I.e. the probability of finding a particle at position r at time t when the same particle was at $r=0$ at $t=0$

The Inelastic Scattering Cross Section

$$\text{Recall that } \left(\frac{d^2\sigma}{d\Omega dE} \right)_{coh} = b_{coh}^2 \frac{k'}{k} NS(\vec{Q}, \omega) \quad \text{and} \quad \left(\frac{d^2\sigma}{d\Omega dE} \right)_{inc} = b_{inc}^2 \frac{k'}{k} NS_i(\vec{Q}, \omega)$$

$$\text{where } S(\vec{Q}, \omega) = \frac{1}{2\pi\hbar} \iint G(\vec{r}, t) e^{i(\vec{Q}\cdot\vec{r} - \omega t)} d\vec{r} dt \quad \text{and} \quad S_i(\vec{Q}, \omega) = \frac{1}{2\pi\hbar} \iint G_s(\vec{r}, t) e^{i(\vec{Q}\cdot\vec{r} - \omega t)} d\vec{r} dt$$

and the correlation functions that are intuitively similar to those for the elastic scattering case:

$$G(\vec{r}, t) = \frac{1}{N} \int \langle \rho_N(\vec{r}, 0) \rho_N(\vec{r} + \vec{R}, t) \rangle d\vec{r} \quad \text{and} \quad G_s(\vec{r}, t) = \frac{1}{N} \sum_j \int \langle \delta(\vec{r} - \vec{R}_j(0)) \delta(\vec{r} + \vec{R} - \vec{R}_j(t)) \rangle d\vec{r}$$

The evaluation of the correlation functions (in which the ρ 's and δ - functions have to be treated as non - commuting quantum mechanical operators) is mathematically tedious. Details can be found, for example, in the books by Squires or Marshal and Lovesey.

Examples of $S(Q,\omega)$ and $S_s(Q,\omega)$

- Expressions for $S(Q,\omega)$ and $S_s(Q,\omega)$ can be worked out for a number of cases e.g:
 - Excitation or absorption of one quantum of lattice vibrational energy (phonon)
 - Various models for atomic motions in liquids and glasses
 - Various models of atomic & molecular translational & rotational diffusion
 - Rotational tunneling of molecules
 - Single particle motions at high momentum transfers
 - Transitions between crystal field levels
 - Magnons and other magnetic excitations such as spinons
- Inelastic neutron scattering reveals details of the shapes of interaction potentials in materials

A Phonon is a Quantized Lattice Vibration

- Consider linear chain of particles of mass M coupled by springs. Force on n 'th particle is

$$F_n = \alpha_0 u_n + \alpha_1 (u_{n-1} + u_{n+1}) + \alpha_2 (u_{n-2} + u_{n+2}) + \dots$$

First neighbor force constant

displacements

- Equation of motion is $F_n = M\ddot{u}_n$

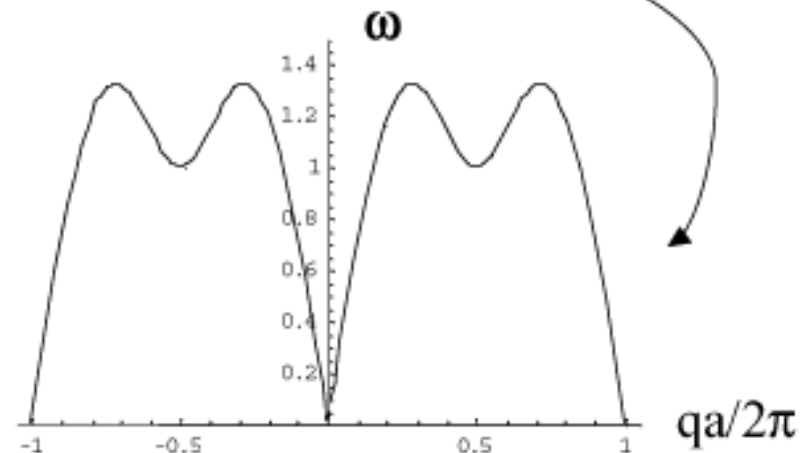
- Solution is: $u_n(t) = A_q e^{i(qna - \omega t)}$ with $\omega_q^2 = \frac{4}{M} \sum_v \alpha_v \sin^2\left(\frac{1}{2}vqa\right)$

$$q = 0, \pm \frac{2\pi}{L}, \pm \frac{4\pi}{L}, \dots, \pm \frac{N}{2} \frac{2\pi}{L}$$



Phonon Dispersion Relation:

Measurable by inelastic neutron scattering



Inelastic Magnetic Scattering of Neutrons

- In the simplest case, atomic spins in a ferromagnet precess about the direction of mean magnetization

$$H = \sum_{l,l'} J(\vec{l} - \vec{l}') \vec{S}_l \cdot \vec{S}_{l'} = H_0 + \sum_q \hbar \omega_q b_q^+ b_q$$

exchange coupling

ground state energy

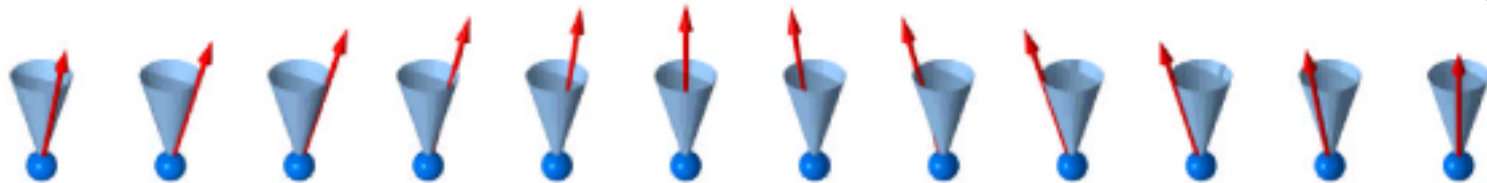
spin waves (magnons)

with

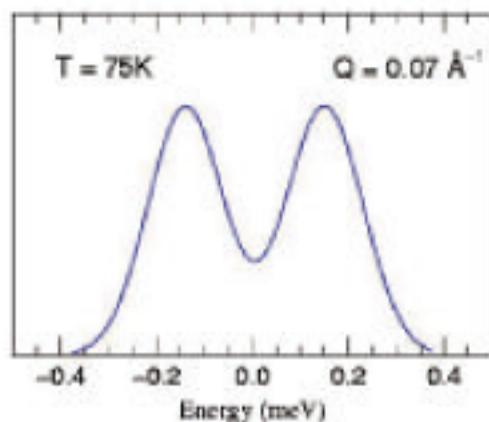
$$\hbar \omega_q = 2S(J_0 - J_q) \quad \text{where} \quad J_q = \sum_l J(\vec{l}) e^{i\vec{q} \cdot \vec{l}}$$

$\hbar \omega_q = Dq^2$ is the dispersion relation for a ferromagnet

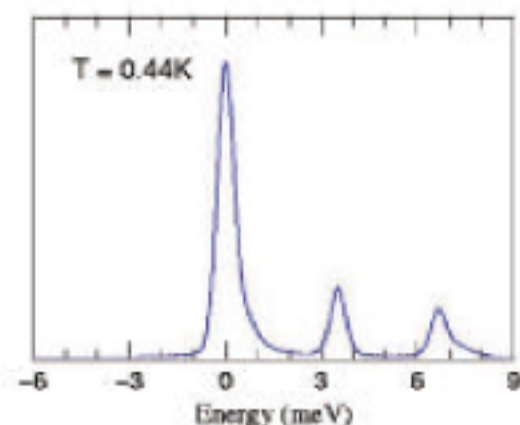
Fluctuating spin is perpendicular to mean spin direction => spin-flip neutron scattering



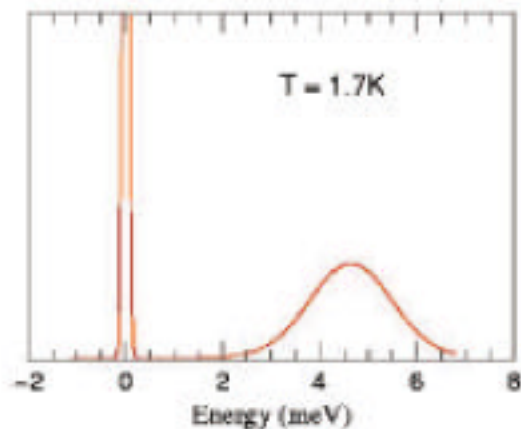
Measured Inelastic Neutron Scattering Signals in Crystalline Solids Show Both Collective & Local Fluctuations*



