



# Can a FAILURE MODES and EFFECTS ANALYSIS (FMEA) improve RELIABILITY?

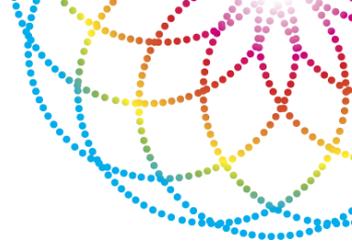
Don McGilvery  
(Operations)  
&  
David Tokell  
(Head of Engineering)

Supported  
by



# Outline of This Talk

---



- What is a Failure Modes and Analysis (FMEA)?
- Goals - Why we undertook an FMEA?
- Process - How we undertook the FMEA?
- An example analysis on one system?
- Results of FMEA for the Accelerators
- High priority failure modes identified
- Work so far
- Other issues identified
- Conclusions

# What is a Failure Modes and Analysis (FMEA)?

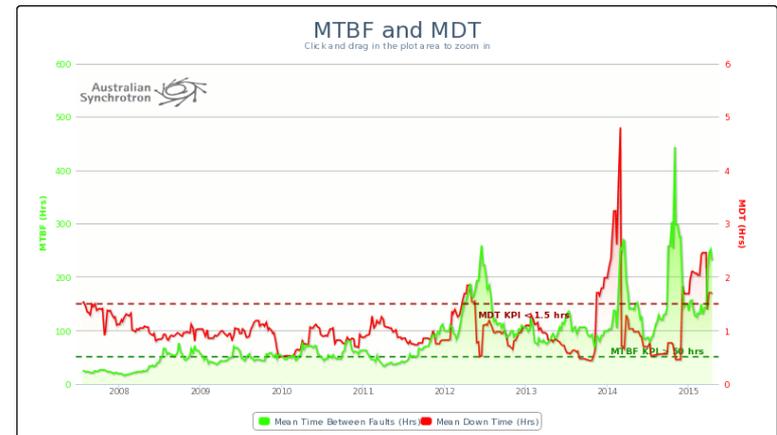


- Reliability Centered Maintenance (RCM) developed within the Aviation Industry in the 1960's
- It is now the Standard approach to maintenance in many industries
- An integral part of this process is to undertake a Failure Mode and Effects Analysis (FMEA) on a regular basis.
- It is important to include all major systems of your machine
- It is critical to try to identify all possible failure modes
- A key part of the process is to engage the support of the system owners, the system maintainers and the system users

