

# **Proposal Writing:**

#### Hints for writing a good proposal & getting beam time

#### http://ftp.xor.aps.anl.gov/sector4/NXSchool-proposals.pdf

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Neutron X-ray Scattering School June 24, 2011



### **DOE X-ray and Neutron Sources**



Also

5 DOE Nanoscience Centers (BNL, SNL/LANL, ORNL, ANL, LBNL)3 DOE Electron Microscopy Centers (ANL, LBNL, ORNL)

#### **Basics of the facility proposal systems**

- All the DOE (NIST & NSF) neutron and x-ray sources offer access to beam time through an experimental proposal system. "General users (GU)".
- Proposal submission is done through a web-based application. When and how often proposals are submitted varies by facility.
  - APS 3 times a year (March, July, October)
  - SNS/HFIR 2x a year?
- All proposals are peer-reviewed and rated, and beam time is allocated using the scores of these reviews. Once time has been allocated, the beamline staff schedule the proposals.

#### Amount of general user time available

#### **HP-CAT (16)** ChemMatCARS (15) MCA-CAT (17) **BioCARS (14) APS/NSLS/SSRL/ALS** Bio-CAT (18) GSECARS (13) **SBC-CAT (19)** XOR/BESSRC (12) ✓ All beamlines offer GU XOR/PNC (20) XOR/BESSRC (11) LS-CAT (21) beam time. 25% **MR-CAT (10)** SER-CAT (22) ✓ Most DOE/NSF funded XOR/CMC (9) GM/CA-CAT (23) Sector Key beamlines provide 80-100% Materials Science NE-CAT (24) Biological & Life Science **XOR (8-ID)** Geo/Soil Science NE-CAT (8-BM) Environmental Science of their time to general Chemistry Physics XOR (7) Polymers CNM-CDT (26) Instrumentation µ-CAT (6) users. N EXPERIMENT Center for HALL Nanoscale DND-CAT (5) 25% **Materials** шш STORAGE RING XOR (4) INJECTION WING **SNS/HFIR** LINAC BOOSTER/ XOR (3) INJECTOR ✓ Amount varies by XOR (2) **IXS-CDT (30)** instrument. SGX-CAT (31) XOR (1) ✓ Once running, ~75% of XOR (32) EXPERIMENT ASSEMBLY AREA time will be for general XOR/UNI (33) XOR/UNI (34) users. CONFERENCE CENTER CENTRAL LAB/OFFICE

BUILDING

25%

#### **Upcoming Proposal Deadlines:**

X-ray sources		Next Deadline
-	APS	July 8, 2011
	NSLS	Sept. 30, 2011
	SSRL	Sept. 1, 2011
	ALS	July 15, 2011
	http://www.li	ghtsources.org/cms/?pid=1000336

#### **Neutron sources**

SNS/HFIR LANSCE NIST-NCNR CNBC, Chalk River Sept. 7, 2011 Fall Sept. 11, 2011 Continuous

Note at most facilities these are hard deadlines:

APS always at Friday mid-night (12:05  $\rightarrow$  next cycle)



# **Getting Started**

- Study instrument web pages
- Contact an instrument scientist to discuss your research
  - What is the research problem?
  - Which instrument(s) are appropriate?
  - What are the experimental conditions (temperature, pressure, magnetic field, etc)?
  - What will be measured?
  - How much beamtime will it take?
  - Probability of success? Impact? Significance?
  - What is the timeline?





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#### **Beamline/Instrument Information**





# **Getting Started**

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  - What are the experimental conditions (temperature, pressure, magnetic field, etc)?
  - What will be measured?
  - How much beamtime will it take?
  - Probability of success? Impact? Significance?
  - What is the timeline?





# Submitting a proposal



#### Almost all facilities have link on home page

#### Login to the system

APS



Will have to remember user number at each facility

#### **Proposal forms at SNS and APS**

#### **SNS/HFIR**



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	Proposal : GUP-10325				
Proposal Title:					
	Shifts Recommended by PRP: not available or Scheduled by BAC or Scheduled by Beamline (0) in current cycle	Shifts Used (0) to date:	Shifts Remaining: not available		
	Do you want this proposal to be considered for project status? description	Voc O No O			
	Does this proposal require mail-in service?	Ves O No O			
	Does this research involve macromolecular crystallography (single crystals) ?	Yes O No O			
	Will the data collected be considered proprietary ?	Yes O No O			
	Will the data collected be considered classified ?	Yes O No O			
	Does this research involve human subjects or materials ?	Yes 🔿 No 🔿			
	Does this research involve live animals?	Yes 🔿 No 🔿			
	Are there known safety hazards associated with the proposed experimental procedures or your samples ?	Yes 🔿 No 🔿		10	
	Is this research required for a student's thesis ?	Yes 🔿 No 🔿			
	Is this proposal related to another general user proposal ? If so, which one(s) and how ?	Yes 🔿 No 🔿			
	(500 characters or less)				
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Each proposal system will ask very similar questions

#### **Questions asked**

- Proposal Title
- General Info (Title, Experimenters, Funding source, etc.)
- Abstract What is the scientific importance of the proposed research?
- Why do you need the facility to do this research?
  - Neutron vs. X-rays
  - Why do you need an insertion device beamline instead of a bending magnet?
  - Spallation source vs. reactor source
  - Hard X-rays vs. Soft X-rays
- Why do you need the beam line (and/or instrument)?
  - Particular technique or sample environment
- What previous experience / results do you have.
- Describe the proposed experiment(s), including samples and procedures.
- Justification of the amount of time requested.