Spin waves – collective excitations



Crystal Field splittings (HoPd₂Sn) – local excitations

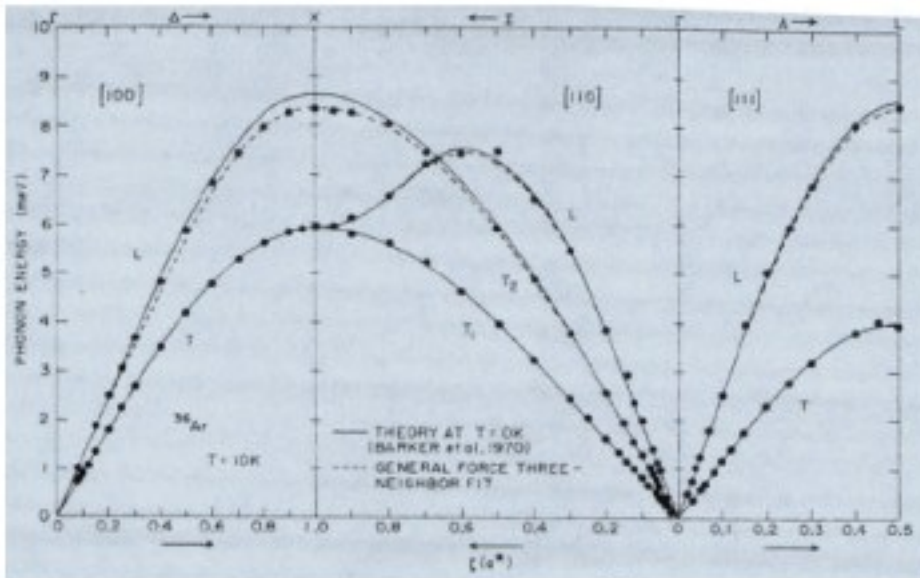
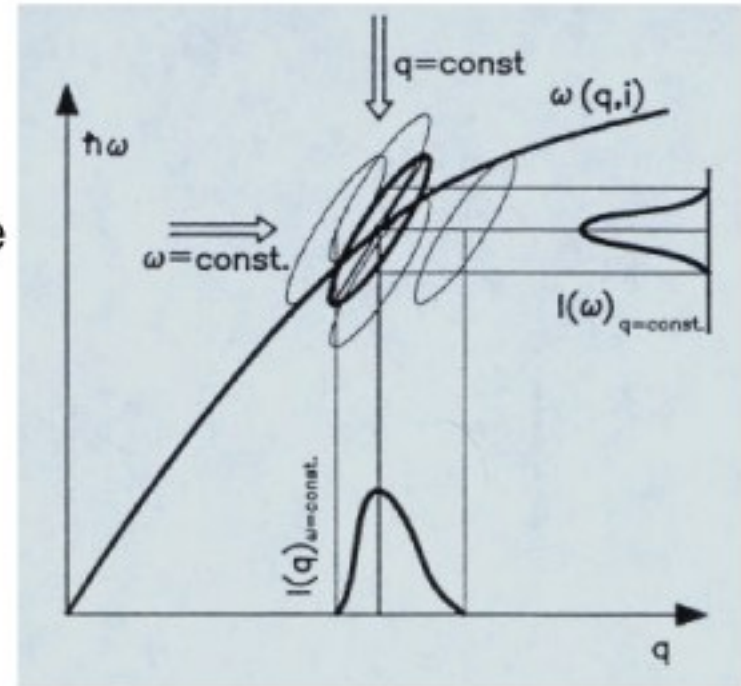


Local spin resonances (e.g. ZnCr₂O₄)

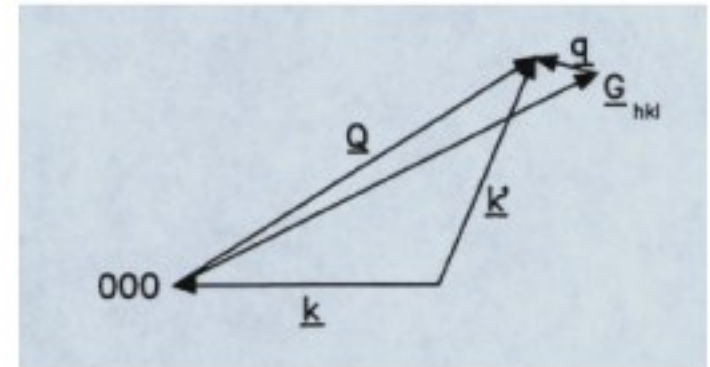
* Courtesy of Dan Neumann, NIST

Triple Axis Spectrometers Have Mapped Phonon Dispersion Relations in Many Materials

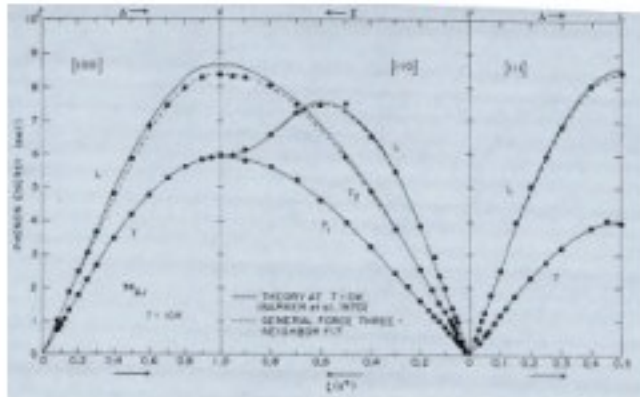
- Point by point measurement in (Q,E) space
- Usually keep either k_{\parallel} or k_{\perp} fixed
- Choose Brillouin zone (i.e. G) to maximize scattering cross section for phonons
- Scan usually either at constant-Q (Brockhouse invention) or constant-E



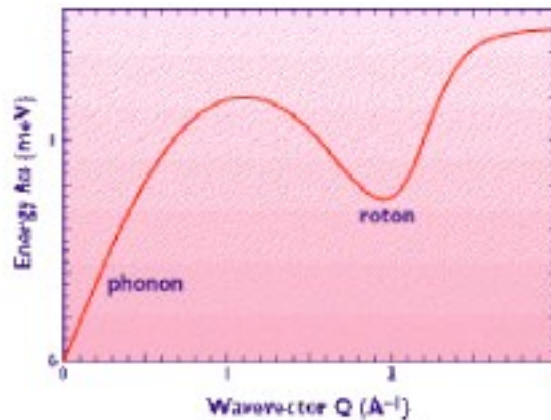
Phonon dispersion of ^{36}Ar



Examples of Phonon Measurements



Phonons in ^{36}Ar – validation of LJ potential



Roton dispersion in ^4He

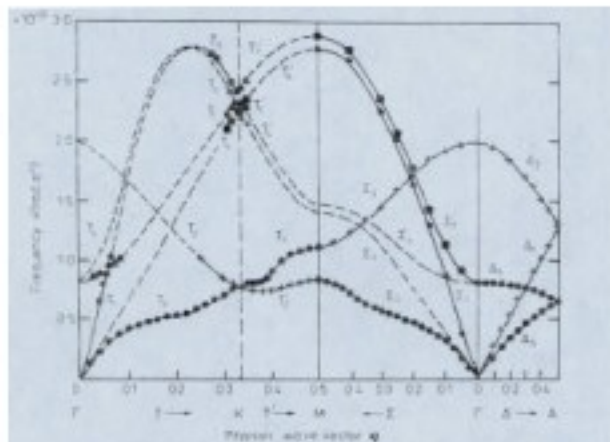
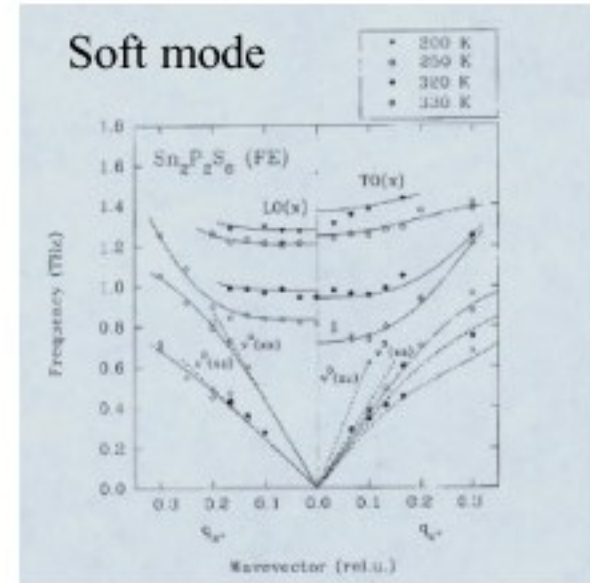


Figure 1. Dispersion curves of ^{110}Cd at 77 K. Different symbols are used for different branches to distinguish in regions where they come close to each other. Symmetry labels are explained in figure 2a(i).

Phonons in ^{110}Cd

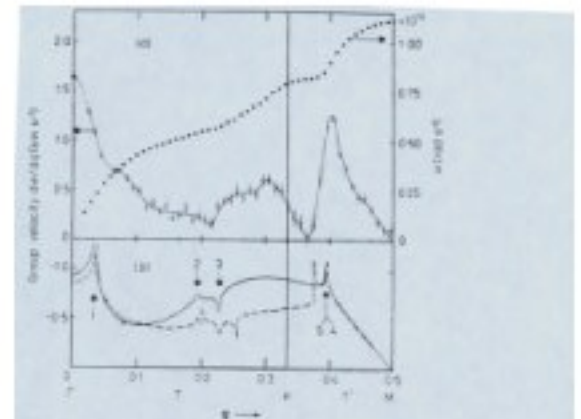
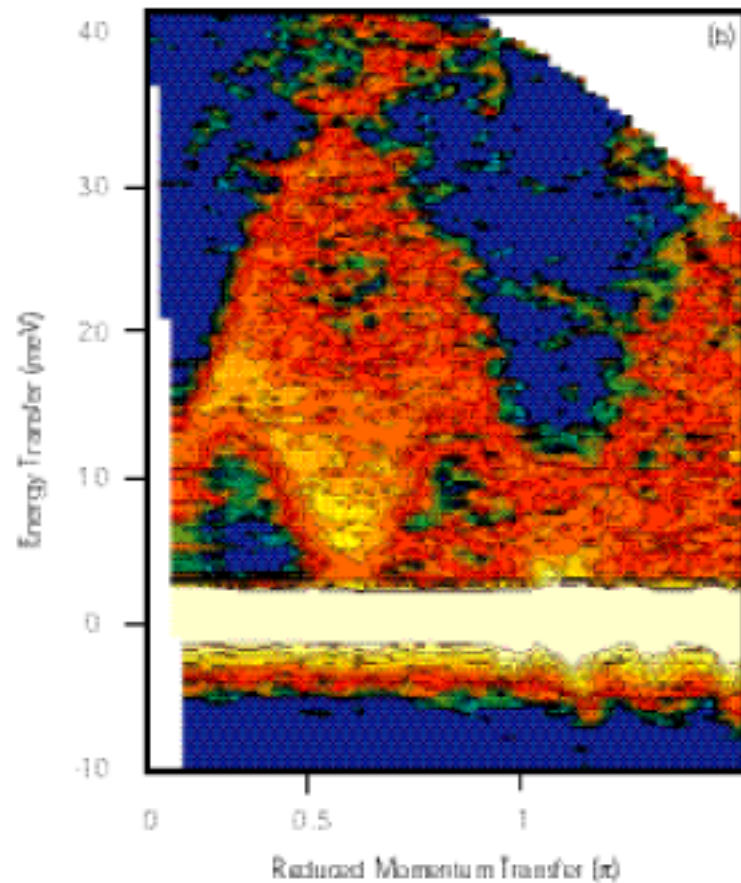
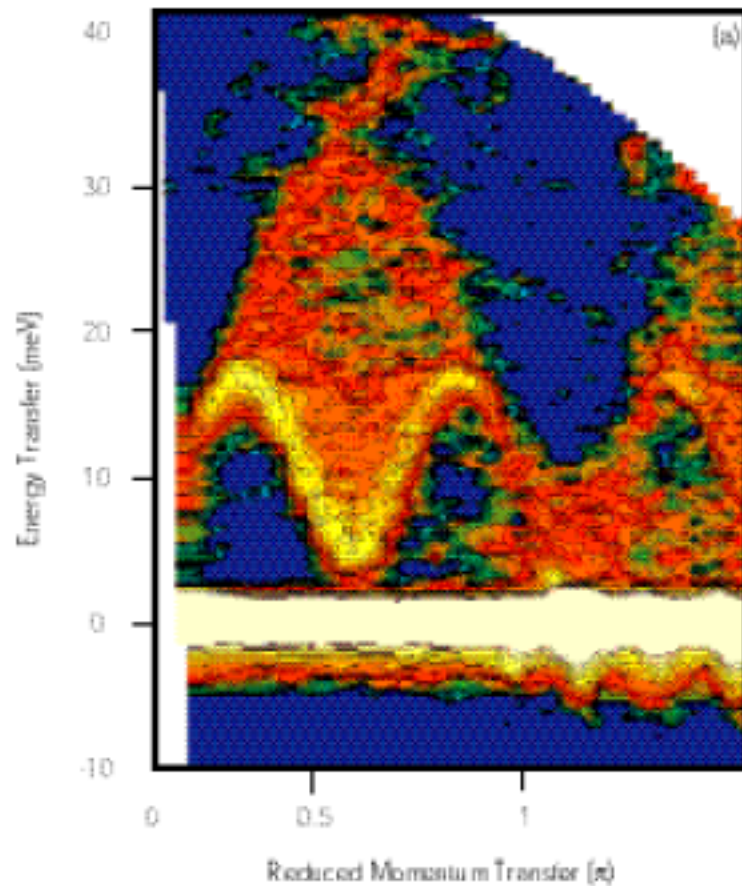