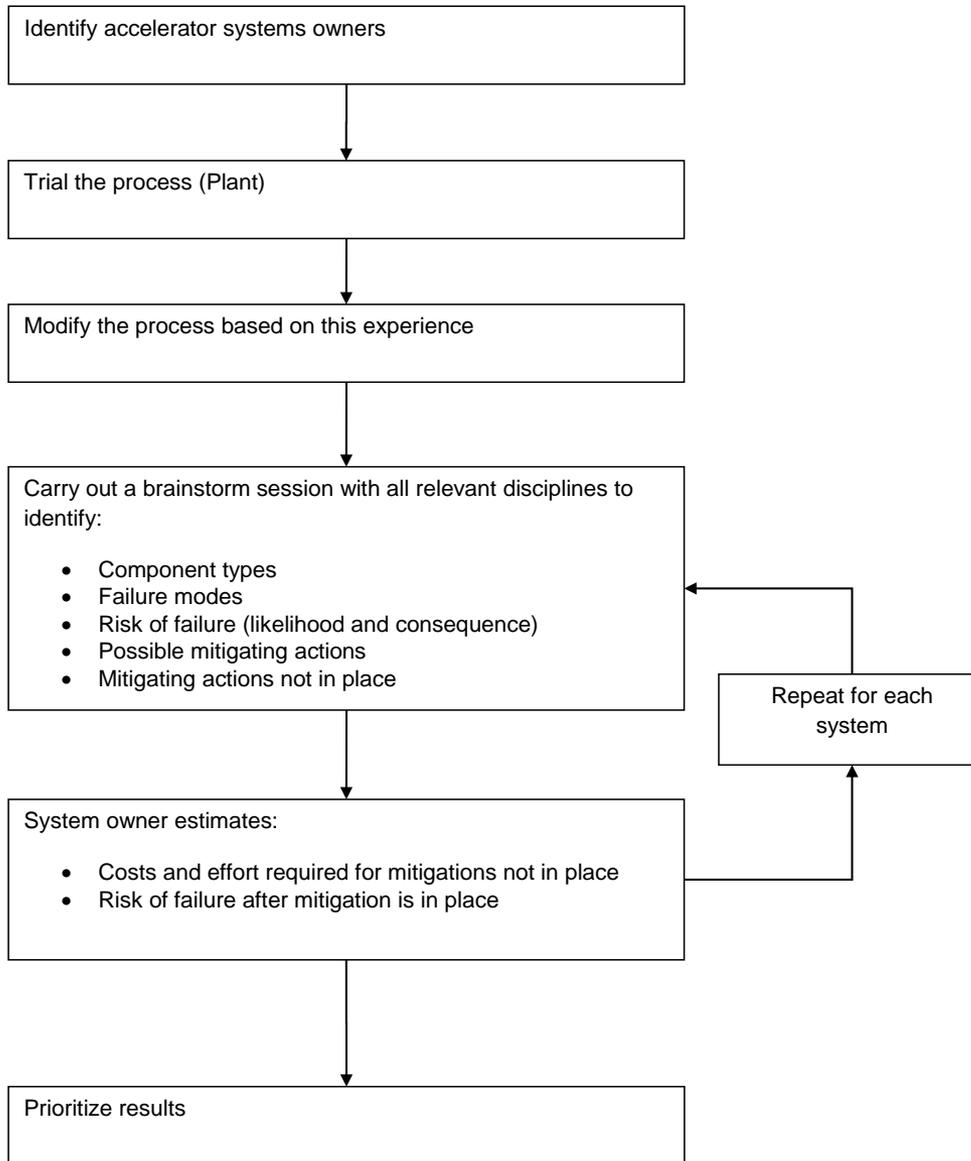
# Goals of the Failure Modes and Effects Analysis



- Address Key Performance Indicators
- Consider the Accelerators as a group of systems
- Define failure modes, risks and mitigating actions for each system
- Estimate risks associated with a failure after mitigation is in place
- Prioritize mitigating actions based on the risk reduction and the cost of the mitigation
- Produce a Management wish-list (hit list) (\$\$\$\$\$ + people)



# Process



## Plant

81 failure modes identified

- 21 Preventative Maintenance or Condition Monitoring
- 6 Re-engineer the subsystem
- 20 Replace faulty subsystem
- 9 Staff Training
- 3 Low Risk - No action
- etc

## Plant Analysis

- 10 At Risk Plant Systems
- 2 systems with benefit/cost of 1.0 or greater.

# FMEA Spreadsheet



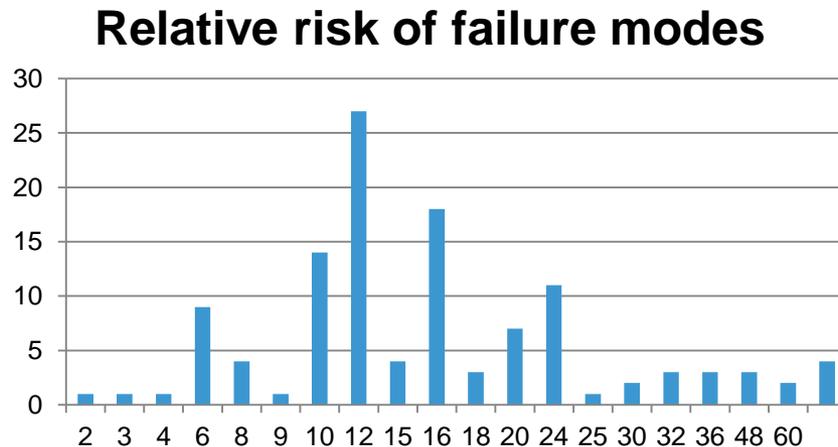
Spread sheet consisting of 40 columns X number of failure modes