#### **General Information**

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	Will human subjects or laboratory animals be used in this experiment, or does this operation involve exposure to, or handling of, human tissue or body fluids, human cells in culture or animal matter?	○ Yes ⊙ No				
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#### **Proposal: General information**

- Pick a good title. Boring and to the point is better than spectacular and vague.
  - Good: "XAS study of Fe valence in CaFe2As2 under pressure "
  - Bad: "Understanding superconductivity in iron pnictides"
- Is it thesis related? Is there a deadline?
  - Will push your proposal up if scores are close
- Fill in the abstract. Do not just upload a PDF document!
  - More work for reviewer.
- Do upload a publication from previous work (mention previous proposal).
  - Shows you made good use of beam time.
  - Do not upload a 20 pages of supplemental information (couple of plots with text OK)

#### **Proposal: Experimenters page**

spoke person.	Find				-				
First Name :				Last Name				-	-
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•Use the "find" feature

•List everyone involved in experiment

#### **Experiment Description**

General Experimenters Abstract	Beamtime Request	Questions	Review Panel
	Pr	oposal : GUP-	10325
ease specify the funding source(s) fo	or your proposed re	esearch:	
DOD (specify)	DOE, Office of Ba	isic Energy Scie	nces DOE, Office of Biological and Environmental Research
DOE, Other (specify)	Foreign (specify)		Пнни
Howard Hughes Medical Institute (HHMI)	Industry		NASA
INIH			Other U.S. Government
JUSDA	Other (specify)		Specify Other:
hat is the scientific or technical purpo	ose and importance	e of the propo	sed research? (limit : 500 words)
hy do you need the APS for this rese	arch? (limit : 100 w	vords)	

# **Experimental Details**

- Give background information why it is important.
  - Science at facilities very diverse. Good chance reviewer not expert in polymers, catalysts, superconductors, etc.
  - @ APS each committee gets ~60 proposals each cycle (~700 total/cycle)
- Clearly state what you want to measure and how
  - Give details. Temperature range, X-ray Energy, Sample geometry
  - What sample characterization has been done already? (XRD, SEM, etc.)
  - Reviewer needs to judge if experiment is feasible
    - Does x-ray energy match laser penetration depth
    - % of dilute atoms OK for fluorescence measurements
- Why use x-rays or neutrons?
  - Neutron vs. X-rays
  - TEM, Mössbauer, Laser Raman, etc.
- Justify the amount of beam time requested (ask instrument scientist!)

#### **Beamtime Request**

	Proposal : GUP-10325					
pid Access Description Make New Reques	t 3rd	need the state of the second secon				
	Total 8-hour shifts requested for the LIFE OF THE PROPOSAL					
	Total 8-hour shifts recommended by the Proposal Review Panel for the LIFE OF THE PROPOSAL :	not available				
	Total shifts used to date: 0					
	Number of the shifts remaining	not available				
	For which scheduling period are you applying?	Status :				
	Techniques Required:	×				
		×				
	Choice Of Beamline:					
$\rightarrow$	Please select the instrument based on your beamline selection:	For 1st beamline       For 2nd beamline       For 3rd beamline				
	Any appropriate beamline					
	Number of 8-hour shifts requested for THIS scheduling period					
	Minimum number of usable shifts per visit:					
	Do you have specific scheduling requirements ?					
	What equipment is required ? What equipment will you bring ?					
$\rightarrow$	Please list any new publications resulting from your work at the APS.					
	Describe the progress made during your most recent beamtime. (2000 characters including spaces)					
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- Proposals are valid for two years, but need to put in beam time request each cycle.
- Chose multiple beamlines.
  - SAXS (12-ID, 5-ID, 15-ID)
  - XAFS (20-BM, 10-ID, 12-BM)
  - General Diffraction
- Don't list only one week that you can come. Holidays?
- Special sample environment / detectors will place more constraints on schedule.
  - GE amorphous Si detector
  - Magnet
  - ....

## **Ratings for APS Proposals**

	Table 1. Definition of Ratings Used in Reviewing General User Proposals
1 - Extraordinary	The proposal involves highly innovative research of great scientific importance. Proposed research will significantly advance knowledge in a specific field or scientific discipline. Considerable societal relevance is demonstrated. The radiation characteristics of the APS are highly desirable for the success of the proposed work.
2 - Excellent	The proposed research is of high quality and has potential for making an important contribution to a specific field or scientific discipline. The work is cutting edge and is likely to be published in a leading scientific journal. The radiation characteristics of the APS are important to the success of the proposed work.
3 - Good	The proposed research is near cutting-edge and likely to produce publishable results. Impact on a specific field or scientific discipline is likely. Synchrotron radiation is essential to accomplish the intended goals of the research. The proposed work will greatly benefit from access to the APS.
4 - Fair	The proposed research is interesting but may not significantly impact a specific field or scientific discipline. Publication may or may not result from this research. Synchrotron radiation is required, but the proposed work could be performed at other facilities.
5 - Poor	The proposed research is not well planned or is not feasible. Results would not make important contributions to fundamental or applied understanding, and work is not likely to result in publication. The need for synchrotron radiation is not clear.