Figure 2. (i) Dispersion curves (full circles) and group velocity (open circles) for the T_1, T_2 branch at 77 K. At $q = 0$ the group velocity obtained from the elastic constants (Ghatak and Srinivas, 1965) is represented by a full circle. The line is a guide to the eye. (ii) Theoretical prediction of the group velocity for the T_1, T_2 direction. The solid line is calculated in perturbation theory including second-order terms in the potential; the broken line including third-order terms in the potential. The numbers refer to the anomalies listed in table 2.

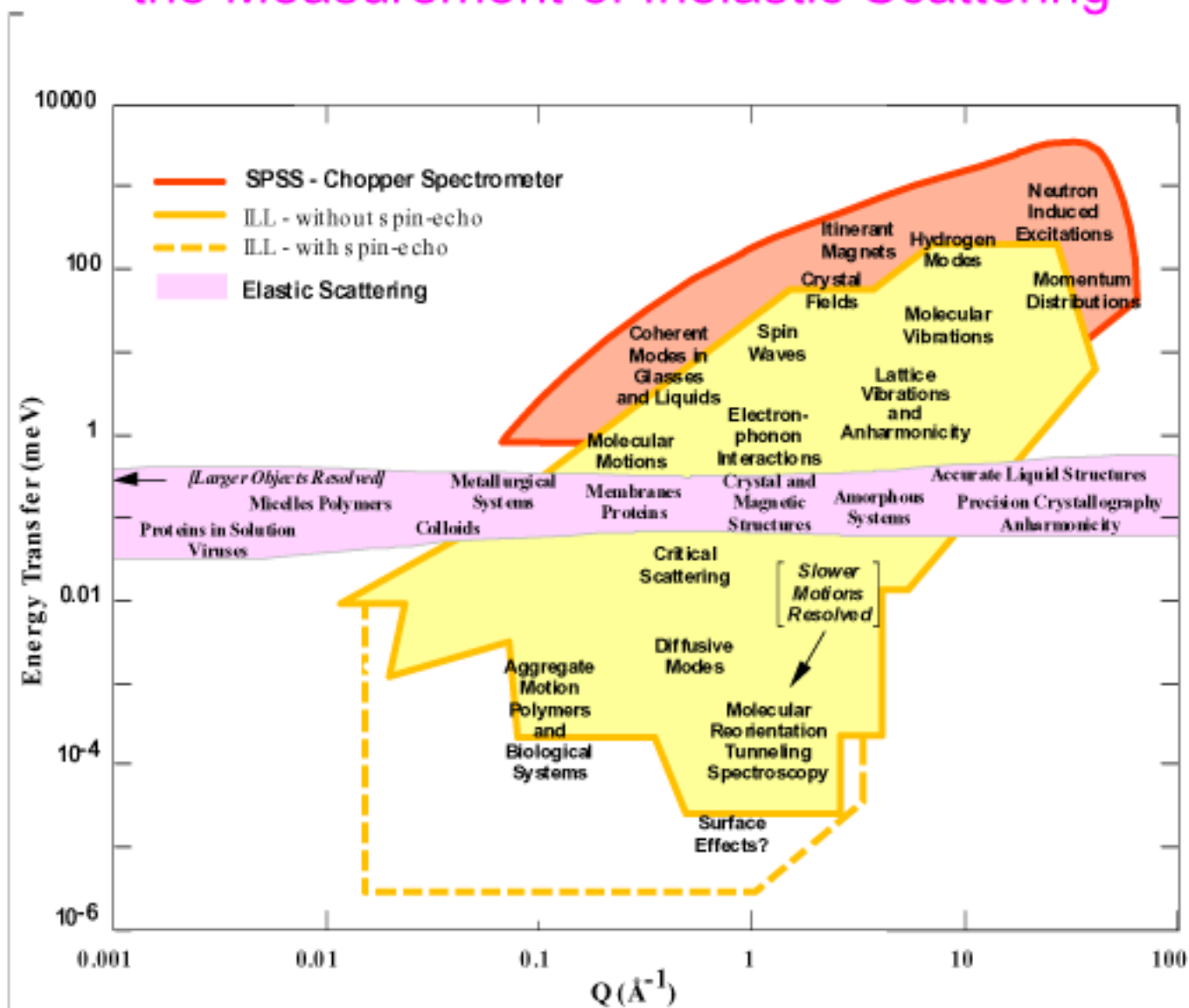
Kohn anomalies in ^{110}Cd

Time-of-flight Methods Can Give Complete Dispersion Curves at a Single Instrument Setting in Favorable Circumstances



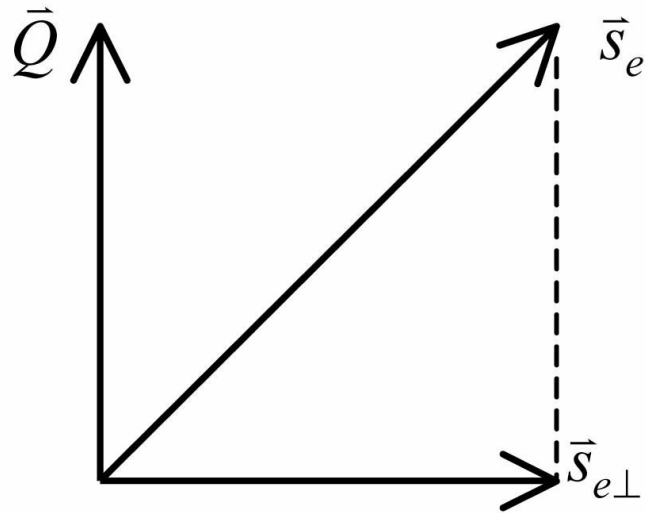
CuGeO₃ is a 1-d magnet. With the unique axis parallel to the incident neutron beam, the complete magnon dispersion can be obtained

Much of the Scientific Impact of Neutron Scattering Has Involved the Measurement of Inelastic Scattering