| Failure Analysis                     | Likelihood of occurrence             | Cost of Mitigation | Effort Required one off / ongoing |
|--------------------------------------|--------------------------------------|--------------------|-----------------------------------|
| Critical system (beam loss) < 1 hour | In next 1 year                       | <\$2K              | 0 days per year                   |
| < 1 day                              | In next 3 years                      | \$2K - \$5K        | 5 days                            |
| < 1 week                             | In next 10 years                     | \$5K - \$15K       | 20 days                           |
| < 1 month                            | Has occurred and likely to reoccur   | \$15K - \$50K      |                                   |
| > 1 month                            | Has occurred and unlikely to reoccur | \$50K - \$150K     |                                   |
| Loss of Beam Control                 | Not expected in life of facility     | \$150K-\$500K      |                                   |
| Loss of Beam Quality                 |                                      | > \$500K           |                                   |
| Redundant system                     |                                      |                    |                                   |
| No impact                            |                                      |                    |                                   |

# Results for the Accelerators



- 460 failure modes identified
- 25 failure modes without complete mitigations and a risk rating above 20 (approximate cost: \$2.0M)
- 32 possible re-engineering projects identified (approximate cost: \$3.0M)
- 115 failure modes without complete mitigations.(many requiring confirmation of spares holding)



# High risk failures



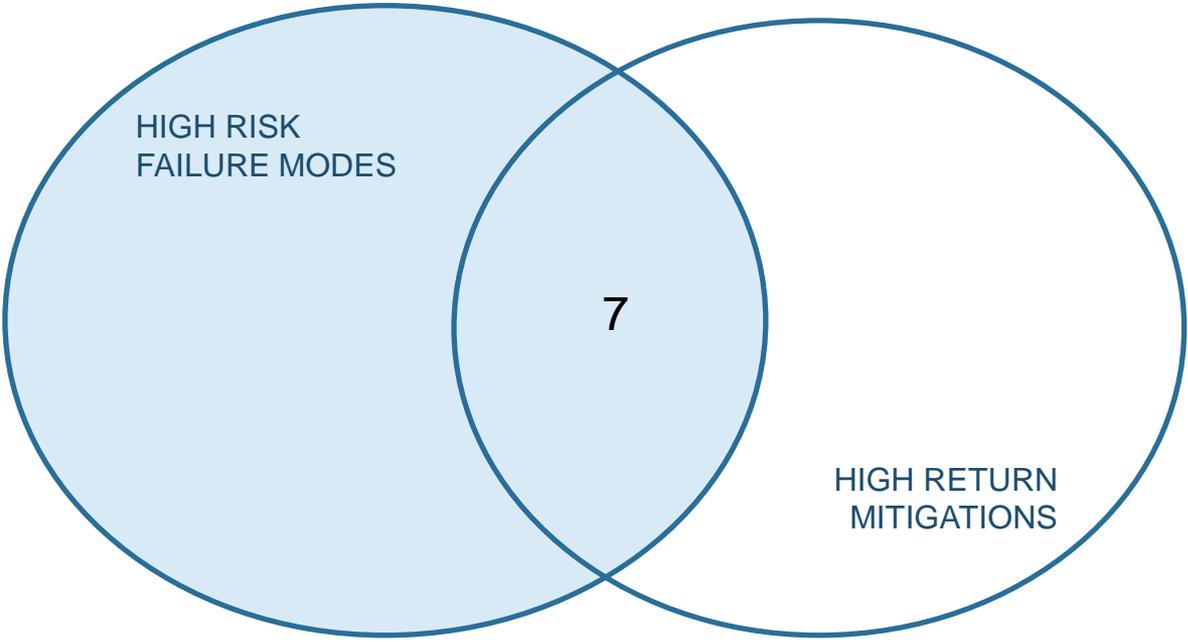
| Ref # | System(s)                   | System owner   | Sub-system                  | Description                  | Failure mode (if specific)   |
|-------|-----------------------------|----------------|-----------------------------|------------------------------|--|
| 169   | Power supply & distribution | Craig Millen   | Power supply & distribution | SV 19 feed                   | Overvoltage (eg: 66kV on 22kV feed)  |
| 172   | Power supply & distribution | Craig Millen   | Power supply & distribution | SVW44 feed                   | Overvoltage (eg: 66kV on 22kV feed)  |
| 262   | RF                          | Karl Zingre    | LINAC (LI) RF system        | LI-LLRF system               | failure or performance issues  |
| 264   | RF                          | Karl Zingre    | LINAC (LI) RF system        | LI-PFN-Network system        | sub system failure, arcing, limited life time or sudden failure of thyratrons or HV capacitors |
| 265   | RF                          | Karl Zingre    | LINAC (LI) RF system        | LI-Klystron tank             | "sub system failure" but mainly trips and oil replacement due to contamination.                |
| 266   | RF                          | Karl Zingre    | LINAC (LI) RF system        | LI-Klystron                  | failure focusing coils limited life time klystrons or sudden failure.                          |
| 268   | RF                          | Karl Zingre    | LINAC (LI) RF system        | LI-GUN- system               | sub systems failure or poor performance, triggers  |
| 273   | RF                          | Karl Zingre    | LINAC (LI) RF system        | LI-PLC                       | sub systems failure, bugs  |
| 287   | RF                          | Karl Zingre    | Booster Ring (BO) RF system | BO-PLC                       | PLC modules, poor MTTB due to poor system integration, bugs                                    |
| 405   | Plant                       | Graham Harding | Machine LCW                 | SRRF Cavities - HOM antennae | Insufficient flow to prevent heat damage to part   |