APS proposals are rated on a scale from 1 to 5

Average score is ~2.2

Cut off score for receiving beam time varies by beamline (1.5 - 2.2)

Proposal ageing (score reduced by 0.2 each time does not receive time)

#### Some facilities provide cutoff scores



Helps you know what to expect.

Should I wait or submit another proposal?

1.4 (IR) 4.0.2 (EPU)	2.70	easier
4.0.2 (EPU)	1.90	
5.3.2 (Polymers XAFS)	2.32	
6.0.1 (Femtosecond)		
6.0.2 (Femtosecond)	2.22	
6.1.2 (Soft X-Ray Micrsocopsy)	2.40	
6.3.1 (Materials Sciences)		
6.3.2 (Calibration and Standards)	3.90	easier
7.0.1 (XPS, STXM, SXF, SPEM)	2.03	
7.3.3 (SAXS)	2.14	
8.0.1 (SXF)	2.14	
8.3.2	2.50	
9.0.2 (Chemical Dynamics, Coherent Imaging)	2.32	
9.3.1 (XAMS)		
9.3.2 (APSD/AMC, High-Pressure XPS)	2.04	
10.0.1. (HERS/AMO)	2.23	
10.3.2 (Micro XAFS)	2.20	
11.0.1 (Magnetic Microscopy, Spectromicroscopy; PEEM3)	2.43	
11.0.2 (Molecular Evironmental Sciences)	1.78	harder
11.3.1 (Small Molecule Crystallography)	2.58	
12.0 (ARPES)	2.12	
12.2.2 (High Pressure)	2.29	
12.3.2	2.53	
*Total allocation		

# Tips

- Give a concise explanation of this specific proposal
  - Provide background on importance (i.e. "bigger picture")
  - State clearly exactly what you are going to measure and why.
    - Reviewer want so assess likelihood of success.
- Include relevant details to experiment but do not get too verbose
  - Reviewer needs to judge not only scientific importance, but also if the experiment is feasible and if you are asking for the right instrument.
- If you are a first time user, talk to the local contact/instrument scientist.
  - Find out about details of the instrument, typical measuring times...
  - Oversubscription rate; Can a less popular instrument do most of the measurements you need.
  - Send them the proposal ahead of time and ask for advice. Collaborate?
- If you have previous results from other experiments include them!
  - Home, other institution, previous experiment.
  - Sample characterization.
  - Do not attach large number of pages.
- Take advantage of proposal ageing. Get a few proposals in the system.

# **Several common pitfalls**

- Proposer assumes committee is familiar with their specialty.
- Proposer writes large proposal asking for multiple weeks of time. Better to write a shorter proposal with a well defined objective. Be realistic with beam time request.
- Proposal deadline (for next cycle) is before schedule beamtime for this cycle.

#### Common Reviewer comments:

- Proposers could improve their score by including more experimental details, attaching previous results and expanding on the purpose and importance of the research."
- "Hasn't the proposed research been published previously?"
- We do not feel that granting 20 shifts/cycle for 2 years is consistent with the history of publication of this work.

Proposer should perform initial characterization with lab source or SEM, TEM....

# After submission

- Allow time for review and revisions
- Expect feedback several weeks from the call close
- Be ready to schedule experiment if approved
  - Identify participating team members
  - Respond to facility access approval information (foreign nationals)
  - Facilitate execution of user agreements
  - Complete required training
  - Confirm sample availability and description and laboratory needs
- Consider reviewer comments if not approved and plan to resubmit this proposal or a new proposal in the next call.
   Opportunities (# of facilities and beamlines/facility) continue to grow.



#### **Upcoming Proposal Deadlines:**

X-ray sources		Next Deadline
-	APS	July 8, 2011
	NSLS	Sept. 30, 2011
	SSRL	Sept. 1, 2011
	ALS	July 15, 2011
	http://www.light	tsources.org/cms/?pid=1000336

#### **Neutron sources**

SNS/HFIR LANSCE NIST-NCNR CNBC, Chalk River Sept. 14, 2011 July 8, 2011 Sept. 11, 2011 Continuous

Note at most facilities these are hard deadlines:

APS always at Friday mid-night (12:05  $\rightarrow$  next cycle)



#### **Topical Schools and Short courses**

#### X-ray schools

XAFS summer school – APS XAFS school – BNL SAXS short course – APS X-ray Imaging, High Pressure

#### **Neutron schools**

Lance summer school (Energy Mat.) NIST summer school

(SANS or Neutron Spectroscopy)

#### Dates

July 18-22, 2011 Fall, 2011 Spring, 2012

July 12-22, 2011 June 19-23, 2011