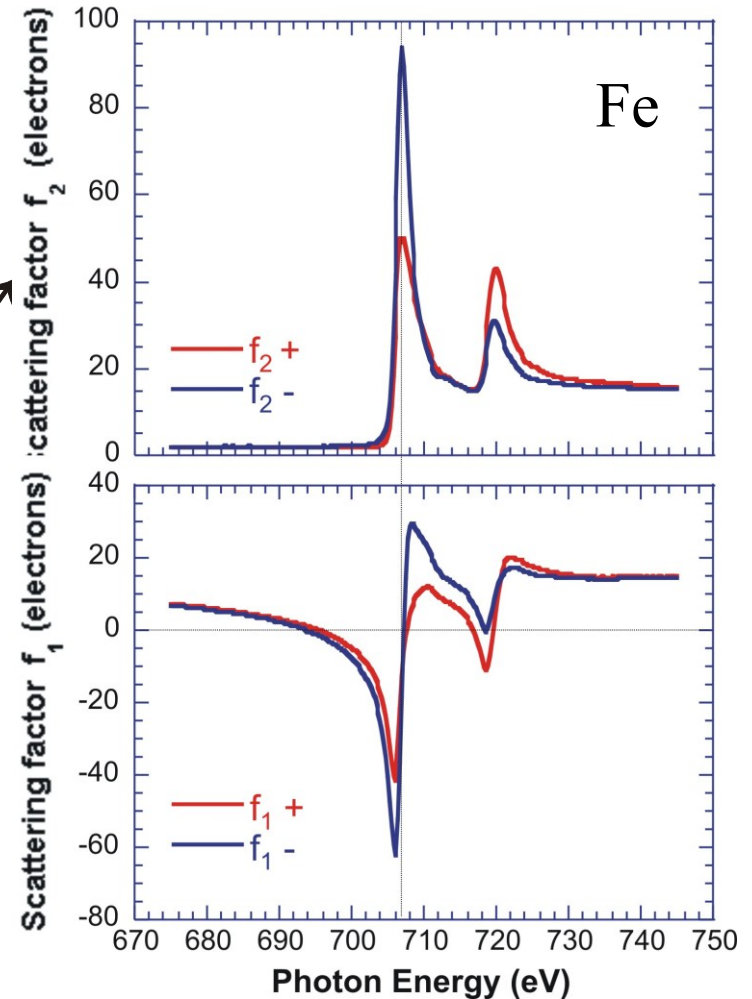
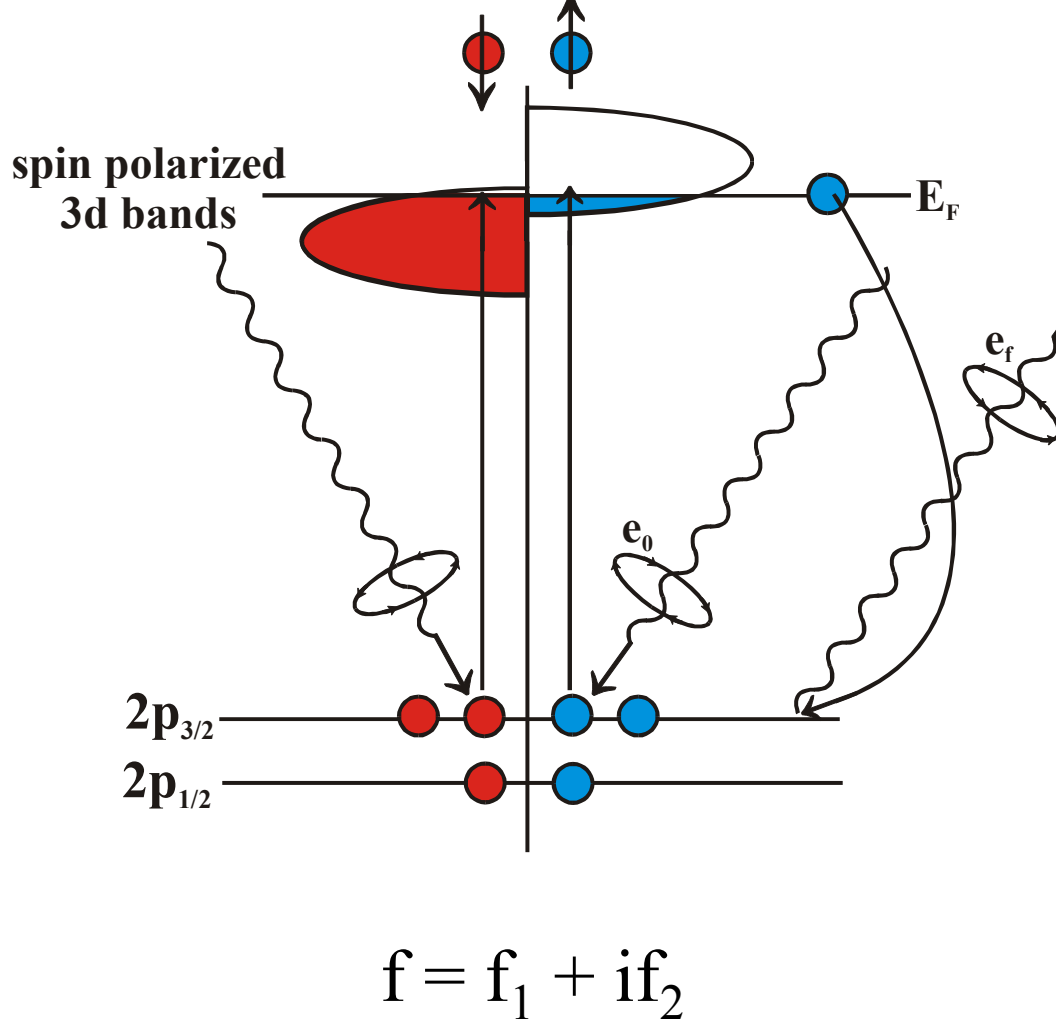
Energy & Wavevector Transfers accessible to Neutron Scattering

Magnetic Neutron Scattering



Resonant Magnetic X-ray Scattering

Core level resonances



NEUTRONS:

$$R_{++}(Q_z) - R_{--}(Q_z) \sim M_{xy,parallel}(Q_z) n(Q_z)$$

,

$$R_{+-}(Q_z) = R_{-+}(Q_z) \sim \langle M_{xy,perp}(Q_z) \rangle^2$$

X-RAYS:

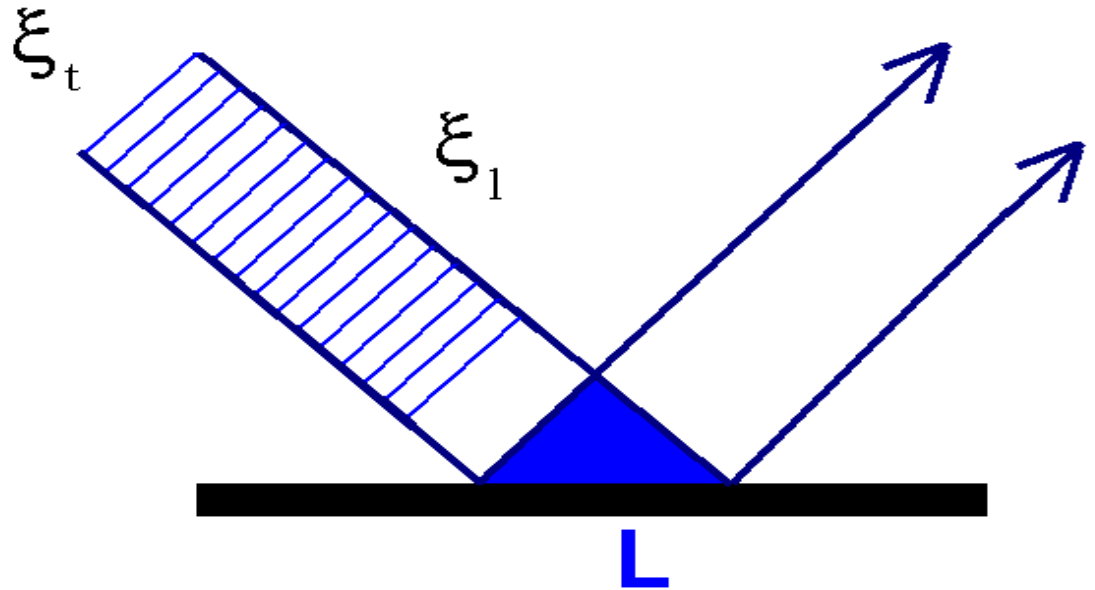
$$R_{+}(Q_z) - R_{-}(Q_z) \sim M_x(Q_z) n(Q_z)$$

Coherence Lengths

$$\xi_l = \lambda^2 / \Delta\lambda$$
$$= \lambda(\Delta\lambda / \lambda)^{-1}$$

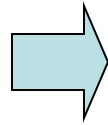
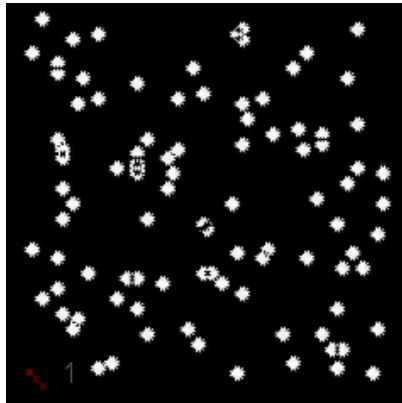
$$\xi_t = \lambda R / s$$

($\xi_{\text{hor.}}$, $\xi_{\text{vert.}}$)

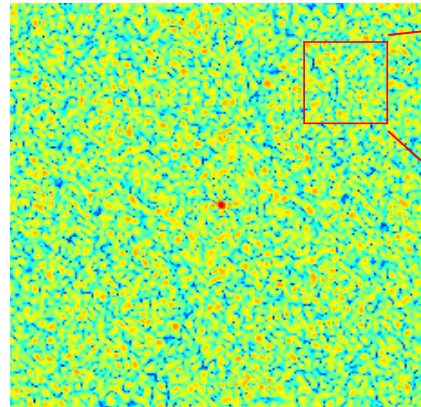


Photon Correlation Spectroscopy □

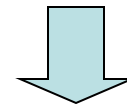
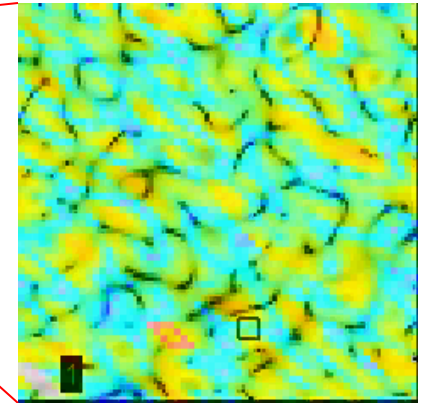
Brownian Motion of 100 particles



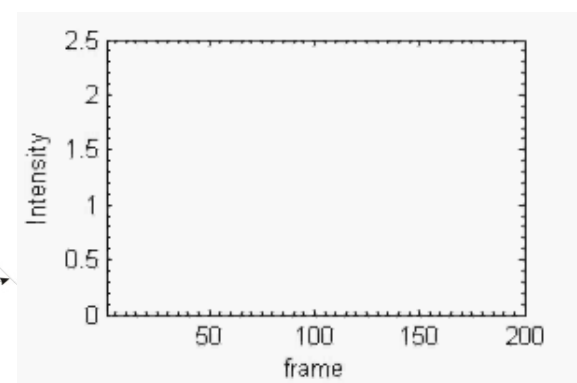
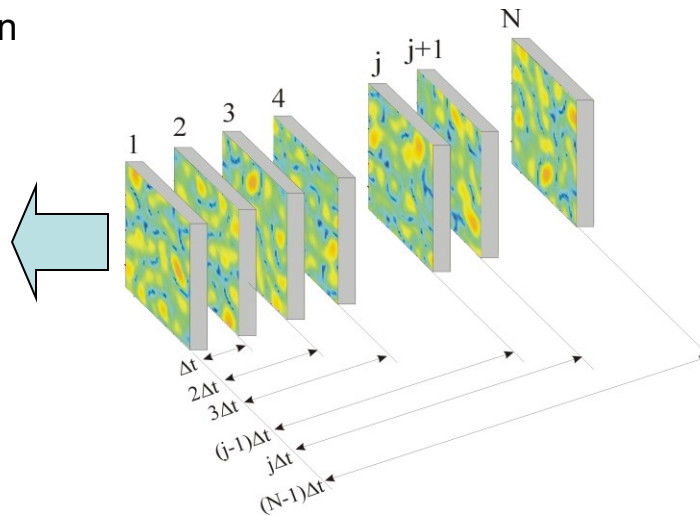
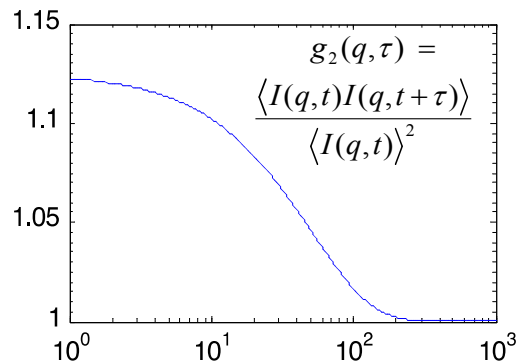
Diffraction Pattern