# High return mitigations



| Ref # | System(s)                   | System owner   | Sub-system                  | Description                  | Failure mode (if specific)   |
|-------|-----------------------------|----------------|-----------------------------|------------------------------|--|
| 169   | Power supply & distribution | Craig Millen   | Power supply & distribution | SV 19 feed                   | Overvoltage (eg: 66kV on 22kV feed)  |
| 172   | Power supply & distribution | Craig Millen   | Power supply & distribution | SVW44 feed                   | Overvoltage (eg: 66kV on 22kV feed)  |
| 182   | Power supply & distribution | Craig Millen   | Power supply & distribution | LV switchroom - boards       | Fire in a board  |
| 263   | RF                          | Karl Zingre    | LINAC (LI) RF system        | LI-Modulator system          | Focus P/S, PSS relays, Insulation monitoring relays or failure of other sub systems            |
| 264   | RF                          | Karl Zingre    | LINAC (LI) RF system        | LI-PFN-Network system        | sub system failure, arcing, limited life time or sudden failure of thyratrons or HV capacitors |
| 265   | RF                          | Karl Zingre    | LINAC (LI) RF system        | LI-Klystron tank             | "sub system failure" but mainly trips and oil replacement due to contamination.                |
| 266   | RF                          | Karl Zingre    | LINAC (LI) RF system        | LI-Klystron                  | failure focusing coils limited life time klystrons or sudden failure.                          |
| 268   | RF                          | Karl Zingre    | LINAC (LI) RF system        | LI-GUN- system               | sub systems failure or poor performance, triggers  |
| 271   | RF                          | Karl Zingre    | LINAC (LI) RF system        | LI-LCW-Cooling               | LCW sensors, leaks   |
| 405   | Plant                       | Graham Harding | Machine LCW                 | SRRF Cavities - HOM antennae | Insufficient flow to prevent heat damage to part   |