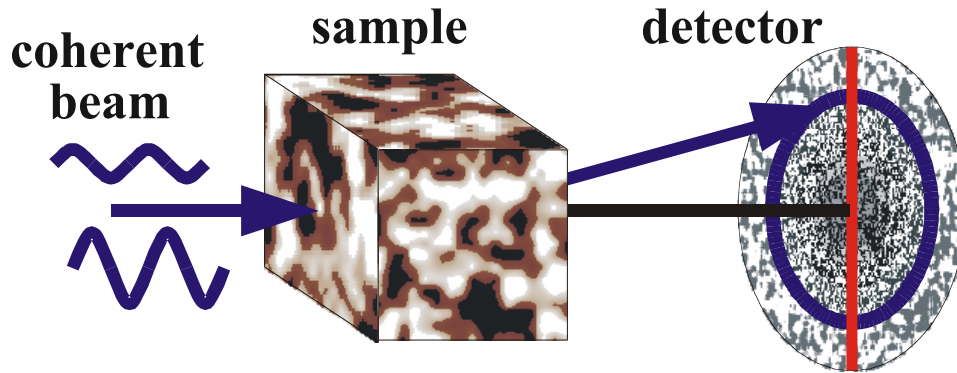
Speckles



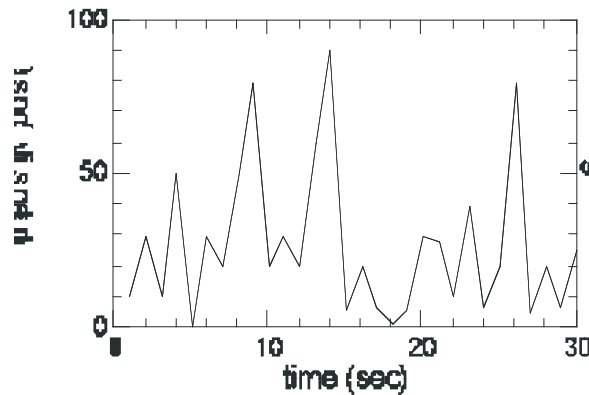
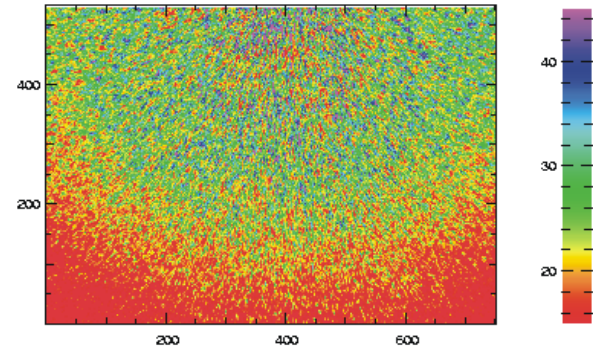
Intensity-intensity auto correlation



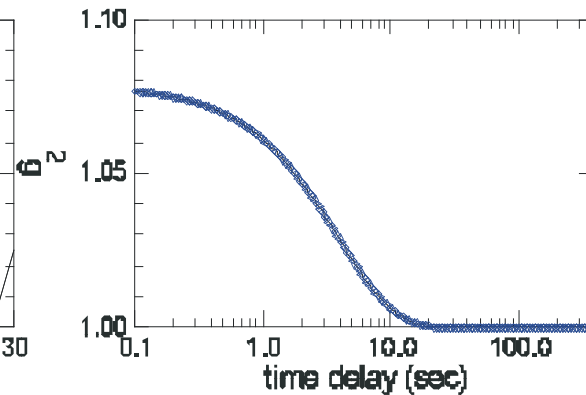
Photon Correlation Spectroscopy



X-ray speckle pattern from a static silica aeroge



$$g_2(\mathbf{q}, t) = \frac{\langle I(\mathbf{q}, t') I(\mathbf{q}, t' + t) \rangle}{\langle I(\mathbf{q}, t') \rangle^2}$$



$$g_2(t) = 1 + \beta \exp(-2\Gamma t) \\ = 1 + \beta \exp(-2t/\tau)$$

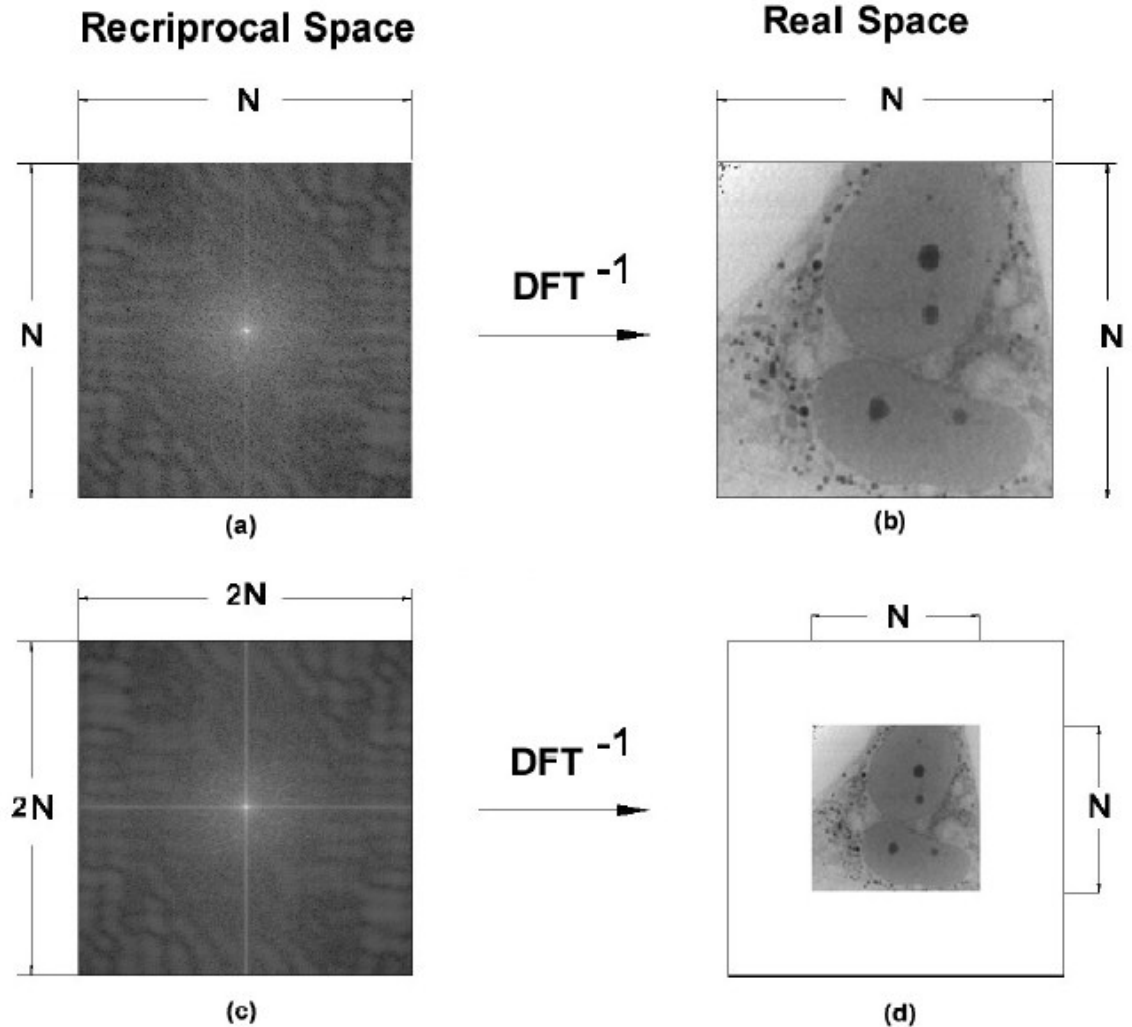
β : speckle contrast

“Oversampling”:

Non-crystals:
pattern continuous,
can do finer sampling
of intensity

Finer sampling;
larger array;
smaller transform;
“finite support”

(area around specimen
must be clear!)



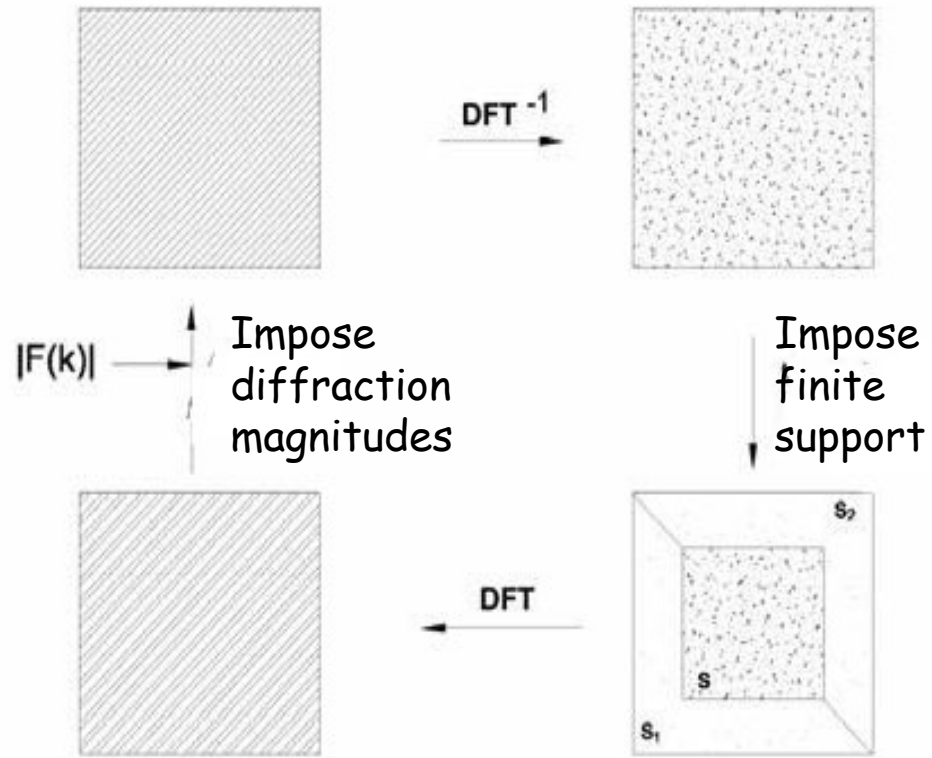
Reconstruction

Equations can still not be solved analytically

Fienup iterative algorithm

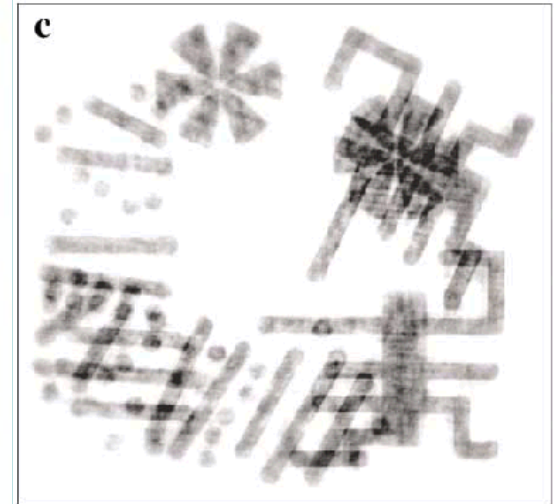
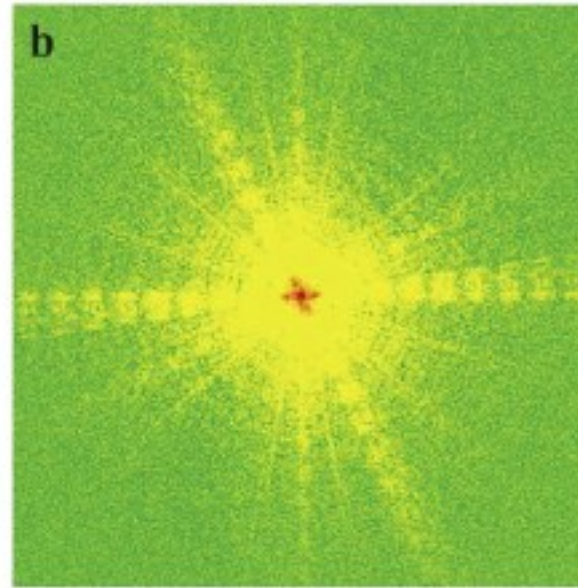
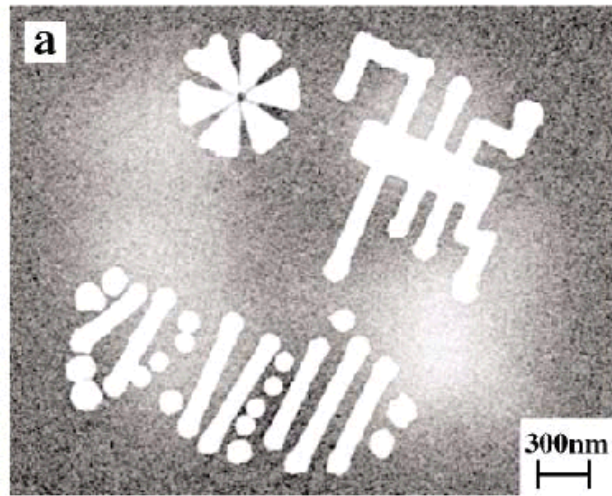
Reciprocal space

Real space



•Positivity of electron density helps!

DIFFRACTION IMAGING BY J. MIAO ET AL

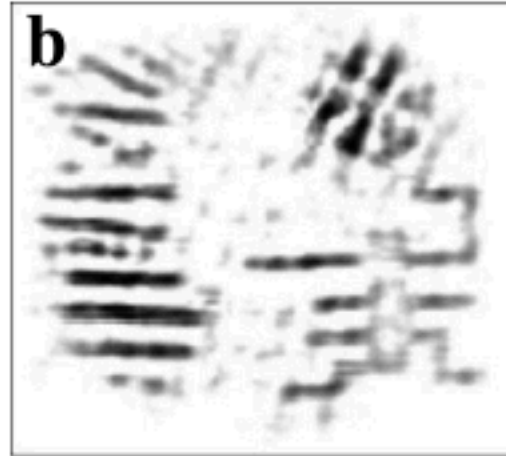
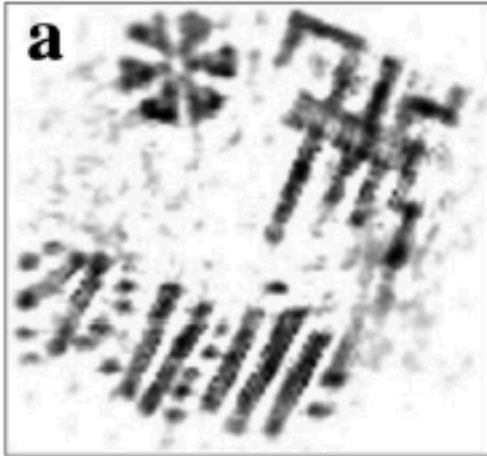


- From Miao, Ishikawa, Johnson, Anderson, Lai, Hodgson PRL Aug 2002
- SEM image of a 3-D Ni microfabricated object with two levels 1 μm apart
- Only top level shows to useful extent

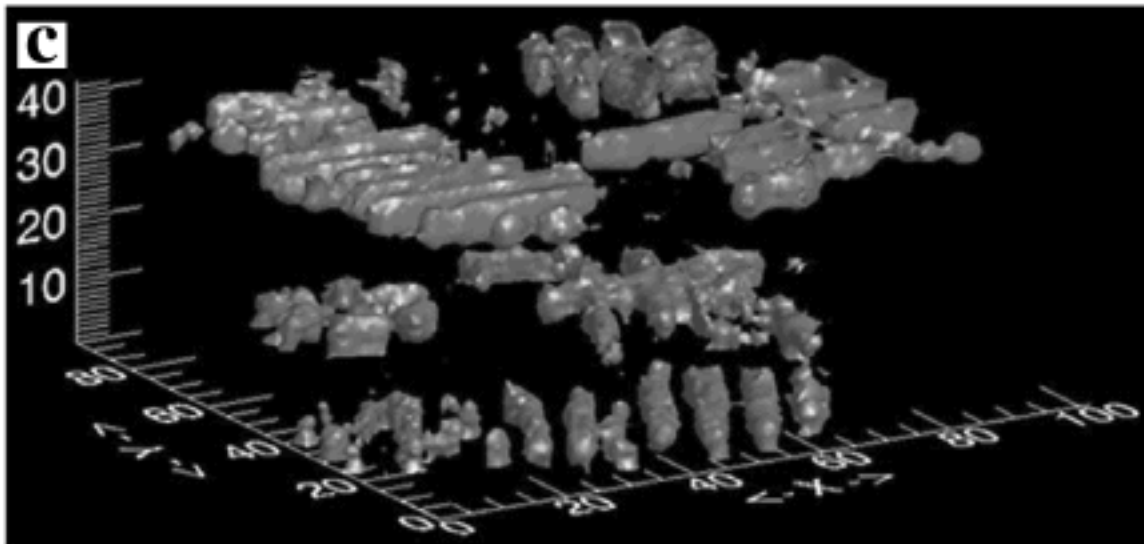
- Diffraction pattern taken at 2 \AA wavelength at SPring 8

- 2-D reconstruction with Fienup-type algorithm
- Both levels show because the depth of focus is sufficient
- Resolution = 8 nm (new record)

MIAO ET AL 3-D RECONSTRUCTIONS



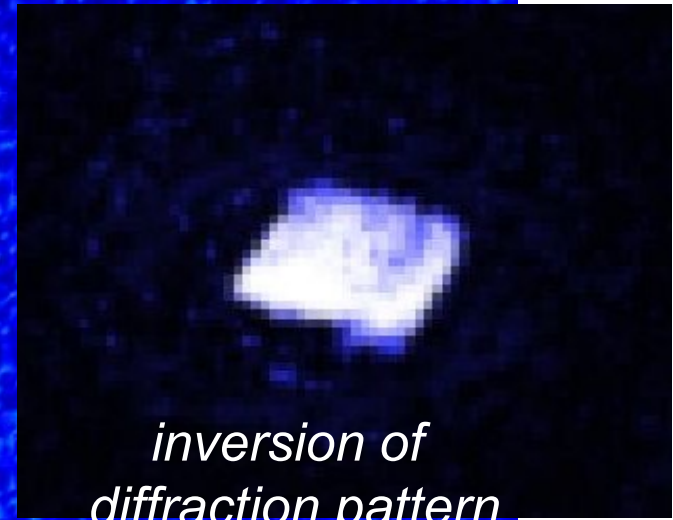
- Miao et al 3-D reconstruction of the same object pair
- a and b are sections through the *image*
- c is 3-D density
- Resolution = 55 nm



Imaging of individual nanoparticles at the APS

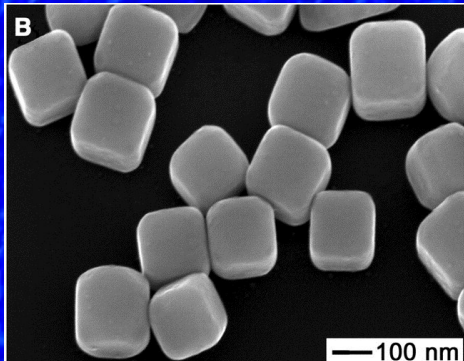
Ross Harder, University of Illinois, Champaign

*Coherent diffraction pattern
from 170 nm Ag particle*



*inversion of
diffraction pattern
'lensless imaging'*

170 nm silver cubes




 $5 \times 10^2 \text{ nm}^{-1}$

I.K. Robinson, et al., *Science* 298 2177 (2003)

Formal Theory of Scattering

Neutrons

ψ_k incident neutron wave fn.