# Target projects



- 7 Subsystems identified which had both
- High Risk of Failure
  - High Return Mitigations
  - “good bang for buck” projects



# Recommendations

---

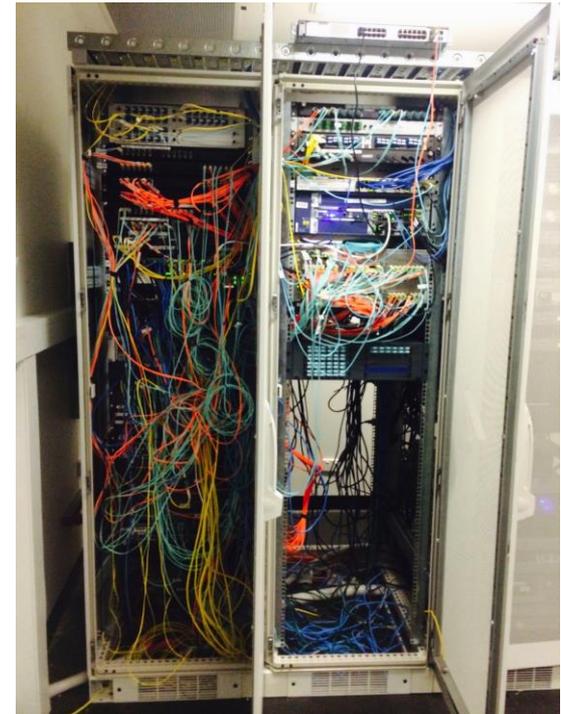


## Failure modes

- High return and high risk failure modes were reviewed
- Each failure mode identified as being high risk or having a mitigation with a high return was investigated further by the system owner and the risk assessments and planned mitigations confirmed
- The proposed mitigations were then costed in terms of capital cost and effort required
- The resulting action list was used to drive improvements in the accelerator's reliability.

# Work so far

- Machine FMEA – projects initiated
  - Input to project selection
  - SLED cavity – provide redundancy
  - T1 & T2 consolidation – Provide UPS backup and protection
  - SRRF flow and temperature monitoring (failing diagnostics)
  - Injection system PLC work
  - Linac gun spares
  - Injection system vacuum upgrade
- Disaster recovery planning
  - Machine/facility core switch upgrade



# Recommendations for Further Work



## Obsolescence issues

- Develop a set of criteria for prioritizing obsolescence issues
- Components identified as having a risk of obsolescence are confirmed by the person accountable for the system
- Plans to address major obsolescence issues being developed

## Spare parts

- The management of spare parts to be reviewed and issues addressed
- Check spares held (29 items with uncertain spares holding)



# Recommendations

## Preventative maintenance

- Any preventative maintenance that is not yet in place and can be justified is put in place (~5% of all failure modes)
- The FMEA has increased awareness of the value of many existing preventative maintenance processes



*“My grandfather always used to say ‘Son, if it ain’t dysfunctional, don’t attempt pre-emptive maintenance intervention on it.’ Or something like that.”*

# Recommendations



## Other observations

- Review technical issues identified by the system owners (such as diagnostic systems that are not functioning), including estimates of costs and effort required to rectify the issue.
- The FMEA has raised the awareness of technical support staff of the criticality of functional diagnostic systems.



# Conclusions

- The FMEA identified 460 failure modes of the machine
- Each of these modes has been scored for Risk, Benefits of mitigations and costs.
- The FMEA has resulted in 7 critical projects being funded and allocated resources to be undertaken immediately
- It has lead to improvements in many processes
- It has highlighted deficiencies in spare parts management
- Outcomes require good group co-operation
- It is an ongoing process

## **AKNOWLEDGEMENT**

***The FMEA project was developed and run by David Tokell, the Head of Engineering at the Australian Synchrotron Light Source.***



*"The kid's good."*