χ_λ initial sample wave fn.

$\psi_{k'}$ scattered neutron wave fn.

$\chi_{\lambda'}$ final sample wave fn.

$$\left(\frac{d\sigma}{d\Omega} \right)_{\lambda \rightarrow \lambda'} = \frac{1}{\Phi} \frac{1}{d\Omega} \sum_{k'} W_{\bar{k}\lambda \rightarrow \bar{k}'\lambda'} \quad (1)$$

$W_{k\lambda \rightarrow k'\lambda'}$ = Number of transitions $k\lambda \rightarrow k'\lambda'$ per second

Use Fermi's Golden Rule:

$$\sum_{k'} W_{\bar{k}\lambda \rightarrow \bar{k}'\lambda'} = \frac{2\pi}{\hbar} v_{k'} \left| \langle \bar{k}'\lambda' | V | \bar{k}\lambda \rangle \right|^2 \quad (2)$$

$v_{k'}$ = Number of neutron momentum states in $d\Omega$ per unit energy range at \bar{k}' .

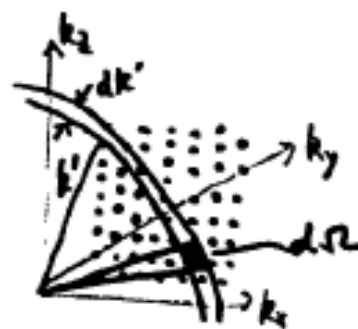
V = Interaction potential of neutron with the sample.

$$H = H_{neutrons} \left(\frac{P_N^2}{2m_N} \right) + H_{sample} + V$$

Quantize states in box of side L with periodic boundary conditions:

$$\bar{k} = \frac{2\pi}{L} (n_x, n_y, n_z)$$

$$\text{Density of } k\text{-pts / unit vol. of } k\text{-space} = \frac{L^3}{(2\pi)^3}$$



$$E' = \frac{\hbar^2}{2m} k'^2$$

$$dE' = \frac{\hbar^2}{m} k' dk'$$

Now $v_{k'} dE'$ = Number of k -pts inside $d\Omega$ with energy between E' , and $E' + dE'$

$$= (k')^2 dk' d\Omega \frac{L^3}{(2\pi)^3}$$

$$\therefore v_{k'} = \frac{L^3}{(2\pi)^3} \frac{m}{\hbar^2} k' d\Omega$$

Incident neutron wave fn. $\psi_k = L^{-3/2} e^{i\vec{k}\cdot\vec{r}}$

Incident flux $\Phi = v|\psi_k|^2 = \frac{\hbar}{m} k \frac{1}{L^3}$

Thus, by Eqs. (1), (2),

$$\left(\frac{d\sigma}{d\Omega}\right)_{\lambda \rightarrow \lambda'} = \frac{k'}{k} \left(\frac{m}{2\pi\hbar^2}\right)^2 L^6 |\langle \vec{k}'\lambda' | V | \vec{k}\lambda \rangle|^2 \quad (3)$$

Use energy conservation law,

$$\left(\frac{d^2\sigma}{d\Omega dE'}\right)_{\lambda \rightarrow \lambda'} = \frac{k'}{k} \left(\frac{m}{2\pi\hbar^2}\right)^2 |\langle \vec{k}'\lambda' | V | \vec{k}\lambda \rangle|^2 L^6 \delta(E_\lambda - E_{\lambda'} + E - E') \quad (4)$$

Formally represent interaction between neutron and nucleus by a delta-fn. (Fermi pseudopotential)

$$V(r_n - R_i) = a \delta(\vec{r}_n - \vec{R}_i)$$

Consider elastic scattering again from a single fixed nucleus:

$$\text{Elastic } \begin{matrix} k' = k \\ \lambda' = \lambda \end{matrix} \langle k'\lambda' | V | k\lambda \rangle = a$$

$$(3) \text{ gives } \frac{d\sigma}{d\Omega} = \left(\frac{m}{2\pi\hbar^2}\right)^2 a^2$$

Comparing this with the result $\frac{d\sigma}{d\Omega} = b^2$

$$a = \left(\frac{2\pi\hbar^2}{m}\right) b$$

Thus $V(r) = \left(\frac{2\pi\hbar^2}{m}\right) b \delta(\vec{r})$ is the effective interaction between a neutron at \vec{r} and a fixed nucleus at the origin.

Scattering by an assembly of nuclei:

$$V(\vec{r}) = \left(\frac{2\pi\hbar^2}{m} \right) \sum_{j=1}^N b_j \delta(\vec{r} - \vec{R}_j) \text{ for neutron at } \vec{r}.$$

$$\begin{aligned} \langle k'\lambda' | V | \vec{k}\lambda \rangle &= \frac{1}{L^3} \int d\vec{r} e^{-i(\vec{k}' - \vec{k}) \cdot \vec{r}} \int \dots \int dR_1 \dots dR_N \\ &\quad \chi_{\lambda'}^* \chi_{\lambda} \sum_{j=1}^N b_j \delta(\vec{r} - \vec{R}_j) \times \left(\frac{2\pi\hbar^2}{m} \right) \\ &= \frac{1}{L^3} \left(\frac{2\pi\hbar^2}{m} \right) \sum_{j=1}^N b_j \langle \lambda' | e^{-i\vec{q} \cdot \vec{R}_j} | \lambda \rangle \end{aligned}$$

Thus from Eq. (4)

$$\begin{aligned} \left(\frac{d^2\sigma}{d\Omega dE'} \right)_{\lambda \rightarrow \lambda'} &= \frac{k'}{k} \sum_{i,j=1}^N b_i b_j \left[\langle \lambda | e^{-i\vec{q} \cdot \vec{R}_i} | \lambda' \rangle \right. \\ &\quad \left. \langle \lambda' | e^{i\vec{q} \cdot \vec{R}_j} | \lambda \rangle \right] \delta(E_{\lambda} - E_{\lambda'} + \hbar\omega) \end{aligned} \quad (5)$$

where

$$\hbar\omega = E - E' = \text{Neutron energy loss}$$

Summing over all possible final states λ' of the sample and averaging over all initial states λ , we obtain

$$\left(\frac{d^2\sigma}{d\Omega dE'} \right) = \frac{k'}{k} \sum_{ij} b_i b_j \sum_{\lambda\lambda'} P_{\lambda} \langle \lambda | e^{-i\vec{q} \cdot \vec{R}_i} | \lambda' \rangle \langle \lambda' | e^{i\vec{q} \cdot \vec{R}_j} | \lambda \rangle \delta(E_{\lambda} - E_{\lambda'} + \hbar\omega)$$

$$P_{\lambda} = Z^{-1} e^{-E_{\lambda}/kT} \quad Z = \sum_{\lambda} e^{-E_{\lambda}/kT}$$

b_i depends on nucleus (isotope, spin relative to neutron $\uparrow\uparrow$ or $\downarrow\downarrow$), etc. Even for a monatomic system

$$b_i = \langle b \rangle + \delta b_i \leftarrow \text{random sample}$$

$$b_i b_j = \langle b \rangle^2 + \langle b \rangle \left[\delta b_i + \delta b_j \right] + \delta b_i \delta b_j$$

\downarrow
zero
 \downarrow
zero unless $i = j$

$$\langle \delta b_i^2 \rangle = \langle b^2 \rangle - \langle b \rangle^2$$

$$\text{So } \left(\frac{d^2\sigma}{d\Omega dE'} \right) = \left(\frac{d^2\sigma}{d\Omega dE'} \right)_{\text{coh}} + \left(\frac{d^2\sigma}{d\Omega dE'} \right)_{\text{inc}}$$

$$\left(\frac{d^2\sigma}{d\Omega dE'}\right)_{\text{coh}} = \frac{k'}{k} \underbrace{\langle b \rangle^2}_{\sigma_{\text{coh}}/4\pi} \sum_{\lambda\lambda'} P_\lambda \left\langle \lambda \left| \sum_i e^{-i\vec{q}\cdot\vec{R}_i} \right| \lambda' \right\rangle \left\langle \lambda' \left| \sum_j e^{i\vec{q}\cdot\vec{R}_j} \right| \lambda \right\rangle \delta(E_\lambda - E_{\lambda'} + \hbar\omega)$$

$$\left(\frac{d^2\sigma}{d\Omega dE'}\right)_{\text{inc}} = \frac{k'}{k} \left[\langle b^2 \rangle - \langle b \rangle^2 \right] \sum_{\lambda\lambda'} P_\lambda \sum_i \left\langle \lambda \left| e^{-i\vec{q}\cdot\vec{R}_i} \right| \lambda' \right\rangle \times \left\langle \lambda' \left| e^{i\vec{q}\cdot\vec{R}_i} \right| \lambda \right\rangle \times \delta(E_\lambda - E_{\lambda'} + \hbar\omega)$$

Write it as

$$\left(\frac{d^2\sigma}{d\Omega dE'}\right)_{\text{coh}} = \frac{k'}{k} \frac{\sigma_{\text{coh}}}{4\pi} N S_{\text{coh}}(\vec{q}, \omega)$$

$$\left(\frac{d^2\sigma}{d\Omega dE'}\right)_{\text{inc}} = \frac{k'}{k} \frac{\sigma_{\text{inc}}}{4\pi} N S_{\text{inc}}(\vec{q}, \omega)$$

$$S_{\text{coh}}(\vec{q}, \omega) = \frac{1}{N} \sum_{\lambda\lambda'} P_\lambda \left\langle \lambda \left| \sum_i e^{-i\vec{q}\cdot\vec{R}_i} \right| \lambda' \right\rangle \left\langle \lambda' \left| \sum_j e^{i\vec{q}\cdot\vec{R}_j} \right| \lambda \right\rangle \delta(E_\lambda - E_{\lambda'} + \hbar\omega) \quad (6)$$

$$S_{\text{inc}}(\vec{q}, \omega) = \frac{1}{N} \sum_{\lambda\lambda'} P_\lambda \sum_i \left\langle \lambda \left| e^{-i\vec{q}\cdot\vec{R}_i} \right| \lambda' \right\rangle \left\langle \lambda' \left| e^{i\vec{q}\cdot\vec{R}_i} \right| \lambda \right\rangle \delta(E_\lambda - E_{\lambda'} + \hbar\omega)$$

Heisenberg Time-Dependent Operators

If A is any operator, and H is the system Hamiltonian

$$A(t) = e^{iHt/\hbar} A e^{-iHt/\hbar}$$

is the corresponding time-dependent Heisenberg operator.

$$A(0) = A.$$

$$\text{Write } \delta(E_\lambda - E_{\lambda'} + \hbar\omega) = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dt e^{-i\omega t} e^{i(E_{\lambda'} - E_\lambda)t/\hbar}$$

Then

$$\begin{aligned} & \sum_{\lambda'} \langle \lambda | A | \lambda' \rangle \langle \lambda' | B | \lambda \rangle \delta(E_\lambda - E_{\lambda'} + \hbar\omega) \\ &= \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dt e^{-i\omega t} \sum_{\lambda'} \langle \lambda | A | \lambda' \rangle \langle \lambda' | B | \lambda \rangle e^{i(E_{\lambda'} - E_\lambda)t/\hbar} \\ & \quad \downarrow \left[e^{-iHt/\hbar} | \lambda \rangle = e^{-iE_\lambda t/\hbar} | \lambda \rangle \right] \\ &= \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dt e^{-i\omega t} \sum_{\lambda'} \langle \lambda | A | \lambda' \rangle \langle \lambda' | B | \lambda \rangle \\ &= \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dt e^{-i\omega t} \langle \lambda | A(0) B(t) | \lambda \rangle \end{aligned}$$

$$\sum_{\lambda} P_{\lambda} \langle \lambda | A(0) B(t) | \lambda \rangle \equiv \langle A(0) B(t) \rangle \leftarrow \text{T.D. Correlation function}$$

Thus, by (6),

$$\begin{aligned}
 S_{\text{coh}}(\vec{q}, \omega) &= \frac{1}{N} \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dt e^{-i\omega t} \sum_{\lambda} P_{\lambda} \left\langle \lambda \left| \sum_i e^{-i\vec{q} \cdot \vec{R}_i(0)} \right. \right. \\
 &\quad \left. \left. \times \sum_j e^{i\vec{q} \cdot \vec{R}_j(t)} \right| \lambda \right\rangle \\
 &= \frac{1}{N} \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dt e^{-i\omega t} \left\langle \sum_{ij} e^{-i\vec{q} \cdot \vec{R}_i(0)} e^{i\vec{q} \cdot \vec{R}_j(t)} \right\rangle \\
 S_{\text{inc}}(\vec{q}, \omega) &= \frac{1}{N} \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dt e^{-i\omega t} \sum_i P_{\lambda} \left\langle \lambda \left| e^{-i\vec{q} \cdot \vec{R}_i(0)} e^{i\vec{q} \cdot \vec{R}_i(t)} \right| \lambda \right\rangle \\
 &= \frac{1}{N} \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dt e^{-i\omega t} \left\langle \sum_i e^{-i\vec{q} \cdot \vec{R}_i(0)} e^{i\vec{q} \cdot \vec{R}_i(t)} \right\rangle
 \end{aligned}$$

Let $\rho_N(\vec{r})$ be density fn. of nuclei,

$$\rho_N(\vec{r}) = \sum_i \delta(\vec{r} - \vec{R}_i)$$

It's Fourier Transform

$$\rho_N(\vec{q}) = \int d\vec{r} e^{-i\vec{q} \cdot \vec{r}} = \sum_i e^{-i\vec{q} \cdot \vec{R}_i}$$

Thus,

$$S_{\text{coh}}(\vec{q}, \omega) = \frac{1}{N} \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dt e^{-i\omega t} \langle \rho_N(\vec{q}, 0) \rho_N^{\dagger}(\vec{q}, t) \rangle \quad (7)$$

$$\langle \rho_N(\vec{q}, 0) \rho_N^{\dagger}(\vec{q}, t) \rangle = \int d\vec{r} e^{-i\vec{q} \cdot \vec{r}} G(\vec{r}, t)$$

$$G(\vec{r}, t) = \sum_{ij} \int d\vec{r}' \langle \delta(\vec{r} - \vec{r}' - \vec{R}_i(0)) \delta(\vec{r}' + \vec{R}_j(t)) \rangle$$

Van-Hove space-time correlation function of system

$$\boxed{S_{\text{coh}}(\vec{q}, \omega) = \frac{1}{N} \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dt e^{-i\omega t} \int d\vec{r} e^{-i\vec{q} \cdot \vec{r}} G(\vec{r}, t)} \quad (8)$$

NOTE: $R_i(0), R_j(t)$ are not commuting operators in general, so care must be exercised!

X-rays

$$H = \frac{1}{2m} \sum_i \left(\vec{P}_i + \frac{e}{c} \vec{A}(\vec{r}) \delta(\vec{r} - \vec{r}_i) \right) \cdot \left(\vec{P}_i + \frac{e}{c} \vec{A}(r) \delta(\vec{r} - \vec{r}_i) \right) + \sum_i V(r_i) + V_{\text{int}}^{e-e}$$

(P_i = electron momentum,
 \vec{A} = vector potential)

$$= \frac{1}{2m} \sum_i (P_i^2 + V(r_i)) + V_{\text{int}}^{e-e} \leftarrow H_{el}$$

$$+ \frac{e}{2mc} \sum_i \left\{ \vec{P}_i \cdot \vec{A}(\vec{r}) \delta(\vec{r} - \vec{r}_i) + \vec{A}(\vec{r}) \delta(r - r_i) \cdot \vec{P}_i \right\} \leftarrow H_{\text{int}}^{(1)}$$

$$+ \frac{e^2}{2mc^2} \sum_i \delta(\vec{r} - \vec{r}_i) \vec{A}(\vec{r}) \cdot \vec{A}(\vec{r}) \leftarrow H_{\text{int}}^{(2)}$$

(9)

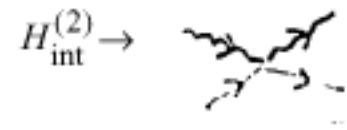
$$\vec{A}(\vec{r}) = \sum_{\vec{k}, \alpha} \left(\frac{\hbar}{\omega_k} \right)^{1/2} c \left\{ \vec{\epsilon}_{\alpha} a_{\vec{k}, \alpha}^+ e^{i\vec{k} \cdot \vec{r}} + \vec{\epsilon}_{\alpha}^* a_{\vec{k}, \alpha} e^{-i\vec{k} \cdot \vec{r}} \right\}$$

(10)



In 1st order → 1-photon absorption, emission

In 2nd order → scattering



In 1st order → scattering

Using $H_{\text{int}}^{(2)}$,

$$\left(\frac{d^2 \sigma}{d\Omega dE'} \right)_{\substack{\vec{k}\alpha \rightarrow \vec{k}'\beta \\ \lambda \rightarrow \lambda'}} = \left(\frac{e^2}{mc^2} \right)^2 |\vec{\epsilon}_{\alpha} \cdot \vec{\epsilon}_{\beta}^*|^2 \left\langle \lambda \left| \sum_i e^{-i\vec{q} \cdot \vec{r}_i} \right| \lambda' \right\rangle \left\langle \lambda' \left| \sum_j e^{i\vec{q} \cdot \vec{r}_j} \right| \lambda \right\rangle$$

(11)

“Thomson” Scattering $\delta(E_{\lambda} - E_{\lambda'} + \hbar\omega)$

$$\left(\frac{d^2 \sigma}{d\Omega dE'} \right) = \left(\frac{e^2}{mc^2} \right)^2 S_{el}(\vec{q}, \omega) |\vec{\epsilon}_{\alpha} \cdot \vec{\epsilon}_{\beta}^*|^2$$

$$S_{el}(\vec{q}, \omega) = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dt e^{-i\omega t} \langle \rho_{el}(\vec{q}, 0) \rho_{el}^+(\vec{q}, t) \rangle \quad (12)$$

Elastic Scattering: $\omega = 0 \rightarrow$ "Infinite time average."

Often what we measure is $\int \frac{d^2\sigma}{d\Omega dE'} dE' = \frac{d\sigma}{d\Omega}$

$$\left(\frac{d\sigma}{d\Omega} \right)_{coh} = \frac{\hbar}{2\pi\hbar} \int d\omega e^{-i\omega t} \int_{-\infty}^{\infty} dt \langle \rho(\vec{q}, 0) \rho^+(\vec{q}, t) \rangle \quad (13)$$

$$\left\{ \begin{array}{l} \times \frac{k'}{k} \langle b \rangle^2 \rightarrow \text{neutrons} \\ \times \left(\frac{e^2}{mc^2} \right)^2 |\vec{\epsilon}_\alpha \cdot \vec{\epsilon}_\beta^*|^2 \rightarrow \text{x-rays} \end{array} \right.$$

$$\int d\omega e^{-i\omega t} = 2\pi\delta(t)$$

$$\left(\frac{d\sigma}{d\Omega} \right)_{wh} = S(\vec{q}) \left\{ \begin{array}{l} \times \langle b \rangle^2 \rightarrow \text{neutrons} \\ \times \left(\frac{e^2}{mc^2} \right)_{|\vec{\epsilon}_\alpha \cdot \vec{\epsilon}_\beta^*|^2} \rightarrow \text{x-rays} \end{array} \right. \quad (14)$$

$$S(q) = \langle \rho(q, 0) \rho^+(q, 0) \rangle \equiv \langle \rho(q) \rho^+(q) \rangle$$

(Equal-Time Correlation Function)

General References

- Introduction to the Theory of Thermal Neutron Scattering: G.L. Squires, Dover Publications, 1997 (3rd Edition: Cambridge University Press, 2012)
- Theory of Thermal Neutron Scattering: W. Marshall and S.W. Lovesey, Clarendon Press, 1971
- Neutron Scattering: A Primer:
R.Pynn www.mrl.ucsb.edu/~pynn
- X-Ray Diffraction: B.E. Warren, Dover Publications, 1990
- Elements of Modern X-ray Physics: J. Als-Nielsen and D. McMorrow, Wiley 2011